

**Alliance Regional Water Authority  
Board of Directors**

**REGULAR MEETING**



**ALLIANCE WATER**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.

County Line Special Utility District Offices  
8870 Camino Real, Kyle, TX 78640

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

This Notice is posted pursuant to the Texas Open Meetings Act (Texas Government Code Chapter 551). The Alliance Regional Water Authority (the Authority) Board of Directors will hold a meeting at 3:00 PM, Wednesday, November 29, 2023, at the County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640.

Members of the public wishing to make public comment during the meeting must be present at the public meeting location. A copy of the agenda packet will be available on the Authority's website at the time of the meeting. Additional information can be obtained by calling Graham Moore at (512) 294-3214.

A. CALL TO ORDER

B. ROLL CALL

C. SEATING OF NEWLY APPOINTED DIRECTOR

C.1 Oath of Office and swearing in of Director

D. PUBLIC COMMENT PERIOD (Note: Each person wishing to speak must register with the Executive Director at [info@alliancewater.org](mailto:info@alliancewater.org) before 3:00 p.m.)

E. CONSENT AGENDA

*The items included in the Consent Agenda portion of this meeting agenda can be considered and approved by the Board of Directors by one motion and vote. A Board member may request that an item included in the Consent Agenda be considered separately, in which event the Board of Directors will take action on the remaining Consent Agenda items and then consider the item removed from the Consent Agenda.*

E.1 Consider approval of minutes of the Regular Meeting held October 25, 2023. ~ *Graham Moore, P.E., Executive Director*

E.2 Consider approval of the financial reports for the periods ending August 2023, September 2023 and October 2023. ~ *Graham Moore, P.E., Executive Director*

E.3 Consider approval of the Quarterly Investment Report for the period ending September 30, 2023. ~ *Graham Moore, P.E., Executive Director*

F. PUBLIC HEARINGS / PRESENTATIONS

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**G. ITEMS FOR DISCUSSION NOT REQUIRING ACTION**

G.1 Report on Technical Committee activities. ~ *Graham Moore, P.E., Executive Director*

G.2 Update on status of groundwater management in project target area, and Gonzales County Underground Water Conservation District, Plum Creek Conservation District, Groundwater Management Area 13, Region L Planning Group, Guadalupe-Blanco River Authority, Hays County and CAPCOG activities. ~ *Graham Moore, P.E., Executive Director*

H. EXECUTIVE DIRECTOR AND LEGAL COUNSEL REPORTS - Update on future meeting dates, locations, status of Authority procurements, Executive Director activities, other operational activities and the status of legal issues, where no action is required. ~ *Graham Moore, P.E., Executive Director / Mike Gershon, Lloyd Gosselink Rochelle & Townsend, P.C.*

**I. ITEMS FOR ACTION OR DISCUSSION/DIRECTION**

I.1 Update and possible direction to Staff regarding construction of the Authority's Phase 1B program. ~ *Toby Flinn, P.E., Pape-Dawson Engineers*

I.2 Consider adoption of Resolution 2023-11-29-001 approving Supplemental Amendment #1 to Work Order #6 with HVJ South Central Texas – M&J, Inc. for additional material testing on the Segment E Pipeline project. ~ *Graham Moore, P.E., Executive Director*

I.3 Update and discussion regarding the status of the Authority's Phase 1B program, and direction to staff and consultants. ~ *Ryan Sowa, P.E., Kimley-Horn & Associates*

I.4 Consider adoption of Resolution 2023-11-29-002 approving Amendment No. 2 to Regional Water Supply Contract. ~ *Graham Moore, P.E., Executive Director*

I.5 Consider adoption of Resolution 2023-11-029-003 related to a Short-Term Financing Transaction. ~ *Graham Moore, P.E., Executive Director*

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I.6 Consider adoption of Resolution 2023-11-29-004 approving a Service Order with Charter Communications Operating, LLC for internet and communication services at the Maxwell Booster Pump Station site. ~ *Graham Moore, P.E., Executive Director*

J. BOARD MEMBER ITEMS OR FUTURE AGENDA ITEMS – Possible acknowledgement by Board Members of future area events and/or requests for item(s) to be placed on a future agenda where no action is required.

K. EXECUTIVE SESSION

K.1 *Executive Session pursuant to the Government Code, Section 551.071 (Consultation with Attorney) and/or Section 551.072 (Real Property Deliberations) regarding:*

- A. *Water supply partnership options*
- B. *Groundwater leases*
- C. *Acquisition of real property for water supply project purposes*
- D. *Caldwell County Cause No. 6945 Alliance Regional Water Authority v. Nicholson Farms, Inc. and A.E. Nicholson, Parcel A008C*

K.2 Action from Executive Session on the following matters:

- A. *Water supply partnership options*
- B. *Groundwater leases*
- C. *Acquisition of real property for water supply project purposes*
- D. *Caldwell County Cause No. 6945 Alliance Regional Water Authority v. Nicholson Farms, Inc. and A.E. Nicholson, Parcel A008C*

L. ADJOURNMENT

**NOTE:** *The Board of Directors may meet in Executive Session for any purpose authorized under the Texas Open Meetings Act, Chapter 551 of the Texas Government Code, for any item listed on this agenda or as otherwise authorized by law. An announcement will be made of the basis for Executive Session. The Board of Directors may also publicly discuss any item listed on the agenda for Executive Session.*



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**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**  
Wednesday, November 29, 2023 at 3:00 P.M.  
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**A. CALL TO ORDER**

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No Backup Information for this Item.

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**B. ROLL CALL**

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<b>NAME</b>	<b>TERM ENDS</b>	<b>PRESENT</b>
Mayor Jane Hughson (San Marcos)	April 2026	
Regina Franke (CRWA - General Manager, Crystal Clear SUD)	April 2026	
Tim Samford (Kyle – Wastewater Treatment Operations Manager)	April 2024	
Blake Neffendorf – Treasurer (Buda – Director of Public Works)	April 2026	
Councilmember Mark Gleason (San Marcos)	April 2025	
Humberto Ramos – Vice Chair (CRWA – Water Resources Director)	April 2024	
Amber Schmeits (Kyle – Assistant City Manager)	April 2024	
Tyler Hjorth (San Marcos – Director, Utilities)	April 2024	
Chris Betz – Chair (CRWA – Project Coordinator, County Line SUD)	April 2025	
Derrick Turley (Kyle – Water Treatment Operations Manager)	April 2024	
Marcus Naiser (San Marcos – Assistant Director of CIP)	April 2025	
Pat Allen (CRWA - General Manager, Green Valley SUD)	April 2026	
Paul Kite (San Marcos – Asst. Director of Public Services)	April 2025	

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**C. SEATING OF NEWLY APPOINTED DIRECTOR**

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**REGULAR MEETING**  
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**BOARD MEMBER PACKETS**

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County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

**C.1 Oath of Office and swearing in of Directors**

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Background/Information

Marcus Naiser with the City of San Marcos was recently appointed to replace Shaun Condor as a Director. Mr. Naiser will be asked to verbally complete the Oath of Office at the meeting.

Attachment(s)

- Alliance Water – Director Oath of Office

**Board Decision(s) Needed:**

- None.

Oath of Office  
ALLIANCE REGIONAL WATER AUTHORITY  
Board of Directors

I, \_\_\_\_\_, do solemnly swear (or affirm) that I have not directly or indirectly paid, offered, or promised to pay, contributed or promised to contribute any money, or valuable thing, or promised any public office or employment, as a reward to secure my appointment or confirmation thereof.

I do solemnly swear (or affirm) that I will faithfully execute the duties of the office of Board Director of the Alliance Regional Water Authority, and will to the best of my ability preserve, protect and defend the constitution and laws of the United States and of this state, so help me God.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

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- D. PUBLIC COMMENT PERIOD** (Note: Each person wishing to speak must register with the Executive Director at [info@alliancewater.org](mailto:info@alliancewater.org) before 3:00 p.m.)
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**E. CONSENT AGENDA**

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Items E.1 through E.3 are presented as part of the consent agenda.

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**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**  
Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

**E.1** Consider approval of minutes of the Regular Meeting held October 25, 2023. ~  
*Graham Moore, P.E., Executive Director*

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Attachment(s)

- 2023 10 25 Board Meeting Minutes

**Board Decision(s) Needed:**

- Approval of minutes.





## ALLIANCE WATER

### Alliance Regional Water Authority

### BOARD MEETING

### MINUTES

**Wednesday, October 25, 2023**

The following represents the actions taken by the Board of Directors of the Alliance Regional Water Authority (ARWA) in the order they occurred during the meeting. The Board of Directors convened in a meeting on Wednesday, October 25, 2023 at County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640.

A. CALL TO ORDER.

- **The Alliance Water Board Meeting was called to order at 3:02 p.m. by Mr. Betz.**

B. ROLL CALL.

- **Present: Hughson, Franke, Samford, Neffendorf, Gleason, Ramos, Hjorth, Betz, Allen and Kite with Samford, Gleason and Schmeits joining in Item H.2.**
- **Absent: Condor and vacant position.**

C. PUBLIC COMMENT PERIOD

- **None.**

D. CONSENT AGENDA

D.1 Consider approval of minutes of the Regular Meeting held September 27, 2023.

- **Motion to approve the consent agenda was made by Mr. Neffendorf, seconded by Mr. Ramos and approved on a 10-0 vote.**

E. PUBLIC HEARINGS / PRESENTATIONS

- **None.**

F. ITEMS FOR DISCUSSION NOT REQUIRING ACTION

F.1 Report on Technical Committee activities.

F.2 Update on status of groundwater management in project target area, and Gonzales County Underground Water Conservation District, Plum Creek

Conservation District, Groundwater Management Area 13, Region L Planning Group, Guadalupe-Blanco River Authority, Hays County and CAPCOG activities.

- **No items opened.**

G. EXECUTIVE DIRECTOR AND LEGAL COUNSEL REPORTS

- **No action.**

H. ITEMS FOR ACTION OR DISCUSSION/DIRECTION

H.1 Update and possible direction to Staff regarding construction of the Authority's Phase 1B program.

- **Toby Flinn with Pape-Dawson provided the Phase 1B Construction Update.**
- **Mr. Betz inquired about any updates on the construction schedule for critical path items.**
- **Mr. Flinn responded that that conversations with the water treatment plant contractor were had and that the contractor is reviewing options.**
- **Mr. Ramos asked about the schedule for Segment C.**
- **Mr. Flinn noted that Segment C is in final design with bidding anticipated in early 2024.**
- **No Action.**

H.2 Update and discussion regarding the status of the Authority's Phase 1B program, and direction to staff and consultants.

- **Mr. Sowa provided an update on the Phase 1B Program.**
- **No Action.**

H.3 Discussion and possible direction to Staff regarding funding options for the remaining of the Phase 1B projects and the Phase 1C/1D expansions.

- **Mr. Betz provided an update on funding options.**
- **Ms. Schmeits asked for clarification as to what action is required now and in the future.**
- **Mr. Betz noted that Mr. Moore is asking the Board members to contact him to schedule an update for each Council/Board that would like to have an update on the impending debt issuance, prior to the action in December to approve the debt issuance.**
- **Mr. Ramos inquired if any funding for the Phase 1C or 1D expansion is being sought at this time with the Phase 1B funding.**
- **Mr. Betz responded that it is not, that only the Phase 1B financing is being sought at this time.**
- **Ms. Schmeits made a motion directing Mr. Moore to coordinate updates to the Councils and Boards in November on the funding,**

**seconded by Mr. Ramos and approved on a 11-0-1 vote with Ms. Hughson abstaining.**

I. BOARD MEMBER ITEMS OR FUTURE AGENDA ITEMS

- **Mr. Betz noted that early voting has started for constitutional amendments, including Proposition 6, which would have the State putting \$1 Billion to a new Water Fund.**
- **Mr. Betz also noted that he will be out of the country for the November Board meeting.**

J.1 The Board of Directors recessed into Executive Session at 3:36 p.m. pursuant of the Government Code, Section 551.071, to seek the General Counsel's advice regarding matters involving attorney-client privilege and Section 551.072 to discuss water supply project partnership options. The Board of Directors reconvened from Executive Session at 3:46 p.m.

J.2 Action from Executive Session on the following matters:

- A. Water supply partnership options
- B. Groundwater leases
- C. Acquisition of real property for water supply project purposes.
- D. Caldwell County Cause No. 6945 Alliance Regional Water Authority v. Nicholson Farms, Inc. and A.E. Nicholson, Parcel A008C.

- **No Action.**

K. ADJOURNMENT

- **Meeting was adjourned at 3.:47 p.m. based on the motion by Ms. Ramos, seconded by Mr. Kite on an 11-0 vote.**

**APPROVED: \_\_\_\_\_, 2023**

**ATTEST:**

\_\_\_\_\_  
Chair, Board of Directors

\_\_\_\_\_  
Secretary, Board of Directors

**REGULAR MEETING**  
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**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

**E.2** Consider approval of the financial reports for the periods ending August 2023, September 2023 and October 2023. ~ *Graham Moore, P.E., Executive Director*

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Attachment(s)

- August 2023 Financial Report
- September 2023 Financial Report
- October 2023 Financial Report

**Board Decision(s) Needed:**

- Approval of the financial reports.



**ALLIANCE WATER**

# **Alliance Regional Water Authority**

**Financial Statements  
(Compilation)**

**For the One Month Ended and Year-to-Date  
August 31, 2023**

## Alliance Regional Water Authority

## Balance Sheet

As of August 31, 2023

11/04/23

Accrual Basis

	Aug 31, 23
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
1004 · Broadway Bank	
1005 · Broadway Checking (8040)	-228,404.61
1010 · Broadway Savings (4415)	2,022,849.13
	1,794,444.52
<b>Total 1004 · Broadway Bank</b>	<b>1,794,444.52</b>
1015 · TexStar	
1015-01 · TexStar (3310)	456,558.73
1015-02 · TexStar (0300)	8,354,865.15
	8,811,423.88
<b>Total 1015 · TexStar</b>	<b>8,811,423.88</b>
1050 · Broadway Bank (Reserved)	
1051 · CRWA Debt Service (2785)	596,755.11
1052 · Kyle Debt Service (2787)	403,748.68
1055 · San Marcos Debt Service (6390)	501,418.89
1056 · Buda Debt Service (6391)	90,277.78
	1,592,200.46
<b>Total 1050 · Broadway Bank (Reserved)</b>	<b>1,592,200.46</b>
1100 · Escrow Accounts	
1105 · BOKF, Escrow, CRWA Series 2015A	348,596.91
1106 · BOKF, Escrow, Kyle Series 2015B	230,908.67
1111 · BOKF, Escrow, CRWA Series 2019A	1,852,382.78
1112 · BOKF, Escrow, Kyle Series 2019B	1,689,273.06
1113 · BOKF, Escrow, SM Series 2019C	2,150,554.51
1114 · BOKF, Escrow, Buda Series 2019D	304,346.31
1115 · BOKF, Escrow, CRWA Series 2020A	14,393,076.52
1116 · BOKF, Escrow, CRWA 2020A-LM67	8,683,215.44
1117 · BOKF, Escrow, Kyle Series 2020B	13,124,443.52
1118 · BOKF, Escrow, Kyle 2020B-LM68	7,913,149.64
1119 · BOKF, Escrow, SM Series 2020C	16,743,191.38
1120 · BOKF, Escrow, SM 2020C-LM69	10,077,429.93
1121 · BOKF, Escrow, BUDA Series 2020D	2,269,620.16
1122 · BOKF, Escrow, Buda 2020D-LM70	1,420,308.93
1123 · BOKF, Escrow, CRWA Series 2022A	15,009,298.06
1124 · BOKF, Escrow, Kyle Series 2022B	13,678,708.69
1125 · BOKF, Escrow, SM 2022C	17,451,795.77
1126 · BOKF, Escrow, Buda Series 2022D	2,434,060.79
	129,774,361.07
<b>Total 1100 · Escrow Accounts</b>	<b>129,774,361.07</b>
<b>Total Checking/Savings</b>	<b>141,972,429.93</b>
<b>Accounts Receivable</b>	
1200 · Accounts Receivable	0.49
1201 · Accounts Receivable, GBRA	-32,463,895.89
	-32,463,895.40
<b>Total Accounts Receivable</b>	<b>-32,463,895.40</b>
<b>Total Current Assets</b>	<b>109,508,534.53</b>
<b>Fixed Assets</b>	
1405 · Engineering & Construction Cost	2,406,324.92
1420 · Projects in Progress (Cash)	
1420-01 · Legal Support	67,490.77
1420-02 · Hydrogeologic Support	225,220.52
1420-03 · PCCD Permitting	105,095.16
1420-04 · Kyle Water Model	25,000.00
1420-11 · Legal Support, GBRA	45,251.01
	468,057.46
<b>Total 1420 · Projects in Progress (Cash)</b>	<b>468,057.46</b>

## Alliance Regional Water Authority

## Balance Sheet

As of August 31, 2023

11/04/23

Accrual Basis

	Aug 31, 23
<b>1430 · Projects in Progress Eng (Cash)</b>	
1430-02 · Engineering - Plumbing Plan	17,663.79
1430-03 · Engineering Fees-ROW	11,594.69
1430-05 · Engineering - Rate Study	50,760.00
1430-06 · DPR Study	59,880.00
1430-07 · Alignment Study	261,120.80
1430-08 · Prelim Engineering-Well Field	65,586.00
1430-09 · GCUWCD Monitoring Wells	129,175.39
1430-10 · 2017 SWIFT Funding Apps	23,107.96
1430-11 · Blanco Basin WW	85,739.00
1430-12 · Phase 1B Programming	107,761.14
1430-13 · ARWA-GBRA MOU Study	15,000.00
1430-14 · Phase 1A GIS	59,840.62
<b>Total 1430 · Projects in Progress Eng (Cash)</b>	<b>887,229.39</b>
<b>1440 · Projects in Prog Eng. (Finance)</b>	
1440-01 · Engineering-Phase 1A Pipeline	540,838.84
1440-02 · Engineering-Phase 1A Pump Stat	1,001,947.66
1440-03 · Engineering-ROW Acquisition	409,078.13
1440-04 · Phase 1A Const Observation	1,332,275.56
1440-05 · Phase 1A-Construction Trailer	78,876.86
1440-06 · Phase 1A Segment A Construction	1,734,150.32
1440-07 · Phase 1A BPS Construction	4,888,392.60
1440-08 · Phase 1A Segment B Construction	3,757,344.92
1440-15 · Land Acquisition Phase 1B	41,949,683.98
1440-16 · Phase 1B-Owners Rep	12,756,661.92
1440-17 · Phase 1B Environmental	3,826,743.91
1440-18 · Phase 1B Segment A Design	3,122,933.46
1440-19 · Phase 1B Segment B Design	2,951,640.16
1440-20 · Phase 1B Segment C Design	3,937,052.64
1440-21 · Phase 1B Segment D Design	3,026,185.25
1440-22 · Phase 1B Segment E Design	2,459,806.84
1440-23 · Phase 1B Land Attorney	4,476,458.45
1440-24 · Phase 1B Hydrogeology	540,941.00
1440-25 · Phase 1B WTP Design	5,646,436.65
1440-26 · Raw Water Infr.	1,685,599.18
1440-27 · Phase 1B Program Survey	3,509,512.91
1440-28 · Phase 1B BPS Design	3,103,987.96
1440-29 · GVEC Construction-in-Aid	1,740,143.30
1440-30 · Phase 1B Inline Tanks	496,753.35
1440-31 · Construction Mgmt & Inspection	9,628,201.57
1440-32 · Phase 1B Construction ARWA Only	3,841,392.95
1440-33 · SCADA Programming	612,061.06
1440-34 · Materials Testing	1,177,048.05
1440-35 · Shared Construction	154,069,551.27
<b>Total 1440 · Projects in Prog Eng. (Finance)</b>	<b>278,301,700.75</b>
<b>1447 · Land &amp; Easements</b>	<b>938,215.70</b>
<b>1448 · Capitalized Interest</b>	
1448-51 · Cap Interest, CRWA Series 2015A	152,369.03
1448-52 · Cap Interest, Kyle Series 2015B	222,143.28
1448-53 · Cap Interest, CRWA Series 2017A	127,269.80
1448-54 · Cap Interest, Kyle Series 2017B	116,100.88
1448-55 · Cap Interest, SM Series 2017C	65,904.35
1448-56 · Cap Interest, Buda Series 2017D	9,576.21
<b>Total 1448 · Capitalized Interest</b>	<b>693,363.55</b>
<b>Total Fixed Assets</b>	<b>283,694,891.77</b>

## Alliance Regional Water Authority

## Balance Sheet

As of August 31, 2023

11/04/23

Accrual Basis

	Aug 31, 23
Other Assets	
1900 · Deferred Outflow	48,214.34
<b>Total Other Assets</b>	<b>48,214.34</b>
<b>TOTAL ASSETS</b>	<b>393,251,640.64</b>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	5,537,605.95
<b>Total Accounts Payable</b>	<b>5,537,605.95</b>
Credit Cards	
2006 · Chase Bank VISA Card	5,204.03
<b>Total Credit Cards</b>	<b>5,204.03</b>
Other Current Liabilities	
2100 · Payroll Liabilities	4,284.43
2102 · 401(a) Liability	5,686.38
2103 · Net Pension Liability	-16,518.00
2104 · Pension Deferred Inflows	23,905.00
2106 · Accrued Vacation	23,476.42
2300 · Accrued Costs	330,643.18
2350 · Accrued Interest Payable	
2351 · Accrued Int Payable, CRWA 2015A	2,263.29
2352 · Accrued Int Payable, Kyle 2015B	3,421.52
2353 · Accrued Int Payable, CRWA 2017A	9,363.60
2354 · Accrued Int Payable, Kyle 2017B	8,540.96
2355 · Accrued Int Payable, SM 2017C	6,611.52
2356 · Accrued Int Payable, Buda 2017D	938.58
2357 · Accrued Int Payable, CRWA 2019A	21,838.65
2358 · Accrued Int Payable, Kyle 2019B	19,927.52
2359 · Accrued Int Payable, SM 2019C	15,581.00
2360 · Accrued Int Payable, Buda 2019D	2,209.13
2361 · Accrued Int Payable, CRWA 2020A	25,622.02
2362 · Accrued Int Payable, Kyle 2020B	23,364.63
2363 · Accrued Int Payable, SM 2020C	16,192.35
2364 · Accrued Int Payable, Buda 2020D	2,293.17
2365 · Accrued Int Payable, CRWA 2022A	23,310.94
2366 · Accrued Int Payable, Kyle 2022B	21,253.75
2367 · Accrued Int Payable, SM 2022C	21,709.96
2368 · Accrued Int Payable, Buda 2022D	3,076.56
<b>Total 2350 · Accrued Interest Payable</b>	<b>227,519.15</b>
<b>Total Other Current Liabilities</b>	<b>598,996.56</b>
<b>Total Current Liabilities</b>	<b>6,141,806.54</b>
Long Term Liabilities	
2501 · Bond Payable, CRWA Series 2015A	2,645,000.00
2502 · Bond Payable, Kyle Series 2015B	2,885,000.00
2503 · Bond Payable, CRWA Series 2017A	8,535,000.00
2504 · Bond Payable, Kyle Series 2017B	7,785,000.00
2505 · Bond Payable, SM Series 2017C	8,710,000.00
2506 · Bond Payable, Buda Series 2017D	1,235,000.00
2507 · Bond Payable, CRWA Series 2019A	24,285,000.00
2508 · Bond Payable, Kyle Series 2019B	22,155,000.00
2509 · Bond Payable, SM Series 2019C	26,305,000.00
2510 · Bond Payable, Buda Series 2019D	3,730,000.00
2511 · Bond Payable, CRWA Series 2020A	35,645,000.00
2512 · Bond Payable, Kyle Series 2020B	32,505,000.00
2513 · Bond Payable, SM Series 2020C	39,575,000.00
2514 · Bond Payable, Buda Series 2020D	5,605,000.00
2515 · Bond Payable, CRWA 2022A	14,830,000.00



## Alliance Regional Water Authority

**Balance Sheet**

As of August 31, 2023

11/04/23

Accrual Basis

	<u>Aug 31, 23</u>
2516 · Bond Payable, Kyle 2022B	13,520,000.00
2517 · Bond Payable, SM 2022C	17,210,000.00
2518 · Bond Payable, Buda 2022D	<u>2,440,000.00</u>
Total Long Term Liabilities	269,600,000.00
Total Liabilities	275,741,806.54
<b>Equity</b>	
2925 · Net Investment in Capital Asset	56,633,807.98
2950 · Retained Earnings	31,116,504.05
Net Income	<u>29,759,522.07</u>
Total Equity	117,509,834.10
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b><u><u>393,251,640.64</u></u></b>

**Alliance Regional Water Authority**  
**Profit Loss / Budget vs. Actual**  
**For the One Month and Eleven Months Ended**  
**August 31, 2023**

	August 2023	October 2022 August 2023	Annual Budget	Over/Under Budget	% of Annual Budget
<b>Ordinary Income/Expense</b>					
<b>Income</b>					
<b>4010 - Project Contribution</b>					
4011 - City of San Marcos	3,344,277.17	6,359,837.67	6,524,195.50	-164,357.83	97.48%
4012 - City of Kyle	1,128,333.00	4,373,719.50	4,499,330.50	-125,611.00	97.21%
4013 - City of Buda	232,098.50	905,110.67	928,392.50	-23,281.83	97.49%
4014 - Canyon Regional Water Authority	1,247,081.00	4,846,744.83	4,988,321.50	-141,576.67	97.16%
4015 - GBRA	11,613,400.95	14,964,137.47	0.00	14,964,137.47	100.0%
<b>Total 4010 - Project Contribution</b>	<b>17,565,190.62</b>	<b>31,449,550.14</b>	<b>16,940,240.00</b>	<b>14,509,310.14</b>	<b>185.65%</b>
<b>4200 - Shared Water</b>					
4210 - Shared Water, City of Buda	21,829.50	197,089.50	0.00	197,089.50	100.0%
4211 - Shared Water, County Line SUD	92,553.38	294,434.89	0.00	294,434.89	100.0%
<b>Total 4200 - Shared Water</b>	<b>114,382.88</b>	<b>491,524.39</b>	<b>0.00</b>	<b>491,524.39</b>	<b>100.0%</b>
<b>4250 - Non Potable Water Sales</b>					
	6,396.82	31,970.16	0.00	31,970.16	100.0%
<b>4300 - Broadway Interest Income</b>					
4311 - City of San Marcos	10,031.26	44,830.33	1,250.00	43,580.33	3,586.43%
4312 - City of Kyle	6,161.93	45,012.91	980.00	44,032.91	4,593.15%
4313 - City of Buda	1,387.57	6,785.06	175.00	6,610.06	3,877.18%
4314 - Canyon Regional Water Authority	7,606.01	50,922.61	1,075.00	49,847.61	4,736.99%
<b>Total 4300 - Broadway Interest Income</b>	<b>25,186.77</b>	<b>147,550.91</b>	<b>3,480.00</b>	<b>144,070.91</b>	<b>4,239.97%</b>
<b>4350 - Escrow Accounts Income</b>					
4351 - BOKF, CRWA Series 2015A	1,428.51	12,272.95	0.00	12,272.95	100.0%
4352 - BOKF, Kyle Series 2015B	946.24	8,129.55	0.00	8,129.55	100.0%
4357 - BOKF, CRWA Series 2019A	7,590.89	65,216.42	0.00	65,216.42	100.0%
4358 - BOKF, Kyle Series 2019B	6,922.49	59,473.84	0.00	59,473.84	100.0%
4359 - BOKF, SM Series 2019C	8,812.77	75,714.04	0.00	75,714.04	100.0%
4360 - BOKF, Buda Series 2019D	1,247.18	10,715.03	0.00	10,715.03	100.0%
4361 - BOKF, CRWA Series 2020A	58,981.36	739,176.76	0.00	739,176.76	100.0%
4362 - BOKF, CRWA Series 2020A-LM67	35,582.94	305,707.91	0.00	305,707.91	100.0%
4363 - BOKF, Kyle Series 2020B	53,782.63	674,044.64	0.00	674,044.64	100.0%
4364 - BOKF, Kyle Series 2020B-LM68	32,427.29	278,596.36	0.00	278,596.36	100.0%
4365 - BOKF, SM Series 2020C	68,611.90	859,315.26	0.00	859,315.26	100.0%
4366 - BOKF, SM Series 2020C-LM69	41,296.29	354,793.66	0.00	354,793.66	100.0%
4367 - BOKF, Buda Series 2020D	9,300.67	118,132.29	0.00	118,132.29	100.0%
4368 - BOKF, Buda Series 2020D-LM70	5,820.28	50,004.46	0.00	50,004.46	100.0%
4369 - BOKF, CRWA Series 2022A	61,506.59	451,771.06	0.00	451,771.06	100.0%
4370 - BOKF, Kyle Series 2022B	56,053.96	412,951.69	0.00	412,951.69	100.0%
4371 - BOKF, SM Series 2022C	71,515.69	525,288.77	0.00	525,288.77	100.0%
4372 - BOKF, Buda Series 2022D	9,974.53	73,263.79	0.00	73,263.79	100.0%
<b>Total 4350 - Escrow Accounts Income</b>	<b>531,802.21</b>	<b>5,074,568.48</b>	<b>0.00</b>	<b>5,074,568.48</b>	<b>100.0%</b>
<b>4370 - TexStar Interest Income</b>					
4371 - City of San Marcos	11,548.69	378,557.22	21,500.00	357,057.22	1,760.73%
4372 - City of Kyle	9,072.14	297,377.50	16,900.00	280,477.50	1,759.63%
4373 - City of Buda	1,636.01	53,627.18	3,050.00	50,577.18	1,758.27%
4374 - Canyon Regional Water Authority	9,948.11	326,091.28	18,500.00	307,591.28	1,762.66%
<b>Total 4370 - TexStar Interest Income</b>	<b>32,204.95</b>	<b>1,055,653.18</b>	<b>59,950.00</b>	<b>995,703.18</b>	<b>1,760.89%</b>
4901 - Miscellaneous Income	0.00	30,940.00	35,000.00	-4,060.00	88.4%
<b>Total Income</b>	<b>18,275,164.25</b>	<b>38,281,757.26</b>	<b>17,038,670.00</b>	<b>21,243,087.26</b>	<b>224.68%</b>
<b>Expenses</b>					
<b>6000 - Groundwater Reservation Costs</b>					
	65,942.16	1,468,238.28	1,520,470.00	-52,231.72	96.57%
<b>6010 - Shared Water Costs</b>					
6015 - Shared Water, City of Kyle	4,663.35	51,583.95	0.00	51,583.95	100.0%
6020 - Shared Water, City of San Marcos	70,762.47	476,905.60	0.00	476,905.60	100.0%
<b>Total 6010 - Shared Water Costs</b>	<b>75,425.82</b>	<b>528,489.55</b>	<b>0.00</b>	<b>528,489.55</b>	<b>100.0%</b>
<b>6200 - Plant Operations &amp; Maintenance</b>					
6201 - O&M, General	17,463.40	56,515.87	9,000.00	47,515.87	627.95%
6240 - O&M, Buda BPS	0.00	4,138.37	23,750.00	-19,611.63	17.43%
<b>Total 6200 - Plant Operations &amp; Maintenance</b>	<b>17,463.40</b>	<b>60,654.24</b>	<b>32,750.00</b>	<b>27,904.24</b>	<b>185.2%</b>
<b>7125 - Auditing fees</b>					
	0.00	11,250.00	13,000.00	-1,750.00	86.54%
<b>7210 - Bank Fees</b>					
	342.44	3,298.56	4,000.00	-701.44	82.46%
<b>7220 - Escrow and Paying Agent Fees</b>					
	2,796.29	6,646.29	60,000.00	-53,353.71	11.08%
<b>7240 - Bond Issue Costs</b>					
7240-15 - Bond Issue Costs - CRWA 2022A	0.00	272,123.00	0.00	272,123.00	100.0%
7240-16 - Bond Issue Costs - Kyle 2022B	0.00	253,893.00	0.00	253,893.00	100.0%
7240-17 - Bond Issue Costs - SM 2022C	0.00	283,143.00	0.00	283,143.00	100.0%
7240-18 - Bond Issue Costs - Buda 2022D	0.00	78,853.00	0.00	78,853.00	100.0%
<b>Total 7240 - Bond Issue Costs</b>	<b>0.00</b>	<b>888,012.00</b>	<b>0.00</b>	<b>888,012.00</b>	<b>100.0%</b>
<b>7250 - Interest Expense</b>					
7250-51 - Interest Expense - CRWA 2015A	4,632.17	52,010.53	56,854.00	-4,843.47	91.48%
7250-52 - Interest Expense - Kyle 2015B	6,905.15	76,578.51	83,608.00	-7,029.49	91.59%
7250-53 - Interest Expense - CRWA 2017A	18,865.57	208,905.16	228,047.00	-19,141.84	91.61%
7250-54 - Interest Expense - Kyle 2017B	17,207.49	190,537.90	207,996.00	-17,458.10	91.61%
7250-55 - Interest Expense - SM 2017C	13,456.64	150,358.28	164,282.00	-13,923.72	91.53%
7250-56 - Interest Expense - Buda 2017D	1,910.83	21,355.83	23,334.00	-1,978.17	91.52%
7250-57 - Interest Expense - CRWA 2019A	44,010.80	487,452.85	532,130.00	-44,677.15	91.6%
7250-58 - Interest Expense - Kyle 2019B	40,159.80	444,805.21	485,574.00	-40,768.79	91.6%
7250-59 - Interest Expense - SM 2019C	31,709.32	354,276.86	387,081.00	-32,804.14	91.53%

**Alliance Regional Water Authority  
Profit Loss / Budget vs. Actual  
For the One Month and Eleven Months Ended  
August 31, 2023**

	August 2023	October 2022 August 2023	Annual Budget	Over/Under Budget	% of Annual Budget
7250-60 · Interest Expense - Buda 2019D	4,496.22	50,237.45	54,889.50	-4,652.05	91.53%
7250-61 · Interest Expense - CRWA 2020A	51,322.73	565,335.60	616,815.50	-51,479.90	91.65%
7250-62 · Interest Expense - Kyle 2020B	46,801.16	515,531.57	562,476.50	-46,944.93	91.65%
7250-63 · Interest Expense - SM 2020C	32,512.50	358,914.55	391,682.50	-32,767.95	91.63%
7250-64 · Interest Expense - Buda 2020D	4,604.42	50,829.42	55,470.00	-4,640.58	91.63%
7250-65 · Interest Expense - CRWA 2022A	-2,816.81	441,353.75	375,000.00	66,353.75	117.69%
7250-66 · Interest Expense - Kyle 2022B	-2,656.74	403,821.25	340,000.00	63,821.25	118.77%
7250-67 · Interest Expense - SM 2022C	-2,623.37	411,041.88	340,000.00	71,041.88	120.9%
7250-68 · Interest Expense - Buda 2022D	-371.69	58,249.58	50,000.00	8,249.58	116.5%
<b>Total 7250 · Interest Expense</b>	<b>310,126.19</b>	<b>4,841,596.18</b>	<b>4,955,240.00</b>	<b>-113,643.82</b>	<b>97.71%</b>
7325 · Dues	0.00	2,713.00	9,000.00	-6,287.00	30.14%
7350 · Insurance - Liability, E&O	0.00	4,897.04	7,000.00	-2,102.96	69.96%
7400 · Legal Fees	12,299.70	79,091.14	125,000.00	-45,908.86	63.27%
7410 · Newspaper Public Notices	0.00	1,124.84	2,000.00	-875.16	56.24%
7425 · Contract Services-Lobbyist	10,000.00	55,000.00	60,000.00	-5,000.00	91.67%
7430 · Agency Mgmt Public Relations	0.00	33,769.46	60,000.00	-26,230.54	56.28%
7440 · Region L Contributions	0.00	0.00	1,500.00	-1,500.00	0.0%
7450 · Permit & Fees	0.00	90,089.86	91,000.00	-910.14	99.0%
7500 · Supplies	527.48	10,945.62	29,000.00	-18,054.38	37.74%
7600 · Telephone, Telecommunications	0.00	1,262.84	3,800.00	-2,537.16	33.23%
7700 · Travel, Conferences & Meetings	180.66	3,443.82	5,000.00	-1,556.18	68.88%
7800 · Employee Expenses					
7810 · Salaries and wages	41,436.33	331,608.70	554,861.11	-223,252.41	59.76%
7820 · Auto Allowance	1,453.86	11,630.88	12,600.00	-969.12	92.31%
7821 · Phone Allowance	311.55	2,492.40	2,700.00	-207.60	92.31%
7830 · Payroll taxes	3,245.77	23,722.23	40,892.93	-17,170.70	58.01%
7840 · Employee Insurance	2,881.20	32,542.80	62,582.28	-30,039.48	52.0%
7850 · Retirement	3,641.93	29,119.46	48,463.68	-19,344.22	60.09%
7860 · Licenses & Permits	0.00	596.00	3,700.00	-3,104.00	16.11%
7865 · Mileage Reimbursement	0.00	0.00	2,500.00	-2,500.00	0.0%
7867 · Training	0.00	0.00	0.00	0.00	0.0%
7870 · Employee Expenses, Other	0.00	0.00	7,000.00	-7,000.00	0.0%
<b>Total 7800 · Employee Expenses</b>	<b>52,970.64</b>	<b>431,712.47</b>	<b>735,300.00</b>	<b>-303,587.53</b>	<b>58.71%</b>
<b>Total Expenses</b>	<b>548,074.78</b>	<b>8,522,235.19</b>	<b>7,654,060.00</b>	<b>-26,483.10</b>	<b>111.34%</b>
<b>Net Ordinary Income</b>	<b>17,727,089.47</b>	<b>29,759,522.07</b>	<b>9,384,610.00</b>	<b>21,269,570.36</b>	<b>317.11%</b>
Other Income/Expense					
Other Expense					
8550 · Bond Principal					
8550-51 · Bond Principal - CRWA 2015A	0.00	0.00	195,000.00	-195,000.00	0.0%
8550-52 · Bond Principal - Kyle 2015	0.00	0.00	95,000.00	-95,000.00	0.0%
8550-53 · Bond Principal - CRWA 2017A	0.00	0.00	270,000.00	-270,000.00	0.0%
8550-54 · Bond Principal - Kyle 2017B	0.00	0.00	245,000.00	-245,000.00	0.0%
8550-55 · Bond Principal - SM 2017C	0.00	0.00	555,000.00	-555,000.00	0.0%
8550-56 · Bond Principal - Buda 2017D	0.00	0.00	80,000.00	-80,000.00	0.0%
8550-57 · Bond Principal - CRWA 2019A	0.00	0.00	755,000.00	-755,000.00	0.0%
8550-58 · Bond Principal - Kyle 2019B	0.00	0.00	690,000.00	-690,000.00	0.0%
8550-59 · Bond Principal - SM 2019C	0.00	0.00	1,510,000.00	-1,510,000.00	0.0%
8550-60 · Bond Principal - Buda 2019D	0.00	0.00	215,000.00	-215,000.00	0.0%
8550-61 · Bond Principal - CRWA 2020A	0.00	0.00	1,110,000.00	-1,110,000.00	0.0%
8550-62 · Bond Principal - Kyle 2020B	0.00	0.00	1,015,000.00	-1,015,000.00	0.0%
8550-63 · Bond Principal - SM 2020C	0.00	0.00	2,190,000.00	-2,190,000.00	0.0%
8550-64 · Bond Principal - Buda 2020D	0.00	0.00	310,000.00	-310,000.00	0.0%
<b>Total 8550 · Bond Principal</b>	<b>0.00</b>	<b>0.00</b>	<b>9,235,000.00</b>	<b>-9,235,000.00</b>	<b>0.0%</b>
<b>Total Other Expense</b>	<b>0.00</b>	<b>0.00</b>	<b>9,235,000.00</b>	<b>-9,235,000.00</b>	<b>0.0%</b>
<b>Net Other Income</b>	<b>0.00</b>	<b>0.00</b>	<b>-9,235,000.00</b>	<b>9,235,000.00</b>	<b>0.0%</b>
<b>Net Income</b>	<b>17,727,089.47</b>	<b>29,759,522.07</b>	<b>149,610.00</b>	<b>17,577,479.47</b>	<b>19,891.4%</b>

**Alliance Regional Water Authority**  
**Chase VISA Credit Card Transactions**  
**August 15, 2023**

Type	Date	Name	Split	Amount	Balance
<b>2006 · Chase Bank VISA Card</b>					5,958.61
Credit Card Charge	07/24/2023	Willscot Mobile Mini	1440-05 · Phase 1A...	651.70	6,610.31
Credit Card Charge	07/25/2023	Adobo Acropro	7500 · Supplies	23.99	6,634.30
Credit Card Charge	07/26/2023	Squarespace Inc	7500 · Supplies	31.39	6,665.69
Credit Card Charge	07/26/2023	Dell Sales & Service	7500 · Supplies	139.19	6,804.88
Credit Card Charge	07/26/2023	USPS	7500 · Supplies	50.00	6,854.88
Credit Card Charge	07/27/2023	Dell Sales & Service	7500 · Supplies	203.05	7,057.93
Credit Card Charge	07/27/2023	Stamps Com	7500 · Supplies	59.47	7,117.40
Credit Card Charge	07/27/2023	QT	7700 · Travel, Conf...	11.43	7,128.83
Credit Card Charge	07/28/2023	Spicy Bite	7700 · Travel, Conf...	50.59	7,179.42
Credit Card Charge	07/29/2023	TEEX	7860 · Licenses & P...	485.00	7,664.42
Credit Card Charge	07/30/2023	Fedex	7500 · Supplies	28.47	7,692.89
Credit Card Charge	07/30/2023	Verizon	7600 · Telephone, T...	126.27	7,819.16
Credit Card Charge	07/31/2023	Blacks Barbeque	7700 · Travel, Conf...	39.37	7,858.53
Credit Card Charge	08/01/2023	Stamps Com	7500 · Supplies	20.19	7,878.72
Credit Card Charge	08/01/2023	Google GSuite	7500 · Supplies	12.79	7,891.51
Credit Card Charge	08/01/2023	Google GSuite	7500 · Supplies	25.40	7,916.91
Credit Card Charge	08/02/2023	Lux Funeral Home & Cremat...	1440-17 · Phase 1B...	2,345.00	10,261.91
Credit Card Charge	08/02/2023	Henrys Restaurant	7700 · Travel, Conf...	36.00	10,297.91
Credit Card Charge	08/03/2023	Pedernales Electric	6240 · O&M, Buda ...	454.56	10,752.47
Credit Card Charge	08/08/2023	Mcafee	7500 · Supplies	113.65	10,866.12
Credit Card Charge	08/10/2023	Rackspace	7500 · Supplies	265.45	11,131.57
Credit Card Charge	08/11/2023	Top Notch Hutto	7700 · Travel, Conf...	31.07	11,162.64
Check	08/14/2023	Chase	1005 · Broadway C...	-5,958.61	5,204.03
Total 2006 · Chase Bank VISA Card				-754.58	5,204.03
<b>TOTAL</b>				<b>-754.58</b>	<b>5,204.03</b>



**ALLIANCE WATER**

# **Alliance Regional Water Authority**

**Financial Statements  
(Compilation)**

**For the One Month Ended and Year-to-Date  
September 30, 2023**

## Alliance Regional Water Authority

## Balance Sheet

11/05/23

As of September 30, 2023

Accrual Basis

	Sep 30, 23
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
1004 · Broadway Bank	
1005 · Broadway Checking (8040)	-237,868.50
1010 · Broadway Savings (4415)	1,024,651.05
<b>Total 1004 · Broadway Bank</b>	<b>786,782.55</b>
1015 · TexStar	
1015-01 · TexStar (3310)	458,551.51
1015-02 · TexStar (0300)	2,630,653.53
<b>Total 1015 · TexStar</b>	<b>3,089,205.04</b>
1050 · Broadway Bank (Reserved)	
1051 · CRWA Debt Service (2785)	597,490.83
1052 · Kyle Debt Service (2787)	404,163.49
1055 · San Marcos Debt Service (6390)	502,078.29
1056 · Buda Debt Service (6391)	90,317.35
<b>Total 1050 · Broadway Bank (Reserved)</b>	<b>1,594,049.96</b>
1100 · Escrow Accounts	
1105 · BOKF, Escrow, CRWA Series 2015A	350,087.55
1106 · BOKF, Escrow, Kyle Series 2015B	231,896.06
1111 · BOKF, Escrow, CRWA Series 2019A	1,860,303.81
1112 · BOKF, Escrow, Kyle Series 2019B	1,696,496.61
1113 · BOKF, Escrow, SM Series 2019C	2,159,750.56
1114 · BOKF, Escrow, Buda Series 2019D	305,647.74
1115 · BOKF, Escrow, CRWA Series 2020A	13,647,094.20
1116 · BOKF, Escrow, CRWA 2020A-LM67	8,720,346.01
1117 · BOKF, Escrow, Kyle Series 2020B	12,444,142.83
1118 · BOKF, Escrow, Kyle 2020B-LM68	7,946,987.30
1119 · BOKF, Escrow, SM Series 2020C	15,877,332.30
1120 · BOKF, Escrow, SM 2020C-LM69	10,120,522.34
1121 · BOKF, Escrow, BUDA Series 2020D	2,279,325.35
1122 · BOKF, Escrow, Buda 2020D-LM70	1,426,382.36
1123 · BOKF, Escrow, CRWA Series 2022A	15,073,479.79
1124 · BOKF, Escrow, Kyle Series 2022B	13,737,200.64
1125 · BOKF, Escrow, SM 2022C	17,526,421.94
1126 · BOKF, Escrow, Buda Series 2022D	2,444,469.15
<b>Total 1100 · Escrow Accounts</b>	<b>127,847,886.54</b>
<b>Total Checking/Savings</b>	<b>133,317,924.09</b>
<b>Accounts Receivable</b>	
1200 · Accounts Receivable	133,239.63
1201 · Accounts Receivable, GBRA	-32,463,895.89
<b>Total Accounts Receivable</b>	<b>-32,330,656.26</b>
<b>Other Current Assets</b>	
12000 · Undeposited Funds	571,050.00
<b>Total Other Current Assets</b>	<b>571,050.00</b>
<b>Total Current Assets</b>	<b>101,558,317.83</b>
<b>Fixed Assets</b>	
1405 · Engineering & Construction Cost	2,406,324.92

## Alliance Regional Water Authority

## Balance Sheet

As of September 30, 2023

11/05/23

Accrual Basis

	Sep 30, 23
<b>1420 · Projects in Progress (Cash)</b>	
1420-01 · Legal Support	67,588.77
1420-02 · Hydrogeologic Support	225,835.52
1420-03 · PCCD Permitting	105,095.16
1420-04 · Kyle Water Model	25,000.00
1420-11 · Legal Support, GBRA	45,251.01
<b>Total 1420 · Projects in Progress (Cash)</b>	<b>468,770.46</b>
<b>1430 · Projects in Progress Eng (Cash)</b>	
1430-02 · Engineering - Plumbing Plan	17,663.79
1430-03 · Engineering Fees-ROW	11,594.69
1430-05 · Engineering - Rate Study	50,760.00
1430-06 · DPR Study	59,880.00
1430-07 · Alignment Study	261,120.80
1430-08 · Prelim Engineering-Well Field	65,586.00
1430-09 · GCUWCD Monitoring Wells	129,175.39
1430-10 · 2017 SWIFT Funding Apps	23,107.96
1430-11 · Blanco Basin WW	85,739.00
1430-12 · Phase 1B Programming	107,761.14
1430-13 · ARWA-GBRA MOU Study	15,000.00
1430-14 · Phase 1A GIS	59,840.62
<b>Total 1430 · Projects in Progress Eng (Cash)</b>	<b>887,229.39</b>
<b>1440 · Projects in Prog Eng. (Finance)</b>	
1440-01 · Engineering-Phase 1A Pipeline	540,838.84
1440-02 · Engineering-Phase 1A Pump Stat	1,001,947.66
1440-03 · Engineering-ROW Acquisition	409,078.13
1440-04 · Phase 1A Const Observation	1,377,582.56
1440-05 · Phase 1A-Construction Trailer	79,582.33
1440-06 · Phase 1A Segment A Construction	1,734,150.32
1440-07 · Phase 1A BPS Construction	4,888,392.60
1440-08 · Phase 1A Segment B Construction	3,757,344.92
1440-15 · Land Acquisition Phase 1B	41,885,047.59
1440-16 · Phase 1B-Owners Rep	12,995,932.72
1440-17 · Phase 1B Environmental	3,844,674.07
1440-18 · Phase 1B Segment A Design	3,158,065.40
1440-19 · Phase 1B Segment B Design	2,965,325.16
1440-20 · Phase 1B Segment C Design	3,937,052.64
1440-21 · Phase 1B Segment D Design	3,068,147.12
1440-22 · Phase 1B Segment E Design	2,524,887.01
1440-23 · Phase 1B Land Attorney	4,597,828.71
1440-24 · Phase 1B Hydrogeology	540,941.00
1440-25 · Phase 1B WTP Design	5,717,613.00
1440-26 · Raw Water Infr.	1,687,509.18
1440-27 · Phase 1B Program Survey	3,529,587.91
1440-28 · Phase 1B BPS Design	3,121,692.99
1440-29 · GVEC Construction-in-Aid	1,740,143.30
1440-30 · Phase 1B Inline Tanks	535,005.18
1440-31 · Construction Mgmt & Inspection	10,652,634.11
1440-32 · Phase 1B Construction ARWA Only	3,841,392.95
1440-33 · SCADA Programming	622,429.64
1440-34 · Materials Testing	1,351,892.55
1440-35 · Shared Construction	162,603,606.48
<b>Total 1440 · Projects in Prog Eng. (Finance)</b>	<b>288,710,326.07</b>
<b>1447 · Land &amp; Easements</b>	<b>938,215.70</b>

## Alliance Regional Water Authority

## Balance Sheet

11/05/23

As of September 30, 2023

Accrual Basis

	Sep 30, 23
1448 · Capitalized Interest	
1448-51 · Cap Interest, CRWA Series 2015A	152,369.03
1448-52 · Cap Interest, Kyle Series 2015B	222,143.28
1448-53 · Cap Interest, CRWA Series 2017A	127,269.80
1448-54 · Cap Interest, Kyle Series 2017B	116,100.88
1448-55 · Cap Interest, SM Series 2017C	65,904.35
1448-56 · Cap Interest, Buda Series 2017D	9,576.21
	693,363.55
<b>Total 1448 · Capitalized Interest</b>	<b>693,363.55</b>
<b>Total Fixed Assets</b>	<b>294,104,230.09</b>
<b>Other Assets</b>	
1900 · Deferred Outflow	48,214.34
	48,214.34
<b>Total Other Assets</b>	<b>48,214.34</b>
<b>TOTAL ASSETS</b>	<b>395,710,762.26</b>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
<b>Accounts Payable</b>	
2000 · Accounts Payable	7,558,456.58
	7,558,456.58
<b>Total Accounts Payable</b>	<b>7,558,456.58</b>
<b>Credit Cards</b>	
2006 · Chase Bank VISA Card	3,583.33
	3,583.33
<b>Total Credit Cards</b>	<b>3,583.33</b>
<b>Other Current Liabilities</b>	
2100 · Payroll Liabilities	-394.80
2102 · 401(a) Liability	3,691.96
2103 · Net Pension Liability	-16,518.00
2104 · Pension Deferred Inflows	23,905.00
2106 · Accrued Vacation	23,476.42
2300 · Accrued Costs	330,643.18
2350 · Accrued Interest Payable	
2351 · Accrued Int Payable, CRWA 2015A	7,001.13
2352 · Accrued Int Payable, Kyle 2015B	10,388.86
2353 · Accrued Int Payable, CRWA 2017A	28,367.56
2354 · Accrued Int Payable, Kyle 2017B	25,874.00
2355 · Accrued Int Payable, SM 2017C	20,301.68
2356 · Accrued Int Payable, Buda 2017D	2,883.08
2357 · Accrued Int Payable, CRWA 2019A	66,182.85
2358 · Accrued Int Payable, Kyle 2019B	60,392.06
2359 · Accrued Int Payable, SM 2019C	47,837.76
2360 · Accrued Int Payable, Buda 2019D	6,783.25
2361 · Accrued Int Payable, CRWA 2020A	77,023.30
2362 · Accrued Int Payable, Kyle 2020B	70,237.67
2363 · Accrued Int Payable, SM 2020C	48,832.55
2364 · Accrued Int Payable, Buda 2020D	6,915.67
2365 · Accrued Int Payable, CRWA 2022A	75,566.30
2366 · Accrued Int Payable, Kyle 2022B	69,074.69
2367 · Accrued Int Payable, SM 2022C	70,376.46
2368 · Accrued Int Payable, Buda 2022D	9,973.18
	704,012.05
<b>Total 2350 · Accrued Interest Payable</b>	<b>704,012.05</b>
<b>Total Other Current Liabilities</b>	<b>1,068,815.81</b>
<b>Total Current Liabilities</b>	<b>8,630,855.72</b>



## Alliance Regional Water Authority

## Balance Sheet

11/05/23

As of September 30, 2023

Accrual Basis

	<u>Sep 30, 23</u>
<b>Long Term Liabilities</b>	
2501 · Bond Payable, CRWA Series 2015A	2,645,000.00
2502 · Bond Payable, Kyle Series 2015B	2,885,000.00
2503 · Bond Payable, CRWA Series 2017A	8,535,000.00
2504 · Bond Payable, Kyle Series 2017B	7,785,000.00
2505 · Bond Payable, SM Series 2017C	8,710,000.00
2506 · Bond Payable, Buda Series 2017D	1,235,000.00
2507 · Bond Payable, CRWA Series 2019A	24,285,000.00
2508 · Bond Payable, Kyle Series 2019B	22,155,000.00
2509 · Bond Payable, SM Series 2019C	26,305,000.00
2510 · Bond Payable, Buda Series 2019D	3,730,000.00
2511 · Bond Payable, CRWA Series 2020A	35,645,000.00
2512 · Bond Payable, Kyle Series 2020B	32,505,000.00
2513 · Bond Payable, SM Series 2020C	39,575,000.00
2514 · Bond Payable, Buda Series 2020D	5,605,000.00
2515 · Bond Payable, CRWA 2022A	14,830,000.00
2516 · Bond Payable, Kyle 2022B	13,520,000.00
2517 · Bond Payable, SM 2022C	17,210,000.00
2518 · Bond Payable, Buda 2022D	2,440,000.00
<b>Total Long Term Liabilities</b>	<u>269,600,000.00</u>
<b>Total Liabilities</b>	<u>278,230,855.72</u>
<b>Equity</b>	
2925 · Net Investment in Capital Asset	56,633,807.98
2950 · Retained Earnings	31,116,504.05
Net Income	29,729,594.51
<b>Total Equity</b>	<u>117,479,906.54</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>395,710,762.26</u></u>

**Alliance Regional Water Authority**  
**Profit Loss / Budget vs. Actual**  
**For the One Month and Twelve Months Ended**  
**September 30, 2023**

	September 2023	October 2022 September 2023	Annual Budget	Over/Under Budget	% of Annual Budget
<b>Ordinary Income/Expense</b>					
<b>Income</b>					
<b>4010 - Project Contribution</b>					
4011 - City of San Marcos	164,358.33	6,524,195.51	6,524,195.50	0.01	100.0%
4012 - City of Kyle	129,112.50	4,502,832.00	4,499,330.50	3,501.50	100.08%
4013 - City of Buda	0.00	905,110.67	928,392.50	-23,281.83	97.49%
4014 - Canyon Regional Water Authority	141,579.17	4,988,324.00	4,988,321.50	2.50	100.0%
4015 - GBRA	0.00	14,964,137.47	0.00	14,964,137.47	100.0%
<b>Total 4010 - Project Contribution</b>	<b>435,050.00</b>	<b>31,884,599.65</b>	<b>16,940,240.00</b>	<b>14,944,359.65</b>	<b>188.22%</b>
<b>4200 - Shared Water</b>					
4210 - Shared Water, City of Buda	22,447.50	219,537.00	0.00	219,537.00	100.0%
4211 - Shared Water, County Line SUD	45,879.83	340,314.72	0.00	340,314.72	100.0%
<b>Total 4200 - Shared Water</b>	<b>68,327.33</b>	<b>559,851.72</b>	<b>0.00</b>	<b>559,851.72</b>	<b>100.0%</b>
<b>4250 - Non Potable Water Sales</b>					
4250 - Non Potable Water Sales	3,161.75	35,131.91	0.00	35,131.91	100.0%
<b>4300 - Broadway Interest Income</b>					
4311 - City of San Marcos	3,126.40	47,956.73	1,250.00	46,706.73	3,836.54%
4312 - City of Kyle	2,352.78	47,365.69	980.00	46,385.69	4,833.23%
4313 - City of Buda	389.05	7,174.11	175.00	6,999.11	4,099.49%
4314 - Canyon Regional Water Authority	2,860.81	53,783.42	1,075.00	52,708.42	5,003.11%
<b>Total 4300 - Broadway Interest Income</b>	<b>8,729.04</b>	<b>156,279.95</b>	<b>3,480.00</b>	<b>152,799.95</b>	<b>4,490.8%</b>
<b>4350 - Escrow Accounts Income</b>					
4351 - BOKF, CRWA Series 2015A	1,490.64	13,763.59	0.00	13,763.59	100.0%
4352 - BOKF, Kyle Series 2015B	987.39	9,116.94	0.00	9,116.94	100.0%
4357 - BOKF, CRWA Series 2019A	7,921.03	73,137.45	0.00	73,137.45	100.0%
4358 - BOKF, Kyle Series 2019B	7,223.55	66,697.39	0.00	66,697.39	100.0%
4359 - BOKF, SM Series 2019C	9,196.05	84,910.09	0.00	84,910.09	100.0%
4360 - BOKF, Buda Series 2019D	1,301.43	12,016.46	0.00	12,016.46	100.0%
4361 - BOKF, CRWA Series 2020A	61,546.69	800,723.45	0.00	800,723.45	100.0%
4362 - BOKF, CRWA Series 2020A-LM67	37,130.57	342,838.48	0.00	342,838.48	100.0%
4363 - BOKF, Kyle Series 2020B	56,121.84	730,166.48	0.00	730,166.48	100.0%
4364 - BOKF, Kyle Series 2020B-LM68	33,837.66	312,434.02	0.00	312,434.02	100.0%
4365 - BOKF, SM Series 2020C	71,596.09	930,911.35	0.00	930,911.35	100.0%
4366 - BOKF, SM Series 2020C-LM69	43,092.41	397,886.07	0.00	397,886.07	100.0%
4367 - BOKF, Buda Series 2020D	9,705.19	127,837.48	0.00	127,837.48	100.0%
4368 - BOKF, Buda Series 2020D-LM70	6,073.43	56,077.89	0.00	56,077.89	100.0%
4369 - BOKF, CRWA Series 2022A	64,181.73	515,952.79	0.00	515,952.79	100.0%
4370 - BOKF, Kyle Series 2022B	58,491.95	471,443.64	0.00	471,443.64	100.0%
4371 - BOKF, SM Series 2022C	74,626.17	599,914.94	0.00	599,914.94	100.0%
4372 - BOKF, Buda Series 2022D	10,408.36	83,672.15	0.00	83,672.15	100.0%
<b>Total 4350 - Escrow Accounts Income</b>	<b>554,932.18</b>	<b>5,629,500.66</b>	<b>0.00</b>	<b>5,629,500.66</b>	<b>100.0%</b>
<b>4370 - TexStar Interest Income</b>					
4371 - City of San Marcos	9,962.32	388,519.54	21,500.00	367,019.54	1,807.07%
4372 - City of Kyle	7,825.96	305,203.46	16,900.00	288,303.46	1,805.94%
4373 - City of Buda	1,411.28	55,038.46	3,050.00	51,988.46	1,804.54%
4374 - Canyon Regional Water Authority	8,581.60	334,672.88	18,500.00	316,172.88	1,809.04%
<b>Total 4370 - TexStar Interest Income</b>	<b>27,781.16</b>	<b>1,083,434.34</b>	<b>59,950.00</b>	<b>1,023,484.34</b>	<b>1,807.23%</b>
4901 - Miscellaneous Income	0.00	30,940.00	35,000.00	-4,060.00	88.4%
<b>Total Income</b>	<b>1,097,981.46</b>	<b>39,379,738.23</b>	<b>17,038,670.00</b>	<b>22,341,068.23</b>	<b>231.12%</b>
<b>Expenses</b>					
<b>6000 - Groundwater Reservation Costs</b>					
6000 - Groundwater Reservation Costs	226,259.47	1,694,497.75	1,520,470.00	174,027.75	111.45%
<b>6010 - Shared Water Costs</b>					
6015 - Shared Water, City of Kyle	9,347.22	60,931.17	0.00	60,931.17	100.0%
6020 - Shared Water, City of San Marcos	117,601.63	594,507.23	0.00	594,507.23	100.0%
<b>Total 6010 - Shared Water Costs</b>	<b>126,948.85</b>	<b>655,438.40</b>	<b>0.00</b>	<b>655,438.40</b>	<b>100.0%</b>
<b>6200 - Plant Operations &amp; Maintenance</b>					
6201 - O&M, General	233,745.59	290,418.96	9,000.00	281,418.96	3,226.88%
6240 - O&M, Buda BPS	565.89	4,704.26	23,750.00	-19,045.74	19.81%
<b>Total 6200 - Plant Operations &amp; Maintenance</b>	<b>234,311.48</b>	<b>295,123.22</b>	<b>32,750.00</b>	<b>262,373.22</b>	<b>901.14%</b>
7125 - Auditing fees	0.00	11,250.00	13,000.00	-1,750.00	86.54%
7210 - Bank Fees	669.57	3,968.13	4,000.00	-31.87	99.2%
7220 - Escrow and Paying Agent Fees	0.00	6,646.29	60,000.00	-53,353.71	11.08%
<b>7240 - Bond Issue Costs</b>					
7240-15 - Bond Issue Costs - CRWA 2022A	0.00	272,123.00	0.00	272,123.00	100.0%
7240-16 - Bond Issue Costs - Kyle 2022B	0.00	253,893.00	0.00	253,893.00	100.0%
7240-17 - Bond Issue Costs - SM 2022C	0.00	283,143.00	0.00	283,143.00	100.0%
7240-18 - Bond Issue Costs - Buda 2022D	0.00	78,853.00	0.00	78,853.00	100.0%
<b>Total 7240 - Bond Issue Costs</b>	<b>0.00</b>	<b>888,012.00</b>	<b>0.00</b>	<b>888,012.00</b>	<b>100.0%</b>
<b>7250 - Interest Expense</b>					
7250-51 - Interest Expense - CRWA 2015A	4,737.84	56,748.37	56,854.00	-105.63	99.81%
7250-52 - Interest Expense - Kyle 2015B	6,967.34	83,545.85	83,608.00	-62.15	99.93%
7250-53 - Interest Expense - CRWA 2017A	19,003.96	227,909.12	228,047.00	-137.88	99.94%
7250-54 - Interest Expense - Kyle 2017B	17,333.04	207,870.94	207,996.00	-125.06	99.94%
7250-55 - Interest Expense - SM 2017C	13,690.16	164,048.44	164,282.00	-233.56	99.86%
7250-56 - Interest Expense - Buda 2017D	1,944.50	23,300.33	23,334.00	-33.67	99.86%
7250-57 - Interest Expense - CRWA 2019A	44,344.20	531,797.05	532,130.00	-332.95	99.94%
7250-58 - Interest Expense - Kyle 2019B	40,464.54	485,269.75	485,574.00	-304.25	99.94%
7250-59 - Interest Expense - SM 2019C	32,256.76	386,533.62	387,081.00	-547.38	99.86%

**Alliance Regional Water Authority  
Profit Loss / Budget vs. Actual  
For the One Month and Twelve Months Ended  
September 30, 2023**

	September 2023	October 2022 September 2023	Annual Budget	Over/Under Budget	% of Annual Budget
7250-60 · Interest Expense - Buda 2019D	4,574.12	54,811.57	54,889.50	-77.93	99.86%
7250-61 · Interest Expense - CRWA 2020A	51,401.28	616,736.88	616,815.50	-78.62	99.99%
7250-62 · Interest Expense - Kyle 2020B	46,873.04	562,404.61	562,476.50	-71.89	99.99%
7250-63 · Interest Expense - SM 2020C	32,640.20	391,554.75	391,682.50	-127.75	99.97%
7250-64 · Interest Expense - Buda 2020D	4,622.50	55,451.92	55,470.00	-18.08	99.97%
7250-65 · Interest Expense - CRWA 2022A	52,255.36	493,609.11	375,000.00	118,609.11	131.63%
7250-66 · Interest Expense - Kyle 2022B	47,820.94	451,642.19	340,000.00	111,642.19	132.84%
7250-67 · Interest Expense - SM 2022C	48,666.50	459,708.38	340,000.00	119,708.38	135.21%
7250-68 · Interest Expense - Buda 2022D	6,896.62	65,146.20	50,000.00	15,146.20	130.29%
<b>Total 7250 · Interest Expense</b>	<b>476,492.90</b>	<b>5,318,089.08</b>	<b>4,955,240.00</b>	<b>362,849.08</b>	<b>107.32%</b>
7325 · Dues	0.00	2,713.00	9,000.00	-6,287.00	30.14%
7350 · Insurance - Liability, E&O	0.00	4,897.04	7,000.00	-2,102.96	69.96%
7400 · Legal Fees	7,921.38	87,012.52	125,000.00	-37,987.48	69.61%
7410 · Newspaper Public Notices	199.98	1,324.82	2,000.00	-675.18	66.24%
7425 · Contract Services-Lobbyist	5,000.00	60,000.00	60,000.00	0.00	100.00%
7430 · Agency Mgmt Public Relations	12,130.85	45,900.31	60,000.00	-14,099.69	76.5%
7440 · Region L Contributions	0.00	0.00	1,500.00	-1,500.00	0.0%
7450 · Permit & Fees	0.00	90,089.86	91,000.00	-910.14	99.0%
7500 · Supplies	969.66	12,143.61	29,000.00	-16,856.39	41.88%
7600 · Telephone, Telecommunications	126.27	1,515.38	3,800.00	-2,284.62	39.88%
7700 · Travel, Conferences & Meetings	271.53	3,747.78	5,000.00	-1,252.22	74.96%
<b>7800 · Employee Expenses</b>					
7810 · Salaries and wages	27,174.22	358,782.92	554,861.11	-196,078.19	64.66%
7820 · Auto Allowance	969.24	12,600.12	12,600.00	0.12	100.00%
7821 · Phone Allowance	207.70	2,700.10	2,700.00	0.10	100.00%
7830 · Payroll taxes	2,128.89	25,851.12	40,892.93	-15,041.81	63.22%
7840 · Employee Insurance	3,141.99	35,684.79	62,582.28	-26,897.49	57.02%
7850 · Retirement	2,390.02	31,509.48	48,463.68	-16,954.20	65.02%
7860 · Licenses & Permits	50.00	646.00	3,700.00	-3,054.00	17.46%
7865 · Mileage Reimbursement	0.00	0.00	2,500.00	-2,500.00	0.0%
7867 · Training	0.00	0.00	0.00	0.00	0.0%
7870 · Employee Expenses, Other	0.00	0.00	7,000.00	-7,000.00	0.0%
<b>Total 7800 · Employee Expenses</b>	<b>36,062.06</b>	<b>467,774.53</b>	<b>735,300.00</b>	<b>-267,525.47</b>	<b>63.62%</b>
<b>Total Expenses</b>	<b>1,127,364.00</b>	<b>9,650,143.72</b>	<b>7,654,060.00</b>	<b>1,101,425.43</b>	<b>128.08%</b>
<b>Net Ordinary Income</b>	<b>-29,382.54</b>	<b>29,729,594.51</b>	<b>9,384,610.00</b>	<b>21,239,642.80</b>	<b>316.79%</b>
<b>Other Income/Expense</b>					
<b>Other Expense</b>					
<b>8550 · Bond Principal</b>					
8550-51 · Bond Principal - CRWA 2015A	0.00	0.00	195,000.00	-195,000.00	0.0%
8550-52 · Bond Principal - Kyle 2015	0.00	0.00	95,000.00	-95,000.00	0.0%
8550-53 · Bond Principal - CRWA 2017A	0.00	0.00	270,000.00	-270,000.00	0.0%
8550-54 · Bond Principal - Kyle 2017B	0.00	0.00	245,000.00	-245,000.00	0.0%
8550-55 · Bond Principal - SM 2017C	0.00	0.00	555,000.00	-555,000.00	0.0%
8550-56 · Bond Principal - Buda 2017D	0.00	0.00	80,000.00	-80,000.00	0.0%
8550-57 · Bond Principal - CRWA 2019A	0.00	0.00	755,000.00	-755,000.00	0.0%
8550-58 · Bond Principal - Kyle 2019B	0.00	0.00	690,000.00	-690,000.00	0.0%
8550-59 · Bond Principal - SM 2019C	0.00	0.00	1,510,000.00	-1,510,000.00	0.0%
8550-60 · Bond Principal - Buda 2019D	0.00	0.00	215,000.00	-215,000.00	0.0%
8550-61 · Bond Principal - CRWA 2020A	0.00	0.00	1,110,000.00	-1,110,000.00	0.0%
8550-62 · Bond Principal - Kyle 2020B	0.00	0.00	1,015,000.00	-1,015,000.00	0.0%
8550-63 · Bond Principal - SM 2020C	0.00	0.00	2,190,000.00	-2,190,000.00	0.0%
8550-64 · Bond Principal - Buda 2020D	0.00	0.00	310,000.00	-310,000.00	0.0%
<b>Total 8550 · Bond Principal</b>	<b>0.00</b>	<b>0.00</b>	<b>9,235,000.00</b>	<b>-9,235,000.00</b>	<b>0.0%</b>
<b>Total Other Expense</b>	<b>0.00</b>	<b>0.00</b>	<b>9,235,000.00</b>	<b>-9,235,000.00</b>	<b>0.0%</b>
<b>Net Other Income</b>	<b>0.00</b>	<b>0.00</b>	<b>-9,235,000.00</b>	<b>9,235,000.00</b>	<b>0.0%</b>
<b>Net Income</b>	<b>-29,382.54</b>	<b>29,729,594.51</b>	<b>149,610.00</b>	<b>-178,992.54</b>	<b>19,871.4%</b>

**Alliance Regional Water Authority**  
**Chase VISA Credit Card Transactions**  
**July 31, 2020**

Type	Date	Name	Split	Amount	Balance
<b>2006 · Chase Bank VISA Card</b>					5,204.03
Credit Card Charge	08/28/2023	Montana Mikes	7700 · Travel, Conf...	32.43	5,236.46
Credit Card Charge	08/28/2023	Adobo Acropro	7500 · Supplies	21.64	5,258.10
Credit Card Charge	08/28/2023	Willscot Mobile Mini	1440-05 · Phase 1A...	705.47	5,963.57
Credit Card Charge	08/28/2023	Bluebonnet Electric Coopera...	6201 · O&M, General	157.50	6,121.07
Credit Card Charge	08/28/2023	Squarespace Inc	7500 · Supplies	30.91	6,151.98
Credit Card Charge	08/29/2023	Fedex	7500 · Supplies	29.09	6,181.07
Credit Card Charge	08/29/2023	UPS Store	7500 · Supplies	6.00	6,187.07
Credit Card Charge	08/29/2023	Rackspace	7500 · Supplies	116.70	6,303.77
Credit Card Charge	08/29/2023	Adobo Acropro	7500 · Supplies	23.99	6,327.76
Credit Card Charge	08/30/2023	Verizon	7600 · Telephone, T...	126.27	6,454.03
Credit Card Charge	09/01/2023	Fedex	7500 · Supplies	29.15	6,483.18
Credit Card Charge	09/01/2023	Stamps Com	7500 · Supplies	20.19	6,503.37
Credit Card Charge	09/01/2023	Google GSuite	7500 · Supplies	25.44	6,528.81
Credit Card Charge	09/01/2023	Google GSuite	7500 · Supplies	12.79	6,541.60
Credit Card Charge	09/01/2023	Zoom.US	7500 · Supplies	299.80	6,841.40
Credit Card Charge	09/05/2023	Mcafee	7500 · Supplies	113.65	6,955.05
Credit Card Charge	09/05/2023	Pedernales Electric	6240 · O&M, Buda ...	454.27	7,409.32
Credit Card Charge	09/06/2023	Casa Garcia's	7700 · Travel, Conf...	30.41	7,439.73
Credit Card Charge	09/10/2023	Rackspace	7500 · Supplies	265.45	7,705.18
Check	09/14/2023	Chase	1005 · Broadway C...	-5,204.03	2,501.15
Credit Card Charge	09/15/2023	Texas Board Eng License R...	7860 · Licenses & P...	50.00	2,551.15
Credit Card Charge	09/15/2023	Adobo Acropro	7500 · Supplies	21.64	2,572.79
Credit Card Charge	09/15/2023	Coopers BBQ	7700 · Travel, Conf...	66.00	2,638.79
Credit Card Charge	09/16/2023	Rackspace	7500 · Supplies	116.65	2,755.44
Credit Card Charge	09/18/2023	Bluebonnet Electric Coopera...	6201 · O&M, General	150.00	2,905.44
Credit Card Charge	09/18/2023	Home Depot	6240 · O&M, Buda ...	111.62	3,017.06
Total 2006 · Chase Bank VISA Card				-2,186.97	3,017.06
<b>TOTAL</b>				<b>-2,186.97</b>	<b>3,017.06</b>



**ALLIANCE WATER**

# **Alliance Regional Water Authority**

**Financial Statements  
(Compilation)**

**For the One Month Ended and Year-to-Date  
October 31, 2023**

## Alliance Regional Water Authority

## Balance Sheet

11/09/23

As of October 31, 2023

Accrual Basis

	Oct 31, 23
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
1004 · Broadway Bank	
1005 · Broadway Checking (8040)	-435,074.90
1010 · Broadway Savings (4415)	1,020,974.49
	585,899.59
<b>Total 1004 · Broadway Bank</b>	<b>585,899.59</b>
1015 · TexStar	
1015-01 · TexStar (3310)	460,624.32
1015-02 · TexStar (0300)	1,572,465.92
	2,033,090.24
<b>Total 1015 · TexStar</b>	<b>2,033,090.24</b>
1050 · Broadway Bank (Reserved)	
1051 · CRWA Debt Service (2785)	598,252.02
1052 · Kyle Debt Service (2787)	404,592.57
1055 · San Marcos Debt Service (6390)	502,676.66
1056 · Buda Debt Service (6391)	90,353.23
	1,595,874.48
<b>Total 1050 · Broadway Bank (Reserved)</b>	<b>1,595,874.48</b>
1100 · Escrow Accounts	
1105 · BOKF, Escrow, CRWA Series 2015A	351,543.13
1106 · BOKF, Escrow, Kyle Series 2015B	232,860.23
1111 · BOKF, Escrow, CRWA Series 2019A	1,868,038.42
1112 · BOKF, Escrow, Kyle Series 2019B	1,703,550.16
1113 · BOKF, Escrow, SM Series 2019C	2,168,730.19
1114 · BOKF, Escrow, Buda Series 2019D	306,918.54
1115 · BOKF, Escrow, CRWA Series 2020A	13,705,177.44
1116 · BOKF, Escrow, CRWA 2020A-LM67	8,756,603.25
1117 · BOKF, Escrow, Kyle Series 2020B	12,497,106.33
1118 · BOKF, Escrow, Kyle 2020B-LM68	7,980,029.10
1119 · BOKF, Escrow, SM Series 2020C	15,945,034.00
1120 · BOKF, Escrow, SM 2020C-LM69	10,162,601.21
1121 · BOKF, Escrow, BUDA Series 2020D	2,156,000.49
1122 · BOKF, Escrow, Buda 2020D-LM70	1,432,312.94
1123 · BOKF, Escrow, CRWA Series 2022A	15,136,151.94
1124 · BOKF, Escrow, Kyle Series 2022B	13,794,316.85
1125 · BOKF, Escrow, SM 2022C	17,599,292.88
1126 · BOKF, Escrow, Buda Series 2022D	2,454,632.71
	128,250,899.81
<b>Total 1100 · Escrow Accounts</b>	<b>128,250,899.81</b>
<b>Total Checking/Savings</b>	<b>132,465,764.12</b>
<b>Accounts Receivable</b>	
1201 · Accounts Receivable, GBRA	-32,463,895.89
	-32,463,895.89
<b>Total Accounts Receivable</b>	<b>-32,463,895.89</b>
<b>Other Current Assets</b>	<b>0.00</b>
<b>Total Current Assets</b>	<b>100,001,868.23</b>
<b>Fixed Assets</b>	
1405 · Engineering & Construction Cost	2,406,324.92
1420 · Projects in Progress (Cash)	
1420-01 · Legal Support	67,588.77
1420-02 · Hydrogeologic Support	225,835.52
1420-03 · PCCD Permitting	105,095.16
1420-04 · Kyle Water Model	25,000.00
1420-11 · Legal Support, GBRA	45,251.01
	468,770.46
<b>Total 1420 · Projects in Progress (Cash)</b>	<b>468,770.46</b>

## Alliance Regional Water Authority

## Balance Sheet

11/09/23

As of October 31, 2023

Accrual Basis

	Oct 31, 23
<b>1430 · Projects in Progress Eng (Cash)</b>	
1430-02 · Engineering - Plumbing Plan	17,663.79
1430-03 · Engineering Fees-ROW	11,594.69
1430-05 · Engineering - Rate Study	50,760.00
1430-06 · DPR Study	59,880.00
1430-07 · Alignment Study	261,120.80
1430-08 · Prelim Engineering-Well Field	65,586.00
1430-09 · GCUWCD Monitoring Wells	129,175.39
1430-10 · 2017 SWIFT Funding Apps	23,107.96
1430-11 · Blanco Basin WW	85,739.00
1430-12 · Phase 1B Programming	107,761.14
1430-13 · ARWA-GBRA MOU Study	15,000.00
1430-14 · Phase 1A GIS	59,840.62
<b>Total 1430 · Projects in Progress Eng (Cash)</b>	<b>887,229.39</b>
<b>1440 · Projects in Prog Eng. (Finance)</b>	
1440-01 · Engineering-Phase 1A Pipeline	540,838.84
1440-02 · Engineering-Phase 1A Pump Stat	1,001,947.66
1440-03 · Engineering-ROW Acquisition	409,078.13
1440-04 · Phase 1A Const Observation	1,377,582.56
1440-05 · Phase 1A-Construction Trailer	80,234.03
1440-06 · Phase 1A Segment A Construction	1,734,150.32
1440-07 · Phase 1A BPS Construction	4,888,392.60
1440-08 · Phase 1A Segment B Construction	3,757,344.92
1440-15 · Land Acquisition Phase 1B	41,884,929.59
1440-16 · Phase 1B-Owners Rep	12,995,932.72
1440-17 · Phase 1B Environmental	3,844,674.07
1440-18 · Phase 1B Segment A Design	3,158,065.40
1440-19 · Phase 1B Segment B Design	2,965,325.16
1440-20 · Phase 1B Segment C Design	3,937,052.64
1440-21 · Phase 1B Segment D Design	3,068,147.12
1440-22 · Phase 1B Segment E Design	2,524,887.01
1440-23 · Phase 1B Land Attorney	4,597,828.71
1440-24 · Phase 1B Hydrogeology	540,941.00
1440-25 · Phase 1B WTP Design	5,717,613.00
1440-26 · Raw Water Infr.	1,687,509.18
1440-27 · Phase 1B Program Survey	3,529,587.91
1440-28 · Phase 1B BPS Design	3,121,692.99
1440-29 · GVEC Construction-in-Aid	1,740,143.30
1440-30 · Phase 1B Inline Tanks	535,005.18
1440-31 · Construction Mgmt & Inspection	10,652,634.11
1440-32 · Phase 1B Construction ARWA Only	3,841,392.95
1440-33 · SCADA Programming	622,429.64
1440-34 · Materials Testing	1,351,892.55
1440-35 · Shared Construction	162,603,606.48
<b>Total 1440 · Projects in Prog Eng. (Finance)</b>	<b>288,710,859.77</b>
<b>1447 · Land &amp; Easements</b>	<b>938,215.70</b>
<b>1448 · Capitalized Interest</b>	
1448-51 · Cap Interest, CRWA Series 2015A	152,369.03
1448-52 · Cap Interest, Kyle Series 2015B	222,143.28
1448-53 · Cap Interest, CRWA Series 2017A	127,269.80
1448-54 · Cap Interest, Kyle Series 2017B	116,100.88
1448-55 · Cap Interest, SM Series 2017C	65,904.35
1448-56 · Cap Interest, Buda Series 2017D	9,576.21
<b>Total 1448 · Capitalized Interest</b>	<b>693,363.55</b>
<b>Total Fixed Assets</b>	<b>294,104,763.79</b>

## Alliance Regional Water Authority

## Balance Sheet

11/09/23

As of October 31, 2023

Accrual Basis

	Oct 31, 23
Other Assets	
1900 · Deferred Outflow	48,214.34
<b>Total Other Assets</b>	<b>48,214.34</b>
<b>TOTAL ASSETS</b>	<b>394,154,846.36</b>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	5,537,605.95
<b>Total Accounts Payable</b>	<b>5,537,605.95</b>
Credit Cards	
2006 · Chase Bank VISA Card	3,066.84
<b>Total Credit Cards</b>	<b>3,066.84</b>
Other Current Liabilities	
2100 · Payroll Liabilities	-926.12
2102 · 401(a) Liability	3,647.93
2103 · Net Pension Liability	-16,518.00
2104 · Pension Deferred Inflows	23,905.00
2106 · Accrued Vacation	23,476.42
2300 · Accrued Costs	330,643.18
2350 · Accrued Interest Payable	
2351 · Accrued Int Payable, CRWA 2015A	11,738.97
2352 · Accrued Int Payable, Kyle 2015B	17,356.20
2353 · Accrued Int Payable, CRWA 2017A	47,371.52
2354 · Accrued Int Payable, Kyle 2017B	43,207.04
2355 · Accrued Int Payable, SM 2017C	33,991.84
2356 · Accrued Int Payable, Buda 2017D	4,827.58
2357 · Accrued Int Payable, CRWA 2019A	110,527.05
2358 · Accrued Int Payable, Kyle 2019B	100,856.60
2359 · Accrued Int Payable, SM 2019C	80,094.52
2360 · Accrued Int Payable, Buda 2019D	11,357.37
2361 · Accrued Int Payable, CRWA 2020A	128,424.58
2362 · Accrued Int Payable, Kyle 2020B	117,110.71
2363 · Accrued Int Payable, SM 2020C	81,472.75
2364 · Accrued Int Payable, Buda 2020D	11,538.17
2365 · Accrued Int Payable, CRWA 2022A	127,821.66
2366 · Accrued Int Payable, Kyle 2022B	116,895.63
2367 · Accrued Int Payable, SM 2022C	119,042.96
2368 · Accrued Int Payable, Buda 2022D	16,869.80
<b>Total 2350 · Accrued Interest Payable</b>	<b>1,180,504.95</b>
<b>Total Other Current Liabilities</b>	<b>1,544,733.36</b>
<b>Total Current Liabilities</b>	<b>7,085,406.15</b>
Long Term Liabilities	
2501 · Bond Payable, CRWA Series 2015A	2,645,000.00
2502 · Bond Payable, Kyle Series 2015B	2,885,000.00
2503 · Bond Payable, CRWA Series 2017A	8,535,000.00
2504 · Bond Payable, Kyle Series 2017B	7,785,000.00
2505 · Bond Payable, SM Series 2017C	8,710,000.00
2506 · Bond Payable, Buda Series 2017D	1,235,000.00
2507 · Bond Payable, CRWA Series 2019A	24,285,000.00
2508 · Bond Payable, Kyle Series 2019B	22,155,000.00
2509 · Bond Payable, SM Series 2019C	26,305,000.00
2510 · Bond Payable, Buda Series 2019D	3,730,000.00
2511 · Bond Payable, CRWA Series 2020A	35,645,000.00
2512 · Bond Payable, Kyle Series 2020B	32,505,000.00
2513 · Bond Payable, SM Series 2020C	39,575,000.00
2514 · Bond Payable, Buda Series 2020D	5,605,000.00
2515 · Bond Payable, CRWA 2022A	14,830,000.00



## Alliance Regional Water Authority

## Balance Sheet

11/09/23

As of October 31, 2023

Accrual Basis

	Oct 31, 23
2516 · Bond Payable, Kyle 2022B	13,520,000.00
2517 · Bond Payable, SM 2022C	17,210,000.00
2518 · Bond Payable, Buda 2022D	2,440,000.00
<b>Total Long Term Liabilities</b>	<b>269,600,000.00</b>
<b>Total Liabilities</b>	<b>276,685,406.15</b>
<b>Equity</b>	
2925 · Net Investment in Capital Asset	56,633,807.98
2950 · Retained Earnings	60,893,105.36
Net Income	-57,473.13
<b>Total Equity</b>	<b>117,469,440.21</b>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>394,154,846.36</b>

**Alliance Regional Water Authority**  
**Profit Loss / Budget vs. Actual**  
**For the One Month and One Month Ended October 31, 2023**

	October 2023	October 2023 October 2023	Annual Budget	Over/Under Budget	% of Annual Budget
<b>Ordinary Income/Expense</b>					
<b>Income</b>					
<b>4010 - Project Contribution</b>					
4011 - City of San Marcos	0.00	0.00	8,424,265.24	-8,424,265.24	0.0%
4012 - City of Kyle	0.00	0.00	5,593,354.67	-5,593,354.67	0.0%
4013 - City of Buda	0.00	0.00	1,163,980.04	-1,163,980.04	0.0%
4014 - Canyon Regional Water Authority	0.00	0.00	6,255,160.83	-6,255,160.83	0.0%
4015 - GBRA	0.00	0.00	0.00	0.00	0.0%
<b>Total 4010 - Project Contribution</b>	<b>0.00</b>	<b>0.00</b>	<b>21,436,760.78</b>	<b>-21,436,760.78</b>	<b>0.0%</b>
<b>4200 - Shared Water</b>					
4210 - Shared Water, City of Buda	0.00	0.00	0.00	0.00	0.0%
4211 - Shared Water, County Line SUD	0.00	0.00	0.00	0.00	0.0%
<b>Total 4200 - Shared Water</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.0%</b>
<b>4250 - Non Potable Water Sales</b>					
	0.00	0.00	0.00	0.00	0.0%
<b>4300 - Broadway Interest Income</b>					
4311 - City of San Marcos	1,198.51	1,198.51	6,000.00	-4,801.49	19.98%
4312 - City of Kyle	900.52	900.52	9,250.00	-8,349.48	9.74%
4313 - City of Buda	120.90	120.90	1,050.00	-929.10	11.51%
4314 - Canyon Regional Water Authority	1,278.15	1,278.15	10,250.00	-8,971.85	12.47%
<b>Total 4300 - Broadway Interest Income</b>	<b>3,498.08</b>	<b>3,498.08</b>	<b>26,550.00</b>	<b>-23,051.92</b>	<b>13.18%</b>
<b>4350 - Escrow Accounts Income</b>					
4351 - BOKF, CRWA Series 2015A	1,455.58	1,455.58	0.00	0.00	100.0%
4352 - BOKF, Kyle Series 2015B	964.17	964.17	0.00	964.17	100.0%
4357 - BOKF, CRWA Series 2019A	7,734.61	7,734.61	0.00	7,734.61	100.0%
4358 - BOKF, Kyle Series 2019B	7,053.55	7,053.55	0.00	7,053.55	100.0%
4359 - BOKF, SM Series 2019C	8,979.63	8,979.63	0.00	8,979.63	100.0%
4360 - BOKF, Buda Series 2019D	1,270.80	1,270.80	0.00	1,270.80	100.0%
4361 - BOKF, CRWA Series 2020A	58,083.24	58,083.24	0.00	58,083.24	100.0%
4362 - BOKF, CRWA Series 2020A-LM67	36,257.24	36,257.24	0.00	36,257.24	100.0%
4363 - BOKF, Kyle Series 2020B	52,963.50	52,963.50	0.00	52,963.50	100.0%
4364 - BOKF, Kyle Series 2020B-LM68	33,041.80	33,041.80	0.00	33,041.80	100.0%
4365 - BOKF, SM Series 2020C	67,701.70	67,701.70	0.00	67,701.70	100.0%
4366 - BOKF, SM Series 2020C-LM69	42,078.87	42,078.87	0.00	42,078.87	100.0%
4367 - BOKF, Buda Series 2020D	9,476.93	9,476.93	0.00	9,476.93	100.0%
4368 - BOKF, Buda Series 2020D-LM70	5,930.58	5,930.58	0.00	5,930.58	100.0%
4369 - BOKF, CRWA Series 2022A	62,672.15	62,672.15	0.00	62,672.15	100.0%
4370 - BOKF, Kyle Series 2022B	57,116.21	57,116.21	0.00	57,116.21	100.0%
4371 - BOKF, SM Series 2022C	72,870.94	72,870.94	0.00	72,870.94	100.0%
4372 - BOKF, Buda Series 2022D	10,163.56	10,163.56	0.00	10,163.56	100.0%
<b>Total 4350 - Escrow Accounts Income</b>	<b>535,815.06</b>	<b>535,815.06</b>	<b>0.00</b>	<b>534,359.48</b>	<b>100.0%</b>
<b>4370 - TexStar Interest Income</b>					
4371 - City of San Marcos	3,890.36	3,890.36	120,000.00	-116,109.64	3.24%
4372 - City of Kyle	3,056.09	3,056.09	85,000.00	-81,943.91	3.6%
4373 - City of Buda	551.11	551.11	14,000.00	-13,448.89	3.94%
4374 - Canyon Regional Water Authority	3,351.19	3,351.19	100,000.00	-96,648.81	3.35%
<b>Total 4370 - TexStar Interest Income</b>	<b>10,848.75</b>	<b>10,848.75</b>	<b>319,000.00</b>	<b>-308,151.25</b>	<b>3.4%</b>
4901 - Miscellaneous Income	0.00	0.00	30,000.00	-30,000.00	0.0%
<b>Total Income</b>	<b>550,161.89</b>	<b>550,161.89</b>	<b>21,812,310.78</b>	<b>-21,263,604.47</b>	<b>2.52%</b>
<b>Expenses</b>					
<b>6000 - Groundwater Reservation Costs</b>					
	0.00	0.00	2,905,883.67	-2,905,883.67	0.0%
<b>6010 - Shared Water Costs</b>					
6015 - Shared Water, City of Kyle	0.00	0.00	0.00	0.00	0.0%
6020 - Shared Water, City of San Marcos	0.00	0.00	0.00	0.00	0.0%
<b>Total 6010 - Shared Water Costs</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.0%</b>
<b>6200 - Plant Operations &amp; Maintenance</b>					
6201 - O&M, General	150.00	150.00	928,466.61	-928,316.61	0.02%
6240 - O&M, Buda BPS	554.18	554.18	5,000.00	-4,445.82	11.08%
<b>Total 6200 - Plant Operations &amp; Maintenance</b>	<b>704.18</b>	<b>704.18</b>	<b>933,466.61</b>	<b>-932,762.43</b>	<b>0.08%</b>
7125 - Auditing fees	0.00	0.00	13,000.00	-13,000.00	0.0%
7210 - Bank Fees	309.64	309.64	4,000.00	-3,690.36	7.74%
7220 - Escrow and Paying Agent Fees	0.00	0.00	0.00	0.00	0.0%
<b>7250 - Interest Expense</b>					
7250-51 - Interest Expense - CRWA 2015A	4,737.84	4,737.84	54,319.00	-49,581.16	8.72%
7250-52 - Interest Expense - Kyle 2015B	6,967.34	6,967.34	82,116.50	-75,149.16	8.49%
7250-53 - Interest Expense - CRWA 2017A	19,003.96	19,003.96	224,726.50	-205,722.54	8.46%
7250-54 - Interest Expense - Kyle 2017B	17,333.04	17,333.04	204,983.00	-187,649.96	8.46%
7250-55 - Interest Expense - SM 2017C	13,690.16	13,690.16	158,676.50	-144,986.34	8.63%
7250-56 - Interest Expense - Buda 2017D	1,944.50	1,944.50	22,526.00	-20,581.50	8.63%
7250-57 - Interest Expense - CRWA 2019A	44,344.20	44,344.20	524,127.50	-479,783.30	8.46%
7250-58 - Interest Expense - Kyle 2019B	40,464.54	40,464.54	478,260.50	-437,795.96	8.46%
7250-59 - Interest Expense - SM 2019C	32,256.76	32,256.76	373,944.00	-341,687.24	8.63%
7250-60 - Interest Expense - Buda 2019D	4,574.12	4,574.12	53,019.00	-48,444.88	8.63%
7250-61 - Interest Expense - CRWA 2020A	51,401.28	51,401.28	614,928.50	-563,527.22	8.36%
7250-62 - Interest Expense - Kyle 2020B	46,873.04	46,873.04	560,751.00	-513,877.96	8.36%
7250-63 - Interest Expense - SM 2020C	32,640.20	32,640.20	388,616.50	-355,976.30	8.4%
7250-64 - Interest Expense - Buda 2020D	4,622.50	4,622.50	54,447.00	-49,824.50	8.49%
7250-65 - Interest Expense - CRWA 2022A	52,255.36	52,255.36	559,462.50	-507,207.14	9.34%

**Alliance Regional Water Authority**  
**Profit Loss / Budget vs. Actual**  
**For the One Month and One Month Ended October 31, 2023**

	October 2023	October 2023 October 2023	Annual Budget	Over/Under Budget	% of Annual Budget
7250-66 · Interest Expense - Kyle 2022B	47,820.94	47,820.94	510,090.00	-462,269.06	9.38%
7250-67 · Interest Expense - SM 2022C	48,666.50	48,666.50	521,039.00	-472,372.50	9.34%
7250-68 · Interest Expense - Buda 2022D	6,896.62	6,896.62	73,837.50	-66,940.88	9.34%
<b>Total 7250 · Interest Expense</b>	<b>476,492.90</b>	<b>476,492.90</b>	<b>5,459,870.50</b>	<b>-4,983,377.60</b>	<b>8.73%</b>
7325 · Dues	244.00	244.00	4,000.00	-3,756.00	6.1%
7350 · Insurance - Liability, E&O	0.00	0.00	15,000.00	-15,000.00	0.0%
7400 · Legal Fees	0.00	0.00	125,000.00	-125,000.00	0.0%
7410 · Newspaper Public Notices	0.00	0.00	750.00	-750.00	0.0%
7425 · Contract Services-Lobbyist	0.00	0.00	45,000.00	-45,000.00	0.0%
7430 · Agency Mgmt Public Relations	0.00	0.00	75,000.00	-75,000.00	0.0%
7440 · Region L Contributions	0.00	0.00	1,500.00	-1,500.00	0.0%
7450 · Permit & Fees	90,302.71	90,302.71	100,100.00	-9,797.29	90.21%
7500 · Supplies	2,064.03	2,064.03	15,000.00	-12,935.97	13.76%
7600 · Telephone, Telecommunications	0.00	0.00	1,500.00	-1,500.00	0.0%
7700 · Travel, Conferences & Meetings	80.45	80.45	5,000.00	-4,919.55	1.61%
7900 · Admin Operations - Other	0.00	0.00	2,000.00	-2,000.00	0.0%
<b>7800 · Employee Expenses</b>					
7810 · Salaries and wages	28,600.98	28,600.98	397,993.00	-369,392.02	7.19%
7820 · Auto Allowance	969.24	969.24	12,600.00	-11,630.76	7.69%
7821 · Phone Allowance	207.70	207.70	2,700.00	-2,492.30	7.69%
7830 · Payroll taxes	1,973.29	1,973.29	27,441.00	-25,467.71	7.19%
7840 · Employee Insurance	3,175.61	3,175.61	39,895.00	-36,719.39	7.96%
7850 · Retirement	2,510.29	2,510.29	48,561.00	-46,050.71	5.17%
7860 · Licenses & Permits	0.00	0.00	1,700.00	-1,700.00	0.0%
7865 · Mileage Reimbursement	0.00	0.00	800.00	-800.00	0.0%
7867 · Training	0.00	0.00	0.00	0.00	0.0%
7870 · Employee Expenses, Other	0.00	0.00	4,000.00	-4,000.00	0.0%
<b>Total 7800 · Employee Expenses</b>	<b>37,437.11</b>	<b>37,437.11</b>	<b>535,690.00</b>	<b>-498,252.89</b>	<b>6.99%</b>
<b>Total Expenses</b>	<b>607,635.02</b>	<b>607,635.02</b>	<b>10,241,760.78</b>	<b>-9,632,125.76</b>	<b>5.93%</b>
<b>Net Ordinary Income</b>	<b>-57,473.13</b>	<b>-57,473.13</b>	<b>11,570,550.00</b>	<b>-11,631,478.71</b>	<b>-0.5%</b>
<b>Other Income/Expense</b>					
<b>Other Expense</b>					
<b>8550 · Bond Principal</b>					
8550-51 · Bond Principal - CRWA 2015A	0.00	0.00	195,000.00	-195,000.00	0.0%
8550-52 · Bond Principal - Kyle 2015	0.00	0.00	100,000.00	-100,000.00	0.0%
8550-53 · Bond Principal - CRWA 2017A	0.00	0.00	275,000.00	-275,000.00	0.0%
8550-54 · Bond Principal - Kyle 2017B	0.00	0.00	250,000.00	-250,000.00	0.0%
8550-55 · Bond Principal - SM 2017C	0.00	0.00	560,000.00	-560,000.00	0.0%
8550-56 · Bond Principal - Buda 2017D	0.00	0.00	80,000.00	-80,000.00	0.0%
8550-57 · Bond Principal - CRWA 2019A	0.00	0.00	760,000.00	-760,000.00	0.0%
8550-58 · Bond Principal - Kyle 2019B	0.00	0.00	695,000.00	-695,000.00	0.0%
8550-59 · Bond Principal - SM 2019C	0.00	0.00	1,520,000.00	-1,520,000.00	0.0%
8550-60 · Bond Principal - Buda 2019D	0.00	0.00	215,000.00	-215,000.00	0.0%
8550-61 · Bond Principal - CRWA 2020A	0.00	0.00	1,115,000.00	-1,115,000.00	0.0%
8550-62 · Bond Principal - Kyle 2020B	0.00	0.00	1,015,000.00	-1,015,000.00	0.0%
8550-63 · Bond Principal - SM 2020C	0.00	0.00	2,195,000.00	-2,195,000.00	0.0%
8550-64 · Bond Principal - Buda 2020D	0.00	0.00	310,000.00	-310,000.00	0.0%
8550-65 · Bond Principal - CRWA 2022A	0.00	0.00	340,000.00	-340,000.00	0.0%
8550-66 · Bond Principal - Kyle 2022B	0.00	0.00	310,000.00	-310,000.00	0.0%
8550-67 · Bond Principal - SM 2022C	0.00	0.00	740,000.00	-740,000.00	0.0%
8550-68 · Bond Principal - Buda 2022D	0.00	0.00	105,000.00	-105,000.00	0.0%
<b>Total 8550 · Bond Principal</b>	<b>0.00</b>	<b>0.00</b>	<b>10,780,000.00</b>	<b>-10,780,000.00</b>	<b>0.0%</b>
<b>Total Other Expense</b>	<b>0.00</b>	<b>0.00</b>	<b>10,780,000.00</b>	<b>-10,780,000.00</b>	<b>0.0%</b>
<b>Net Other Income</b>	<b>0.00</b>	<b>0.00</b>	<b>-10,780,000.00</b>	<b>10,780,000.00</b>	<b>0.0%</b>
<b>Net Income</b>	<b>-57,473.13</b>	<b>-57,473.13</b>	<b>790,550.00</b>	<b>-848,023.13</b>	<b>-7.27%</b>

**Alliance Regional Water Authority**  
**Chase VISA Credit Card Transactions**  
**October 2023**

Type	Date	Name	Split	Amount	Balance
<b>2006 · Chase Bank VISA Card</b>					3,017.06
Credit Card Charge	09/22/2023	UPS Store	7500 · Supplies	10.00	3,027.06
Credit Card Charge	09/22/2023	Logan's	7700 · Travel, Conf...	40.14	3,067.20
Credit Card Charge	09/25/2023	Adobo Acropro	7500 · Supplies	23.99	3,091.19
Credit Card Charge	09/26/2023	Squarespace Inc	7500 · Supplies	30.91	3,122.10
Credit Card Charge	09/27/2023	Amtek Information	7410 · Newspaper ...	199.98	3,322.08
Credit Card Charge	09/28/2023	Willies Grill	7700 · Travel, Conf...	95.02	3,417.10
Credit Card Charge	09/29/2023	Chuy's	7700 · Travel, Conf...	39.96	3,457.06
Credit Card Charge	09/30/2023	Verizon	7600 · Telephone, T...	126.27	3,583.33
Credit Card Charge	10/01/2023	Stamps Com	7500 · Supplies	20.19	3,603.52
Credit Card Charge	10/01/2023	Google GSuite	7500 · Supplies	25.43	3,628.95
Credit Card Charge	10/01/2023	Google GSuite	7500 · Supplies	12.79	3,641.74
Credit Card Charge	10/03/2023	Pedernales Electric	6240 · O&M, Buda ...	478.13	4,119.87
Credit Card Charge	10/03/2023	Amazon	7500 · Supplies	30.08	4,149.95
Credit Card Charge	10/05/2023	Willscot Mobile Mini	1440-05 · Phase 1A...	651.70	4,801.65
Credit Card Charge	10/09/2023	Amazon	7500 · Supplies	42.93	4,844.58
Credit Card Charge	10/10/2023	Amazon	7500 · Supplies	18.35	4,862.93
Credit Card Charge	10/10/2023	Rackspace	7500 · Supplies	265.45	5,128.38
Credit Card Charge	10/11/2023	Meridian Coffee	7700 · Travel, Conf...	5.06	5,133.44
Credit Card Charge	10/13/2023	American Water Works Assn.	7325 · Dues	244.00	5,377.44
Check	10/13/2023	Chase	1005 · Broadway C...	-3,017.06	2,360.38
Credit Card Charge	10/15/2023	Adobo Acropro	7500 · Supplies	21.64	2,382.02
Credit Card Charge	10/16/2023	Squarespace Inc	7500 · Supplies	268.63	2,650.65
Credit Card Charge	10/16/2023	Bluebonnet Electric Coopera...	6201 · O&M, General	150.00	2,800.65
Credit Card Charge	10/16/2023	Rackspace	7500 · Supplies	114.75	2,915.40
Credit Card Charge	10/16/2023	Home Depot	6240 · O&M, Buda ...	17.88	2,933.28
Credit Card Charge	10/17/2023	Mr. Taco	7700 · Travel, Conf...	43.30	2,976.58
Credit Card Charge	10/20/2023	Mod Pizza	7700 · Travel, Conf...	32.09	3,008.67
Credit Card Charge	10/20/2023	Home Depot	6240 · O&M, Buda ...	58.17	3,066.84
Total 2006 · Chase Bank VISA Card				49.78	3,066.84
<b>TOTAL</b>				<b>49.78</b>	<b>3,066.84</b>

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

**E.3** Consider approval of the Quarterly Investment Report for the period ending September 30, 2023. ~ *Graham Moore, P.E., Executive Director*

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Attachment(s)

- September 30, 2023 Quarterly Investment Report

**Board Decision(s) Needed:**

- Approval of the quarterly investment report.

# Alliance Regional Water Authority



## Quarterly Investment Report Ending as of September 30, 2023

Submitted by:

A handwritten signature in blue ink, appearing to read 'Graham Moore', is positioned above a horizontal line.

Graham Moore – Alliance Regional Water Authority Investment Officer

The following reports are submitted in accordance with the Public Funds Investment Act (Chapter 2256) and the Authority's Investment Policy. The report includes an analysis of the cash accounts and investments, an analysis versus the benchmark and rates of returns for the pooled accounts.

**Alliance Regional Water Authority  
Quarterly Investment Report  
June 30, 2023 – September 30, 2023**

**Portfolio Allocation Analysis**

Portfolio as of June 30, 2023

Beginning Book Value    \$158,408,990.25  
Beginning Market Value \$158,408,990.25  
Unrealized Gain / Loss        – 0 –

Portfolio as of September 30, 2023

Ending Book Value                \$133,629,301.95  
Ending Market Value            \$133,629,301.95  
Accrued Interest                \$1,785,979.16  
Change in Unrealized Gain/Loss    – 0 –

<b>Schedule of Cash Accounts and Investments</b>		
	<b>As of June 30, 2023</b>	<b>As of September 30, 2023</b>
<b>Funds in Investment Pools</b>		
TexSTAR Balance	\$18,694,003.15	\$3,089,205.04
Deposits to TexSTAR in Period	\$28,310,392.08	\$0.00
Accrued Interest	\$269,871.34	\$110,858.89
Percentage of Total Portfolio	11.80%	2.31%
<b>Funds in Checking Accounts</b>		
Broadway Balance	\$98,876.50	\$75,000.00
Deposits to Checking in Period	\$17,651,835.29	\$40,190,480.88
Percentage of Total Portfolio	0.1%	0.1%
<b>Funds in Reserve Accounts</b>		
Reserves Balance	\$9,039,606.73	\$1,594,049.96
Deposits to Reserves in Period	\$4,932,073.00	\$1,231,885.75
Percentage of Total Portfolio	3.5%	0.7%
<b>Funds in Savings Accounts</b>		
Broadway Balance	\$1,891,729.26	\$1,024,651.05
Deposits to Savings in Period	\$12,150,000.00	\$5,000,000.00
Accrued Interest	\$19,172.66	\$57,930.18
Percentage of Total Portfolio	1.2%	0.8%

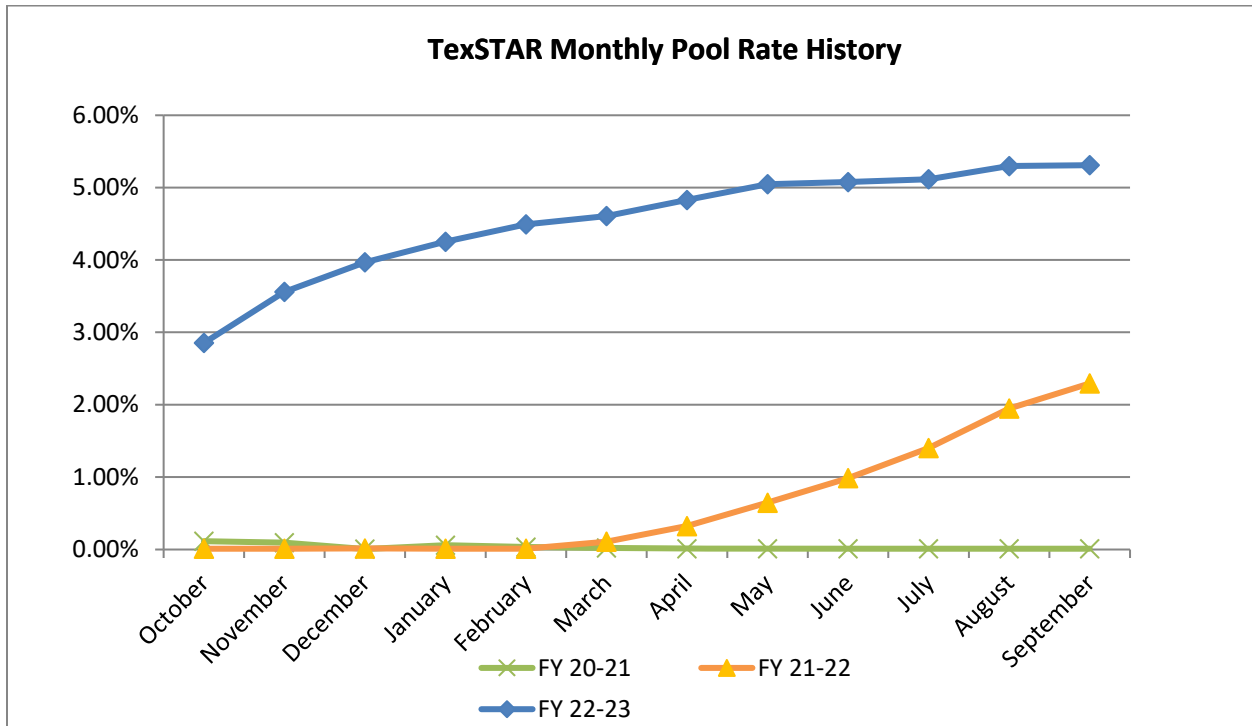
<b>Funds in Escrow Accounts</b>		
BoKF - 2015A (CRWA)	\$345,800.35	\$348,596.91
BoKF - 2015B (Kyle)	\$229,056.24	\$231,896.06
BoKF - 2019A (CRWA)	\$1,837,522.30	\$1,860,303.81
BoKF - 2019B (Kyle)	\$1,627,230.10	\$1,696,496.61
BoKF - 2019C (San Marcos)	\$2,133,301.99	\$2,159,750.56
BoKF - 2019D (Buda)	\$301,904.74	\$305,647.74
BoKF - 2020A (CRWA)	\$22,891,165.86	\$22,367,440.21
BoKF - 2020B (Kyle)	\$20,868,822.22	\$20,391,130.13
BoKF - 2020C (San Marcos)	\$26,605,456.87	\$25,997,854.64
BoKF - 2020D (Buda)	\$3,660,327.20	\$3,705,707.71
BoKF - 2022A (CRWA)	\$14,888,888.20	\$15,073,479.79
BoKF - 2022B (Kyle)	\$13,568,973.29	\$13,737,200.64
BoKF - 2022C (San Marcos)	\$17,311,791.34	\$17,526,421.94
BoKF - 2022D (Buda)	\$2,414,533.91	\$2,444,469.15
Deposits to Escrow in Period	\$0.00	\$0.00
Accrued Interest	\$1,106,315.87	\$989,432.54
Percentage of Total Portfolio	50.8%	59.2%
<b>Total Investments &amp; Cash Accounts</b>	<b>\$158,408,990.25</b>	<b>\$133,629,301.95</b>

<b>Benchmark Analysis</b>	
Benchmark (US Treasury – Daily Bill Rates: 4 weeks)	5.26%
TexSTAR Average Monthly Rate	5.24%
Average Weighted Maturity	25.7 Days



## Schedule of TexSTAR Monthly Rate History October 1, 2019 – September 30, 2023

<u>Month</u>	<u>Average Monthly Rate (FY 19-20)</u>	<u>Average Monthly Rate (FY 20-21)</u>	<u>Average Monthly Rate (FY 21-22)</u>	<u>Average Monthly Rate (FY 22-23)</u>	<u>Average Rate Variance</u>
<b>October</b>	1.85%	0.12%	0.01%	2.85%	2.74%
<b>November</b>	1.62%	0.09%	0.01%	3.56%	3.46%
<b>December</b>	1.56%	0.01%	0.01%	3.97%	3.96%
<b>January</b>	1.55%	0.06%	0.01%	4.25%	4.19%
<b>February</b>	1.56%	0.03%	0.01%	4.49%	4.46%
<b>March</b>	0.96%	0.02%	0.11%	4.61%	4.59%
<b>April</b>	0.44%	0.01%	0.32%	4.83%	4.51%
<b>May</b>	0.24%	0.01%	0.65%	5.05%	4.40%
<b>June</b>	0.20%	0.01%	0.99%	5.08%	4.09%
<b>July</b>	0.20%	0.01%	1.40%	5.11%	3.71%
<b>August</b>	0.16%	0.01%	1.95%	5.30%	3.35%
<b>September</b>	0.13%	0.01%	2.29%	5.31%	3.02%



**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

**G.1** Report on Technical Committee activities. ~ *Graham Moore, P.E., Executive Director*

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Background/Information

The following items were discussed by the Committee at its 11/8 meeting:

- Received a construction update on the Phase 1B projects (Item I.1).
- Received an update on the Phase 1B program (Item I.2).
- Approved Work Order #8 with Ardurra Group, Inc. for engineering services related to the demolition of buildings on the Water Treatment Plant property.
- Received an update on area water meetings (Item G.2).

**Board Decision(s) Needed:**

- None.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- G.2** Update on status of groundwater management in project target area, and Gonzales County Underground Water Conservation District, Plum Creek Conservation District, Groundwater Management Area 13, Region L Planning Group, Guadalupe-Blanco River Authority, Hays County and Capital Area Planning Group activities.

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Gonzales County Underground Water Conservation District (GCUWCD)

The GCUWCD met on November 14<sup>th</sup> – no issues directly affecting the Authority were discussed.

Plum Creek Conservation District (PCCD)

The PCCD met on May 17<sup>th</sup> – no issues directly affecting the Authority were discussed.

Groundwater Management Area 13

No update.

Region L Planning Group

No update.

Guadalupe-Blanco River Authority; Hays County Activities; CAPCOG Activities

No update.

**Board Decision(s) Needed:**

- None.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- H. EXECUTIVE DIRECTOR AND LEGAL COUNSEL REPORTS** - Update on future meeting dates, locations, status of Authority procurements, Executive Director activities, other operational activities and the status of legal issues, where no action is required. ~ *Graham Moore, P.E., Executive Director / Mike Gershon, Lloyd Gosselink Rochelle & Townsend, P.C.*

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**EXECUTIVE DIRECTOR**

- Need to move the December meeting to occur on or before Friday, December 15<sup>th</sup> – preferably either the afternoon of Thursday the 14<sup>th</sup> or sometime on Friday the 15<sup>th</sup>.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.1** Update and possible direction to Staff regarding construction of the Authority's Phase 1B program. ~ *Toby Flinn, P.E., Pape-Dawson Engineers*
- 

Background/Information

Toby Flinn with Pape Dawson will update the Board on recent construction activities associated with the Phase 1B program.

Attachment(s)

- Phase 1B Construction Update – November 22, 2023



# PHASE 1B CONSTRUCTION UPDATE

November 22, 2023

Water Resources | Transportation | Land Development | Surveying | Environmental

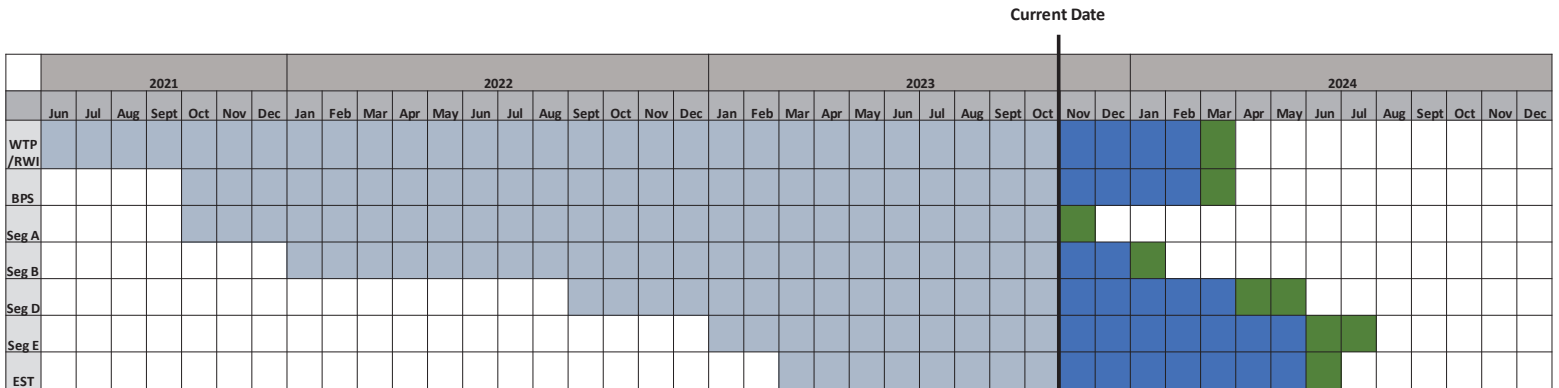


## PROGRAM CONTRACT VALUES



PROJECT	ORIGINAL CONTRACT PRICE	APPROVED CONTRACT MODIFICATIONS	CURRENT CONTRACT VALUE	BILLED TO DATE	REMAINING	% COMPLETE
WTP/RWI	\$54,349,675.00	\$862,792.00	\$55,212,467.00	\$44,097,588.21	\$11,114,878.79	79.87%
BPS	\$19,759,331.00	\$455,750.28	\$20,125,081.28	\$16,189,855.23	\$3,934,511.26	80.45%
Seg A	\$49,471,384.71	\$256,865.79	\$49,728,250.50	\$46,286,303.89	\$3,441,946.61	93.08%
Seg B	\$37,629,104.42	\$4,486,274.66	\$42,115,379.08	\$40,720,633.56	\$1,394,745.52	96.69%
Seg D	\$46,663,969.35	\$10,094.36	\$46,674,063.71	\$35,239,349.61	\$11,434,714.10	75.50%
Seg E	\$27,277,770.46	\$0.00	\$27,277,770.46	\$6,404,649.74	\$20,873,120.72	23.48%
EST	\$4,573,000.00	\$0.00	\$4,573,000.00	\$3,629,929.25	\$943,070.75	79.38%

# PROGRAM SCHEDULE DURATIONS



Contract Dates						Program Sub Completion Status
Project	Notice to Proceed	Contract Sub Completion	Contract Final Completion	Expected Sub-Completion	Expected Final	
WTP/RWI	7/16/2021	9/3/2023	11/2/2023	3/18/2024	3/28/2024	Delayed
BPS	10/25/2021	3/18/2023	3/17/2024	12/6/2023	3/18/2024	Delayed
Seg A	11/16/2021	10/7/2023	12/6/2023	11/29/2023	12/20/2023	Delayed
Seg B	2/15/2022	11/16/2023	1/5/2024	11/25/2023	1/24/2024	Delayed
Seg D	9/19/2022	4/5/2024	6/4/2024	3/18/2024	4/26/2024	On Time
Seg E	1/25/2023	5/24/2024	7/23/2024	5/24/2024	7/23/2024	On Time
EST	3/28/2023	5/21/2024	6/20/2024	5/21/2024	6/20/2024	On Time

## Water Treatment Plant / Raw Water Infrastructure (Archer Western / Walker Partners)

### Construction Status

- Continued installation of electrical duct bank and underground conduit.
- Continued conduit installation at the filter complex.
- Installation of wiring for the filter units valving and instrumentation.
- Alterman cleaned fiber conduits and hand holes.
- Detention pond overflow structure forms stripped.
- Entrance road subgrade preparation and limestone base installation continued.
- Continued concrete paving placement.
- Continued saw cutting pavement.
- Began grading around drainage channel.
- Continue interior insulation, liner fabric and liner panels at the Filter Complex
- Pressure tested WL A and B to well sites
- Installation of the type C walls continued at the filter complex (top of CMU walls to the roof).



WTP – Installing Rebar for Driveway



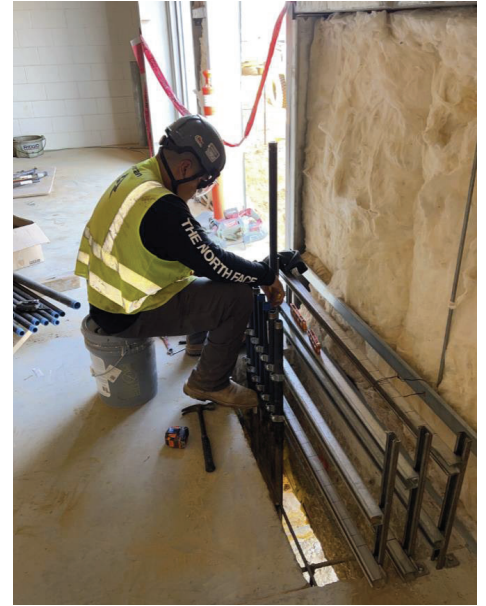
## WTP/RWI - Progress Photos



WTP – Filter Complex Rough-In Conduit Risers Under Slab



WTP – Filter Complex Rough-in Conduit Risers Under Slab in Electrical Room



WTP – Filter Complex Rough-in Conduits Under Slab in Electrical Room 5

## Water Treatment Plant / Raw Water Infrastructure (Archer Western / Walker Partners)

### Next Month - Projected Construction Activities

- Installation of electrical duct bank and underground conduit.
- Conduit installation at the Filter Complex and HSPS
- Excavate Pond C and construct berm.
- Entrance road subgrade preparation and limestone base installation.
- Continue concrete paving placement.
- Continue interior insulation, liner fabric and liner panels at the Filter Complex.
- Installation of the type C walls continued at the filter complex (top of CMU walls to the roof).
- Continue installation of the HVAC equipment and pads.
- Continue installation of potable water piping and plumbing at the Filter Complex.
- FRP ribbon curb for asphalt paving.



WTP – Wire Pulling at Filter Complex



# Booster Pump Station (MWH / Freese and Nichols)

## Construction Status

- Continued fencing installation.
- Pressure tested Segment B1 successfully.
- Began pressuring up Segment D.
- Continued site grading at the BPS site
- Conduit and electrical panel installation at BPS slab completion
- Electrical wire and cabling installation at BPS site
- Began placing entrance road base.
- Continued installation of the entrance culverts



BPS – Drainage Work for Booster Site Access Road

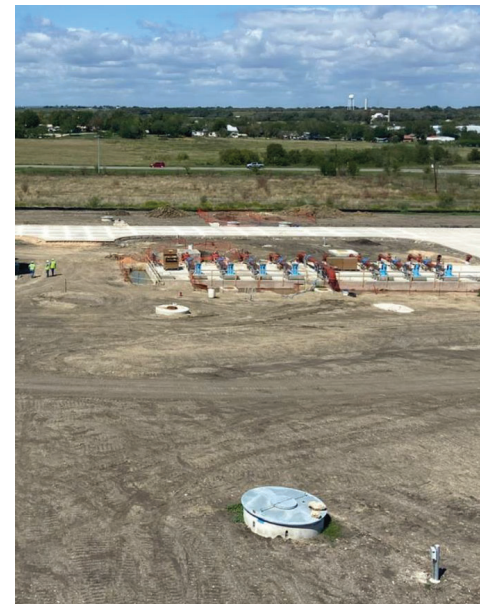
## BPS- Progress Photos



BPS – Security Fence Posts Installed



BPS – Barbed Wire Installed on Security Fence



BPS – Booster Site from Ground Storage Tank



# Booster Pump Station (MWH / Freese and Nichols)

## Next Month - Projected Construction Activities

- Continue working on the overall site grading.
- Install 12" and 24" culverts on site access road.
- Hydrostatic pressure test Segment D.
- Hydrostatic pressure test of CRWA# 4 piping.
- Pull wire at electrical building and to the pump station.
- Build electrical racks at GST.
- Continue fence installation.

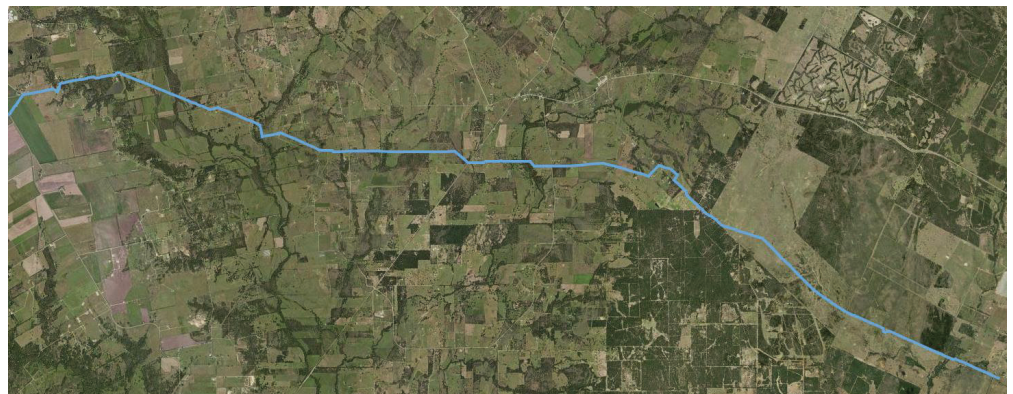


BPS – San Marcos 1 Delivery Point

# Segment A (Garney Construction / LAN)

## Construction Status

- Not Started
- ROW Cleared
- Pipe Delivered
- Pipe Installed
- Complete



Activity	Status
ROW Cleared	100%
Pipe Delivered	100%
Tunnels Completed	100% (15 of 15)
Pipe Installed	100%
Major Crossing (Plum Creek)	100%



## Segment A – Progress Photos



Seg A – Work Begins on Low Water Crossing at Rifle Road



Seg A – J7 Works on Low Water Crossing at Rifle Road



Seg A – Rifle Road Low Water Crossing Complete

11

## Segment A (Garney Construction / LAN)

### Next Month - Projected Construction Activities

- Major Crossing Completed 100% (1 of 1)
  - Finish laying pipe from the top bend of the launch shaft to the tie-in location on the west side of Plum Creek.
- Continue fixing deflected pipe moving West to East to facilitate filling Segment A in preparation of filling and hydrostatic testing.
- Continue cleaning the interior of pipe and continue internal pipe inspections with CMI staff.
- Perform maintenance on all county roads and private roads being used for construction operations.
- Continue haul-off excess native material, final grading of topsoil, and final build out of CAV assemblies.
- Conduct bi-weekly meeting 10/26/23.
- Demobilize from the Lehman Highschool baseball field tunnel on Segment C.
- Begin hydrostatically testing first test section of Segment A.



Seg A - Garney Test of 42" Line Passes

12



# Segment B (Garney Construction / K Friese)

## Construction Status

- Not Started
- ROW Cleared
- Pipe Delivered
- Pipe Installed
- Complete



Activity	Status
ROW Cleared	100%
Pipe Delivered	100%
Tunnels Completed B1	100% (21 of 21)
Tunnels Completed B2	100% (4 of 4)
Pipe Installed	100%
Major Crossing (TX 130)	100%

# SEGMENT B - PROGRESS PHOTOS



Seg B – STA 46+15 End of Pipe



Seg B – STA 46+15 Side Digging



Seg B – STA 45+16 End of Pipe



# Segment B (Garney Construction / K Friese)

## Next Month - Projected Construction Activities

- Garney Resources
  - Hauling off excess spoils from project site and spreading topsoil
  - Installing DV and CAVs
  - Cleaning interior of pipe for final CMI inspections
  - Correcting deflected pipe identified by CMI staff
- Major Crossings
  - Complete
- Tunnels
  - 21 of 21 (100%) on original Segment B1/B2 scope of work.
  - 4 of 4 (100%) completed on CP002 scope of work added to segment B contract
    - Tunnel will be completed, bulkheads installed, and bore pit backfilled.
- Conduct bi-weekly meeting



Segment B – Installing Pipe MK#1081

# Segment D (SJ Louis / Freese & Nichols)

### Construction Status

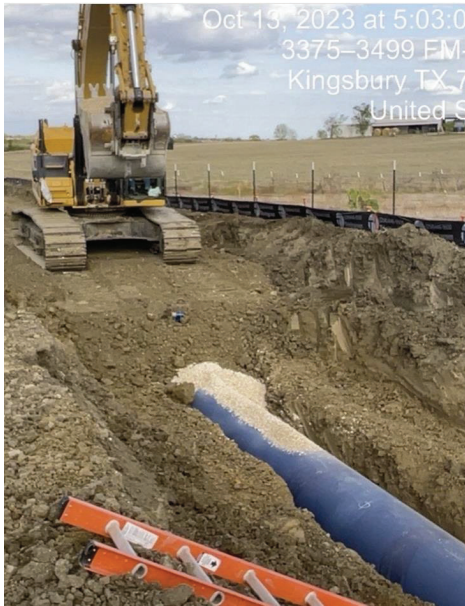
- Not Started
- ROW Cleared
- Pipe Delivered
- Pipe Installed
- Complete



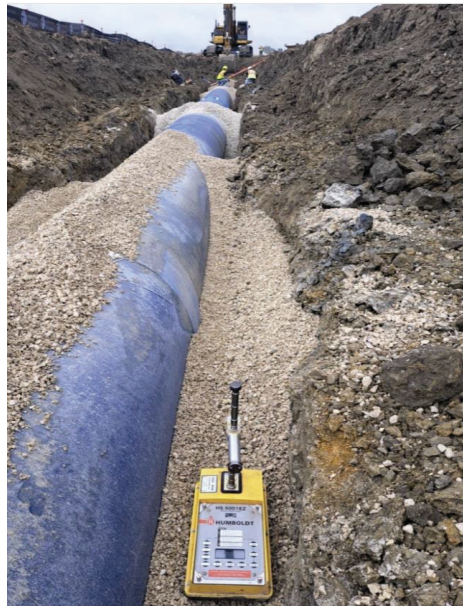
Activity	Status
ROW Cleared	100%
Pipe Delivered	88%
Tunnels Completed	94% (17 of 18)
Pipe Installed	77%
Major Crossing (San Marcos River Crossing)	Finish mobilization of equipment



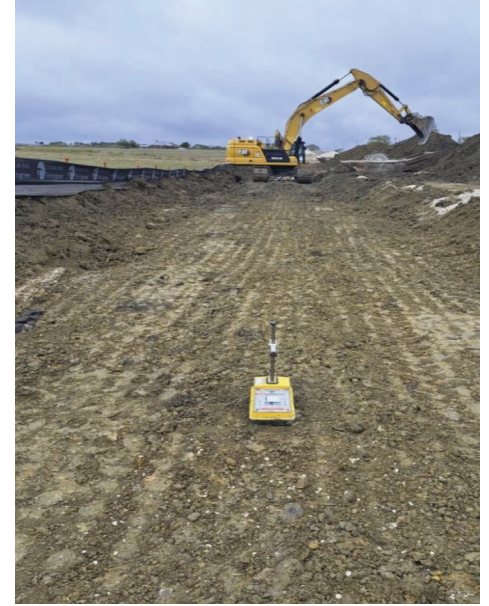
## Segment D – Progress Photos



Seg D – Backfill



Seg D – Embedment Testing

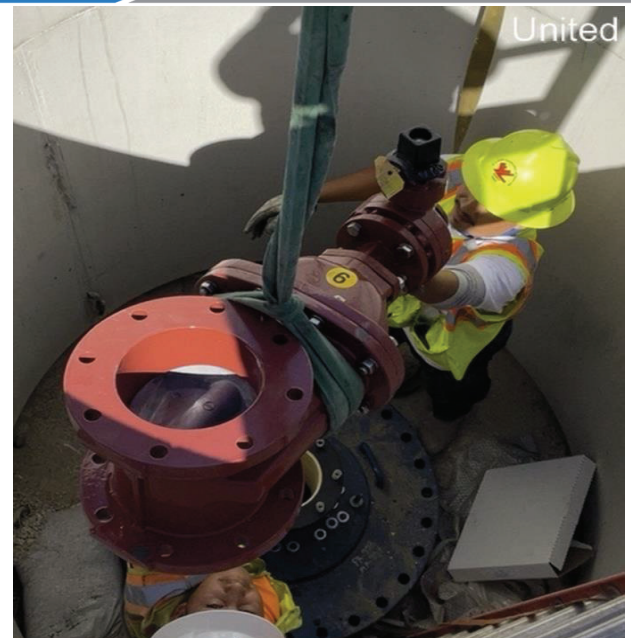


Seg D – Backfill Testing

## Segment D (SJ Louis / Freese & Nichols)

### Next Month - Projected Construction Activities

- Processing documentation in SharePoint.
- Installation of temporary fence gaps for access and cattle fencing along easements.
- SWPPP installation will continue along FM 1339 and SH 123.
- Continue pipe deliveries along FM 1339.
- Conduct bi-weekly progress meeting
- Continue pipe installation with pipe crew 1 along FM 1339
- Tunnels
  - 18 of 18 (100%)
- Major Crossings
  - 0 of 1 (0%) San Marcos River Crossing
  - Finish mobilization of equipment from Vadnais for the micro tunneling and conduct micro tunneling preconstruction meeting



Seg D – Placing of Air Check Valve



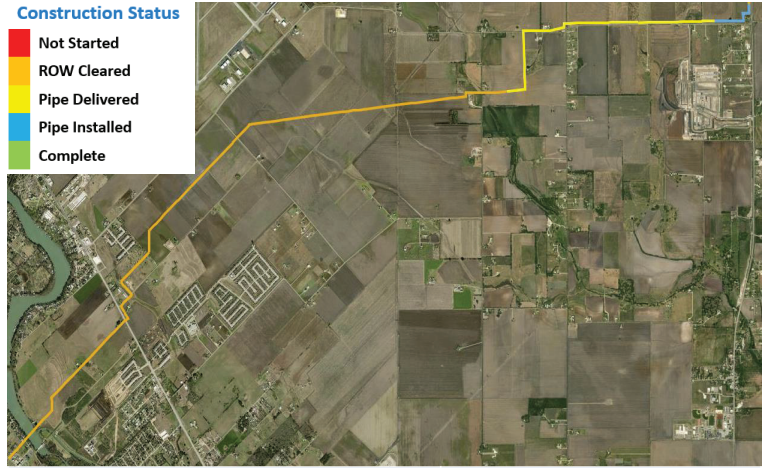
# Segment E (Garney / Walker Partners)

## Construction Activities

- Coordination continues with developments along FM 758 and FM 46.
- Conducted bi-weekly progress meeting
- Performed potholing operations on existing utilities.
- Mendez finished auger bore tunnel installation at Barbarosa Road, grouted tunnel, and mobilized to Dauer Ranch Road.
- Garney crews continued stringing pipe from the north end of Segment E heading to the south.
- Garney crews started pipe installation at MK 7 and continued down station.
- Pipe installation crew installed 105' of open cut casing as shown on sheet C101.
- Welding operations are close behind the pipe laying operations.

### Construction Status

- Not Started
- ROW Cleared
- Pipe Delivered
- Pipe Installed
- Complete



Activity	Status
ROW Cleared	100%
Pipe Delivered	29%
Tunnels Completed	50% (5 of 10)
Pipe Installed	2%
Major Crossing (Lake Dunlap)	0%

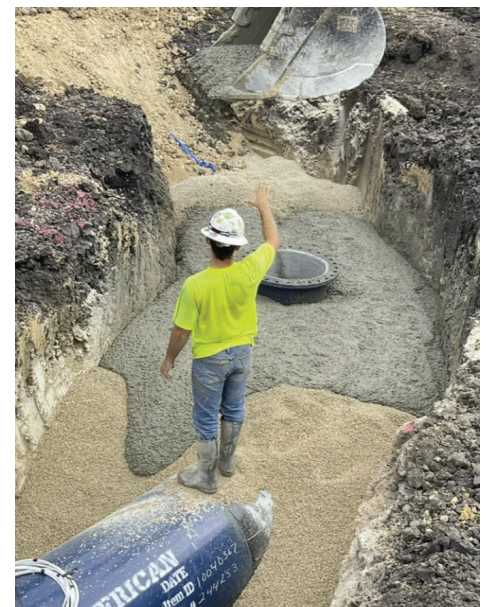
## Segment E – Progress Photos



Seg E – Laid 45 Degree Manway Pipe



Seg E – Installing Gravel to Hold Concrete



Seg E – Pouring Concrete Around Manway



## Segment E (Garney / Walker Partners)

### Next Month - Projected Activities

- Processing documentation in SharePoint.
- Conduct bi-weekly progress meeting
- Continue potholing existing utilities.
- Continue pipe installations on E1 laying pipe south towards the NBU delivery point.
- Tunnels
  - 5 of 10 Auger Bore (50% Completed).
  - 2 of 10 Open Cut Casing (20% Completed)
  - Finish Dauer Ranch Road tunnel and start on 36" Energy Transfer Natural Gas Line tunnel.
- Major Crossings
  - Lake Dunlap crossing has not started.



Seg E – Moving and Lowering First Casing



21

## Elevated Storage Tanks Landmark / Plummer

### Construction Activities

- Crew welding the cone and first ring of the shell
- Boswell on site for welding inspections will continue inspection last week of October

### Next Month - Projected Activities

- Continue welding shell and preparing for coating tank.
- X-Ray inspection of welds will be performed Early November. Boswell will be inspecting and overseeing the X-ray inspections.
- Pre-Coating meeting scheduled for 11-9-2023 at 9:30 am.
- Finish site grading and backfill for waterline / drainage easement, will wait to seed until later in year.
- Working on 24' DI waterline required submittals prior to remobilizing to install.

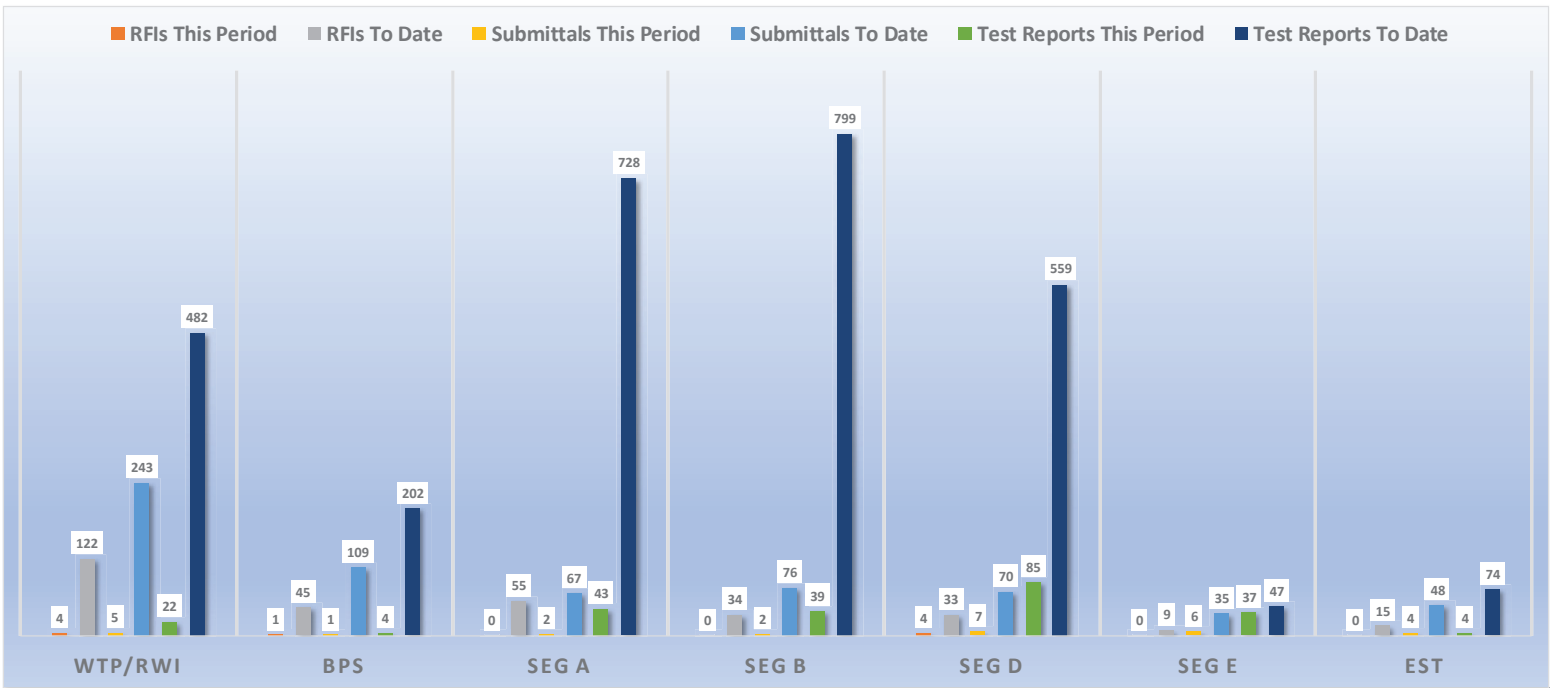


EST – Adding 4<sup>th</sup> Sheet to Shell

22



# PROGRAM OVERSIGHT RECAP



**QUESTIONS?**

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.2** Consider adoption of Resolution 2023-11-29-001 approving Supplemental Amendment #1 to Work Order #6 with HVJ South Central Texas – M&J, Inc. for additional material testing on the Segment E Pipeline project. ~ *Graham Moore, P.E., Executive Director*
- 

Background/Information

In February 2023 the Authority entered into Work Order #6 with HVJ for material testing on the Phase 1B Segment E Project. At the time of the work order, the inspection of the butt welded steel pipeline to be utilized for the Lake Dunlap crossing was not included in the effort. The attached supplemental amendment would include inspection of the butt welds and ultrasonic inspection of each joint. The fee is in accordance with the previously approved fee schedule and is for an amount not-to-exceed \$51,350.00 for the additional effort bringing the total not-to-exceed amount for Work Order #6 to \$444,045.

Attachment(s)

- Resolution 20231129-001
- HVJ Segment E Butt Welding Inspection Proposal dated October 30, 2023

**Board Decision(s) Needed:**

- Adoption of Resolution 2023-11-29-001 approving Amendment #1 to the Regional Water Supply Contract between the Authority and Canyon Regional Water Authority, the City of Buda, the City of Kyle and the City of San Marcos.



**ALLIANCE WATER**

**RESOLUTION NO. 20231129-001**

**A RESOLUTION OF THE ALLIANCE REGIONAL WATER AUTHORITY BOARD OF DIRECTORS APPROVING SUPPLEMENTAL AMENDMENT #1 TO WORK ORDER #6 BETWEEN THE AUTHORITY AND HVJ SOUTH CENTRAL TEXAS – M&J, INC. FOR MATERIAL TESTING SERVICES FOR THE AUTHORITY’S PHASE 1B SEGMENT E PIPELINE PROJECT AND RELATED MATTERS, AND DECLARING AN EFFECTIVE DATE**

**RECITALS:**

1. Alliance Regional Water Authority (the “Authority”) entered into Work Order #6 with HVJ South Central Texas – M&J, Inc. (“HVJ”) for material testing services of the Phase 1B Segment E project in February 2023.
2. Supplemental Amendment #1 provides for weld inspections of the pipe to be utilized in the horizontal directional drilling crossing under Lake Dunlap in Guadalupe County for the Segment E project.
3. The scope of services and fee for the supplemental amendment was negotiated by the Executive Director and the Owner’s Representative on behalf of the Authority.

**BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE ALLIANCE REGIONAL WATER AUTHORITY:**

**SECTION 1.** The attached supplemental amendment for additional material testing services for the Phase 1B Segment E Pipeline Project between the Authority and HVJ is approved with a total fee not-to-exceed \$51,350.00.

**SECTION 2.** The Authority’s Executive Director, Graham Moore, is authorized to execute the supplemental amendment on behalf of the Authority.

**SECTION 3.** This Resolution shall be in full force and effect immediately upon its passage.

**ADOPTED:** November 29, 2023.

**ATTEST:**

\_\_\_\_\_  
Chris Betz  
Chair, Board of Directors

\_\_\_\_\_  
Amber Schmeits  
Secretary, Board of Directors



4201 Freidrich Lane, Suite 110

Austin, Texas 78744

512.447.9081 Ph

512.443.3442 Fax

[www.hvj.com](http://www.hvj.com)

Oct. 30, 2023

Mr. Graham Moore, PE  
Executive Director  
Alliance Regional Water Authority  
630 E. Hopkins St.  
San Marcos, Texas 78666

Re: ARWA Phase 1B – Pipeline Segment E  
Construction Phase Materials Engineering and Testing Proposal  
San Marcos, Texas  
HVJ Project No. SC2110020.7

Dear Mr. Moore:

As requested, we modified the original proposal by adding the butt weld inspection and ultrasonic testing services to the original Scope of Work for steel pipes. Accordingly, the original budget is also modified by adding additional amount of \$51,350.00 to the original budget, bringing the new total budget to \$444,045.00.

Added services to the Scope of Work:

- **Steel Pipe – Butt weld Inspection and Ultrasonic Testing**

**The following article is the original proposal.**

Pursuant to your request, HVJ South Central Texas – M&J, Inc. (HVJ) is pleased to submit this proposal to provide Owner quality assurance testing for the above referenced project. This proposal reflects brief scope and fee for construction phase materials engineering and testing services following a brief review of project documents.

### **Project Description**

The Alliance Regional Water Authority Phase 1B Pipeline Segment E project consists of approximately 44,583 linear feet of 36” potable water pipeline, and associated appurtenances, from the Texas State Highway 123 – Farm to Market Road 758 intersection to the Canyon Regional Water Authority Lake Dunlap Water Treatment Plant on Lakeside Pass in Guadalupe County, Texas. The pipelines are primarily constructed via open-cut methodology but also consists of 709 LF of Trenchless (Excluding Lake Dunlap), 5 gate valves, 18 air valve assemblies, 12 drain valve assemblies, 1 access manway, impressed current cathodic protection, and HDD proposed by Garney for Lake Dunlap crossing option.

## Scope of Services

The general scope of material testing and inspection services anticipated for this project is as follows.

- Soils, Base, Aggregate, Concrete, Flowable Fill, and Grout/Mortar Lab/Field Testing
- Steel Pipe – Weld Inspection & Testing

It is understood that materials testing and observations services were estimated on a call-out basis, where personnel will not be present full time during performance of the work unless requested to be present. ***However, where possible, HVJ-SCTx will work with the CMI team to combine testing and inspection activities in an attempt to reduce the number of site visits needed.***

## Cost Estimate

We recommend allocating a budget of **\$392,695.00** for construction materials testing and inspection including weld inspection for the proposed Phase 1B Pipeline Segment E. Summary of the itemized materials testing and inspection budget is attached.

## Assumptions

The following assumptions were used in the preparation of this proposal:

- For soils and concrete/grout testing, 190 days for one tech and 60 days for second tech at 4 hrs./day are assumed.
- For welding inspections, 125 days at 10 hrs./day are assumed.
- Inspection of O Ring Connections will be performed by others.
- Field inspection of mortar lining and polyurethane coating is not included in this scope. The CWIs will be certified to measure polyurethane coating thickness of field repairs. When scheduled the previous day, the CWIs will perform thickness measurements of polyurethane coating and the only extra fees will be for equipment and reporting.
- 100% visual and 100% testing is assumed for field welds.
- All welds will be tested using the Magnetic Particle method.
- Retest and reinspection costs are not included in the estimate. We will reinspect/retest inside the daily fee as long as it doesn't cause the need to add more service days. As requested, reinspection/retest costs will be separated on invoices to ARWA.
- 1 business day advance notice will be required to schedule services.

This estimate was prepared based on a **12-month** pipe installation schedule with anticipated completion in June 2024. The cost estimate is based on our projections for both the construction schedule and the frequency of service requests from the Client. As the schedule and frequency of service requests are oftentimes beyond our control, we cannot guarantee that the estimate provided herein will be adequate for the services needed through the entire duration of the construction of this project. We understand that advance authorization will be required if additional funds are needed to complete the testing per project specifications. HVJ will monitor the budget as the work proceeds and will keep you or your designated representative informed.

Scheduling of our personnel for this project will be at the request of you or your designated representatives. To ensure proper scheduling of the work, we require a minimum 24 hour notice (1 full business day, Monday-Friday) to provide on-call personnel.

Services will be invoiced on a unit fee basis in accordance with the attached Construction Phase Services Fee Schedule. Overtime rates of 1.5 times the regular hourly rates will be charged for any time worked over 8 hours per day, hours worked before 6:00 AM or after 6:00 PM Monday through Friday, and all hours worked on Saturdays, Sundays and holidays.

**Limitations**

Our work will be performed in a manner consistent with that level of care and skill ordinarily exercised by other members of HVJ’s profession practicing in the same locality, under similar conditions and on the date the services are provided. Our conclusions, opinions, and recommendations will be based on a limited number of observations and testing data. It is possible that conditions could vary between or beyond the data evaluated. HVJ makes no guarantee or warranty, express or implied, regarding the services, communication (oral or written), report, opinion, or instrument of service provided.

This proposal was prepared specifically for the client and its designated representatives and may not be provided to others without HVJ’s expressed permission.

HVJ Associates® appreciates the opportunity to provide the services to ARWA projects, and is pleased to submit this proposal along with the itemized cost estimate for the proposed project. Should you have any questions regarding this proposal, please don’t hesitate to contact us at 512-447-9081.

Sincerely,

**HVJ South Central Texas – M&J, Inc.**  
TBPE F-18091



Jimmy Si, P.E.  
Sr. Project Manager



Syed Jafar, P.E.  
Executive Vice President

Enclosures:

Added Itemized Cost Estimate for Butt Weld Inspection and Ultrasonic Testing  
Original Itemized Cost Estimate  
Attachment A: Standard Fee Schedule (3 pages)

### Itemized Cost Estimate

<b>ARWA - Phase 1B Pipeline Segment E - Butt Weld Inspection and Ultrasonic Testing</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
<b>Butt Weld Inspection and Ultrasonic Testing</b>				
A-scan Shear Wave Ultrasound / 8 hrs. per day on site, 18 Days	hour	146	115	\$16,790
A-scan Shear Wave Ultrasound / 2 hrs. per day on site, 18 Days - OT	hour	36	172.5	\$6,210
Ultrasonic Testing Equipment	each	16	\$180	\$2,880
Travel Time to Project / 3 hrs. per round trip, 18 trips	hour	54	\$115	\$6,210
Vehicle Charge	each	18	\$150	\$2,700
Reporting - Welding Inspection	each	75	\$100	\$7,500
			<b>Subtotal</b>	<b>\$42,290</b>
<b>Consulting Services</b>				
Consultant /Non Site Visit	hour	15	\$135	\$2,025
Consultant / 6 Site Visit	hour	16	\$135	\$2,160
Travel Time to Project / 3 hrs. per round trip, 6 trips	hour	18	\$135	\$2,430
Vehicle Charge	each	6	\$150	\$900
			<b>Subtotal</b>	<b>\$7,515</b>
<b>ARWA - Phase 1B Pipeline Segment E - Project Administration</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
Project Manager, PE	hour	5	\$179	\$895
Admin	hour	10	\$65	\$650
			<b>Subtotal</b>	<b>\$1,545</b>
			<b>Total</b>	<b>\$51,350.00</b>

### Itemized Cost Estimate

<b>ARWA - Phase 1B Pipeline Segment E - Materials Testing (Field Services - soils, concrete/grout)</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
Tech Time - Soil Compaction, Concrete/Grout Testing	hour	1000	\$84	\$84,000
Overtime - Soil Compaction, Concrete/Grout Testing	hour	50	\$126	\$6,300
Nuclear Gauge	trip	250	\$55	\$13,750
Vehicle Charge	trip	250	\$80	\$20,000
			<b>Subtotal</b>	<b>\$124,050</b>
<b>ARWA - Phase 1B Pipeline Segment E - Materials Testing (Lab Services - soils, aggregates &amp; concrete/grout)</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
Soil/Base Sample Preparation	each	15	\$75	\$1,125
Atterberg Limits	each	15	\$75	\$1,125
Sieve Analysis (soils)	each	15	\$72	\$1,080
Proctors	each	15	\$275	\$4,125
Relative Density (gravel)	each	3	\$550	\$1,650
Sieve Analysis (bedding & rip rap)	each	3	\$95	\$285
Concrete & Grout Cylinder Compressive Strength	each	195	\$24	\$4,680
			<b>Subtotal</b>	<b>\$14,070</b>
<b>ARWA - Phase 1B Pipeline Segment E - Weld Inspection</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
Pipeline Inspector (CWI)	hour	1250	115	\$143,750
Pipeline Inspector - Overtime	hour	250	172.5	\$43,125
Magnetic Particle Equipment	trip	125	\$125	\$15,625
Vehicle Charge	trip	125	\$80	\$10,000
Reporting - Welding Inspection	each	125	\$100	\$12,500
			<b>Subtotal</b>	<b>\$225,000</b>
<b>ARWA - Phase 1B Pipeline Segment E - Project Administration</b>				
<i>Description</i>	<i>Unit</i>	<i>Quantity</i>	<i>Rate</i>	<i>Total</i>
Project Manager, PE	hour	75	\$179	\$13,425
Task Leader (Deputy Project Manager)	hour	85	\$125	\$10,625
Admin	hour	85	\$65	\$5,525
			<b>Subtotal</b>	<b>\$29,575</b>
			<b>Total</b>	<b>\$392,695.00</b>



**HVJ SOUTH CENTRAL TEXAS  
CONSTRUCTION MATERIALS ENGINEERING AND TESTING SERVICES  
STANDARD FEE SCHEDULE**

Attachment A

**ALLIANCE REGIONAL WATER AUTHORITY MASTER FEE  
SCHEDULE**

CODE	DESCRIPTION	HOURLY RATES
1	Project Manager (PE)	\$ 179.00
2	Sr. Project Engineer(PE)	\$ 179.00
3	Project Engineer (PE)	\$ 150.00
4	Staff Engineer (EIT)	\$ 125.00
5	Certified Engineering Technician	\$ 84.00
6	Welding Inspector (CWI)	\$ 115.00
7	NDT Inspector (ASNT Level II)	\$ 125.00
8	NDT Inspector (ASNT Level III)	\$ 145.00
9	NACE Inspector Level I Coatings Inspector	\$ 115.00
10	Administrative	\$ 65.00
11	Vehicle Charge (per trip)	\$ 80.00
12	Nuclear Gauge (per trip)	\$ 55.00
13	Magnetic Particle Equipment (per trip)	\$ 125.00
14	Traffic Control	Cost + 10%

<b>MATERIAL TESTING</b>		<b>UNIT PRICE</b>
CODE	DESCRIPTION	UNIT PRICE
<b>100</b>	<b>Concrete Aggregates:</b>	
101	Screen or Sieve Analysis (ASTM C-136 or Tex-110)	\$ 72.00
102	Specific Gravity (ASTM C-127 or C128)	\$ 51.00
103	Unit Weight (ASTM C-29)	\$ 45.00
104	Absorption (ASTM C-127 or C-128)	\$ 44.00
105	Finer than 200 Mesh (ASTM C-117)	\$ 49.00
106	Organic Impurities (ASTM C-40)	\$ 45.00
107	Scratch Hardness (ASTM C-851)	\$ 45.00
108	Abrasion Tests (ASTM C-131 or C-535)	\$ 215.00
109	Clay Lumps (ASTM C-142)	\$ 55.00
110	Light Weight Pieces (ASTM C-123)	\$ 61.00
111	Sand Equivalent (ASTM D-2419)	\$ 65.00
112	Sodium/Magnesium Sulfate Soundness (5 Cycles)	\$ 390.00
113	Sodium/Magnesium Sulfate Soundness Additional Cycles	\$ 175.00
114	Petrographic Examination of Concrete Aggregates (ASTM C-295)	By Quotation

CODE	DESCRIPTION	UNIT PRICE
<b>200</b>	<b>Concrete Mix Design Inspection and Testing</b>	
	Mix Design Review (using previously determined aggregate properties and other design factors) Excluding Test Costs	\$ 179.00
201	design factors) Excluding Test Costs	
202	Trial Batch Tests (ASTM C-192) (Using aggregate admixtures and cement proposed for use in the project) each batch or each curve point	\$ 450.00
203	Cylinders (ASTM C-39) test or hold, each	\$ 24.00
204	Beam Test (ASTM C-78, C-293) or Hold, Each	\$ 55.00
205	Concrete Coring (4" Dia Up to 6" Thickness) ASTM C-42 includes Personnel, Vehicle and Patching Holes	\$ 90.00
206	Measuring Thickness of Concrete Cores (ASTM C-174)	\$ 22.00
207	Additional Thickness (6"to 12")/ (Inch)	\$ 8.00
208	Additional Thickness (Over 12")/ (Inch)	\$ 10.00

**HVJ SOUTH CENTRAL TEXAS  
CONSTRUCTION MATERIALS ENGINEERING AND TESTING SERVICES  
STANDARD FEE SCHEDULE**

Attachment A

209	Concrete Coring, Minimum Charge (Min. 3 Cores)/ (LS)	\$	290.00
210	Preparation of Cores, Capping & Test (ASTM C42, C-39)	\$	67.00
211	Cement Compressive Strength, one age (ASTM C-109)/(Set)	\$	120.00
212	Cement Compressive Strength, two age (ASTM C-109)/ (Set)	\$	127.00
213	Mortar/Grout Compressive Strength Cubes (ASTM C-109)	\$	27.00
214	Mortar/Grout Compressive Strength 3" x 6" (ASTM C-495)	\$	24.00
215	Mortar/Grout Compressive Strength Grout Prisms (ASTM C-1019)	\$	55.00
216	Structural Coring		By Quotation
217	Windsor Probes (ASTM C-803)	\$	79.00
218	Bar Linear Shrinkage/ (Set)	\$	282.00
219	Unit Weight of Lightweight Cylinders/ (Set)	\$	70.00
220	Split Tensile Strength including preparation (ASTM C-496)	\$	94.00
221	Petrographic Examination of Hardened Concrete (ASTM C-856)		By Quotation
222	Concrete Shrinkage Test (ASTM C-157, C-490)/ (Test)	\$	138.00
<b>CODE</b>	<b>DESCRIPTION</b>		<b>UNIT PRICE</b>
<b>800</b>	<b>Asphalt Concrete Mix Design &amp; Inspection</b>		
801	Mix Design Review (using previously determined aggregates properties and other design factors) Excluding Test Costs	\$	187.00
802	Trial Batch Test (up to 5 curve points)	\$	1,403.00
803	Additional Curve Points for item 802, Per Point	\$	202.00
804	Extraction & Gradation Test (ASTM D-2172, ASTM C-136 or TEX - 210F)	\$	174.00
805	Specific Gravity (ASTM D-2041 TEX 201F)	\$	75.00
806	Stability: Hveem (3 Per Set) (ASTM D-1559)	\$	125.00
807	Bulk Density of Lab Molded or Field Specimen (Core), (TEX - 207F)/(Set)	\$	75.00
808	Molding Specimens (3 Per Set) for 806 & 807 (ASTM D-1560, TEX-208F)/(Set)	\$	125.00
809	Penetration (ASTM D-5)	\$	74.00
810	Ductility (ASTM D-113)	\$	99.00
811	Viscosity (ASTM D-2170)	\$	82.00
812	Asphalt Coring (4" Dia Up to 6" Thickness) includes Personnel, Vehicles and Patching Holes	\$	80.00
813	Asphalt Coring Minimum Charge/ (LS)	\$	290.00
814	Additional Thickness over 6"/ (Inch)	\$	7.00
815	Abson Recovery (TEX-211F)	\$	281.00
816	Measuring Thickness of Asphalt Cores	\$	8.00
817	Maximum Theoretical Specific Gravity (TEX 227F)	\$	115.00
818	Hot Mix In-Place Asphalt Design	\$	1,870.00
819	Apparent Specific Gravity (TEX 227F)	\$	58.00
820	Moisture Susceptibility Test (TEX 531C)	\$	409.00
821	PMA Extraction/Gradation (ASTM D-2172)	\$	234.00
822	PMA Extraction/Gradation by Ignition (ASTM D-2928)	\$	145.00

**HVJ SOUTH CENTRAL TEXAS  
CONSTRUCTION MATERIALS ENGINEERING AND TESTING SERVICES  
STANDARD FEE SCHEDULE**

Attachment A

<b>CODE</b>	<b>DESCRIPTION</b>	<b>UNIT PRICE</b>
<b>1000</b>	<b>Soil Tests</b>	
I001	Atterberg Limits (ASTM D-4318, Tex 104E, 105E, 106E)	\$ 75.00
I002	Moisture Content Only (ASTM D-2216)	\$ 15.00
I003	Mechanical Sieve Analysis, Through #200 Sieve (ASTM D-422)	\$ 72.00
I004	Percent Passing #200 Sieve (ASTM D-1120)	\$ 65.00
I005	Specific Gravity (ASTM D-854 & D-204)	\$ 51.00
I006	OMD Standard Compaction (ASTM D-698, Tex-114E)	\$ 275.00
I007	OMD Modified Compaction (ASTM D-1557, Tex-113E)	\$ 275.00
I008	OMD Lime or Cement Stabilized Soil (ASTM D-698, D-558, D-1557, Tex121E)	\$ 275.00
I009	California Bearing Ratio (ASTM D-1883)	\$ 185.00
I010	Percent Solids in Lime Slurry / (Test)	\$ 37.00
I011	Four Point Lime Content Recommendation Series (PI)/(Set)	\$ 250.00
I012	Cement Content of Freshly Mixed CSS Mixture (ASTM D-806)	\$ 269.00
I014	Comp. Strength of CSS Sample, Including Molding (ASTM D-1632 & D-1633)	\$ 61.00
I015	Maximum & Minimum Density (Sands) (ASTM D-4254)/ (Test)	\$ 275.00
I016	Density and Moisture of Soil Sample	\$ 19.00
I017	Unconfined Compression (ASTM D-2166)	\$ 39.00
I018	Unconsolidated Undrained (ASTM D-2850)	\$ 54.00
I019	Consolidation (One cycle) (ASTM D-2435)	\$ 310.00
I020	Consolidation - Additional Increments	\$ 44.00
I021	Ph of Soil (ASTM D-4972)	\$ 45.00
I022	Optimum Lime Content Ph Method	\$ 225.00
I023	Sieve Analysis - Base Materials (ASTM C-136)	\$ 85.00
I024	Compressive Strength of Cement Stabilized Base Materials, (TEX-120E, ASTM D-2166)	\$ 275.00
I025	Soil Shrinkage Factor (ASTM D-427)	\$ 54.00
I026	One Dimensional Swell Cohesive Soils	\$ 251.00
I027	Triaxial Testing	By Quotation
I028	Permeability Test, Constant Head Method (ASTM D-2434)	\$ 225.00
I029	Pinhole Test	\$ 246.00
I030	Crumb Test (ASTM D-4647)	\$ 33.00
I031	Double Hydrometer (ASTM D-4221)	\$ 152.00
I032	Free Swell (FHA)	\$ 75.00
I033	Soil Suction - Filter Paper Method	\$ 49.00
<b>1300</b>	<b>Reimbursable Expenses:</b>	
1301	Reimb Expenses Including Outside Testing, Equipment and Supplies	Cost + 10%

**General Notes**

\* Services on an hourly basis will be invoiced based on unit rates presented above. Overtime rates of 1.5 times the regular hourly rates will be charged for time worked over 8 hours or before 6:00 AM or after 6:00 PM on Monday through Friday, and all hours worked on Saturdays, Sundays, or holidays.

\* A minimum charge of four (4) hours applies to time worked less than four hours in any service call. HVJ, when possible will combine inspection and testing activities to minimize trip charges and labor expenses.

\* Services will be invoiced from portal to portal including on-site time.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.3** Update and discussion regarding the status of the Authority’s Phase 1B program, and direction to staff and consultants. ~ *Ryan Sowa, P.E., Kimley-Horn & Associates*
- 

Background/Information

Ryan Sowa with Kimley-Horn will update the Committee on their recent activities associated with the Phase 1B program.

Attachment(s)

- Phase 1B Program Update – November 8, 2023

**Board Decision(s) Needed:**

- None.



# Phase 1B Program Update

Technical Committee Meeting  
November 8, 2023

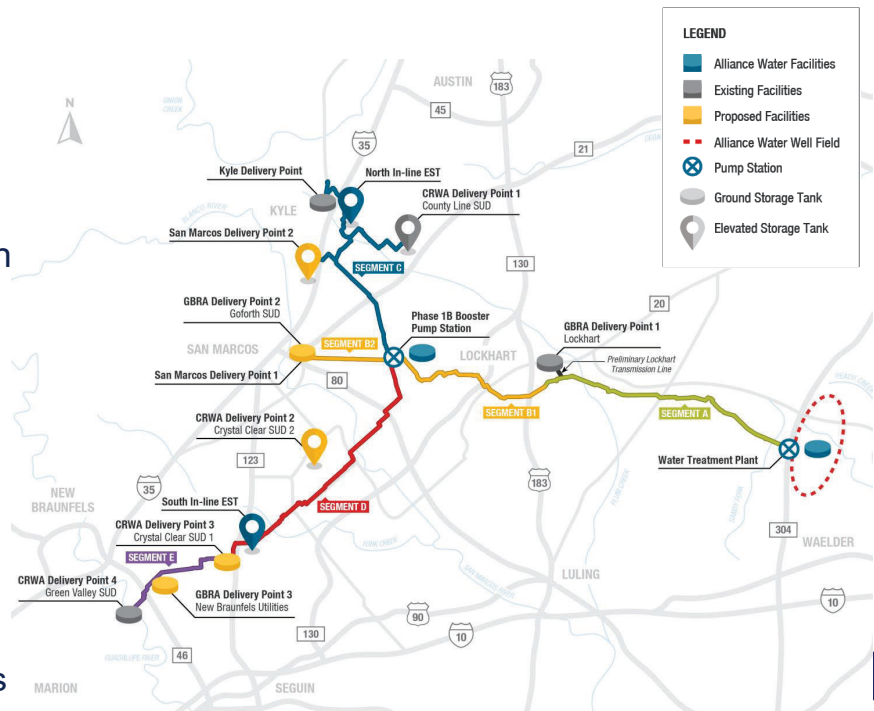


**ALLIANCE WATER**

PRESENTED BY **Kimley»Horn**  
Expect More. Experience Better.

## Ongoing Progress

- ▶ Design Milestone Status
  - Segment C
    - Final Documents in Preparation
    - Permits
  
- ▶ TWDB Reviews
  - Segment E
    - Awaiting Construction Funding Release
  - South Inline EST
    - Plans and Specs – Under Review (Routed for Approval)
    - Construction – Release of Funds Request – Posted, pending funds provided to ARWA



# Pipeline Easement Acquisition Status

Pipeline Segment	Number of Parcels	STATUS						Final Settlement Outstanding
		(A) Appraisal/Offer in Development	(B) Negotiation	(C) = (A+B) Appraisal / Negotiation	(D) Condemnation in Process	(E) = (C+D) Possession Still Needed	(F) Purchase Agreement Signed / Possession Obtained	
A	39	0	0	0	0	0	39	4
B	47	0	0	0	0	0	47	14
D	60	0	0	0	0	0	60	15
C	76	3	5	8	2	10	66	32
E	36	0	0	0	0	0	36	11
Well Field	16	0	4	4	0	4	12	4
<b>Total</b>	<b>274</b>					<b>14</b>	<b>260</b>	<b>80</b>



## COST UPDATES BASED ON FEBRUARY MILESTONE SUBMITTALS/RESULTS

Submittal (%)	Construction Package	PREVIOUS	REVISED	DIFFERENCE
		ARWA Total Projected Cost	ARWA Total Projected Cost	
<b>Combined Program Infrastructure</b>				
Const.	Water Treatment Plant	\$ 29,500,000	\$ 31,400,000	\$ 1,900,000
Const.	Booster Pump Station & GBRA Meter Stations	\$ 13,700,000	\$ 14,000,000	\$ 300,000
Const.	Inline EST (South)	\$ 4,800,000	\$ 4,500,000	(\$ 300,000)
Const.	Pipeline Segment A	\$ 28,600,000	\$ 31,000,000	\$ 2,400,000
Const.	Pipeline Segment B	\$ 33,800,000	\$ 38,000,000	\$ 4,200,000
Const.	Pipeline Segment D	\$ 44,200,000	\$ 49,200,000	\$ 5,000,000
Const.	Pipeline Segment E	\$ 14,000,000	\$ 17,800,000	\$ 3,800,000
	<b>Subtotal</b>	<b>\$168,600,000</b>	<b>\$185,900,000</b>	<b>\$ 17,300,000</b>
<b>ARWA-Only Infrastructure</b>				
Closed	Well Drilling	\$ 3,300,000	\$ 3,300,000	\$ 0
Const.	Raw Water Infrastructure	\$ 10,600,000	\$ 11,400,000	\$ 800,000
Const.	ARWA Booster Pump Station & Delivery Points	\$ 4,800,000	\$ 5,200,000	\$ 400,000
60	Inline EST (North)	\$ 6,500,000	\$ 7,200,000	\$ 700,000
95	Pipeline Segment C	\$ 68,600,000	\$101,800,000	\$ 33,200,000
Const.	Pipeline Segment E (ARWA-Only)	\$ 12,800,000	\$ 15,300,000	\$ 2,500,000
No Design	Administration and Operations Building	\$ 4,200,000	\$ 4,200,000	\$ 0
	<b>Subtotal</b>	<b>\$110,800,000</b>	<b>\$148,400,000</b>	<b>\$ 37,600,000</b>
	<b>Total</b>	<b>\$279,400,000</b>	<b>\$334,300,000</b>	<b>\$54,900,000</b>

Revised Projected Cost (\$334,300,000) - Total SWIFT Funding (\$288,410,000) = \$45,890,000



**Questions?**

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.4** Consider adoption of Resolution 2023-11-29-002 approving Amendment No. 2 to Regional Water Supply Contract. ~ *Graham Moore, P.E., Executive Director*
- 

Background/Information

One of the key steps in moving forward with the bond anticipation note (BAN) issuance. Attached is the proposed amendment to the Regional Water Supply Contract that will give the Authority the option to issue a BAN – it keeps the requirement that the Sponsors must approve the terms of any BAN issuance (similar to the improvements for revenue bonds).

The amendment language has been provided to all of the Sponsors for their review, to date no one has provided comments on the language.

Attachment(s)

- Resolution 2023-11-29-002
- Regional Water Supply Contract Amendment #2

**Board Decision(s) Needed:**

- Adoption of Resolution 2023-11-29-002 approving Amendment #2 to the Regional Water Supply Contract between the Authority and Canyon Regional Water Authority, the City of Buda, the City of Kyle and the City of San Marcos.





## ALLIANCE WATER

### RESOLUTION NO. 20231129-002

**A RESOLUTION BY THE BOARD OF DIRECTORS OF THE  
ALLIANCE REGIONAL WATER AUTHORITY AUTHORIZING  
AMENDMENT NO. 2 TO REGIONAL WATER SUPPLY CONTRACT;  
AND RESOLVING OTHER MATTERS INCIDENT AND RELATING THERETO**

**WHEREAS**, pursuant to Chapter 572, as amended, Texas Local Government Code, the Hays Caldwell Public Utility Agency, now known as the Alliance Regional Water Authority as described more fully herein below (the "Agency"), as a constituted authority and instrumentality and political subdivision of the State of Texas, was created by the Cities of Buda, Kyle and San Marcos, Texas, each Texas home rule municipalities, and the Canyon Regional Water Authority, a conservation and reclamation district and political subdivision of the State created and existing pursuant to Article XVI, Section 59 of the Texas Constitution and Chapter 670, Acts of the 71<sup>st</sup> Legislature, Regular Session, 1989, as amended (collectively, the "Sponsors" or "Sponsoring Public Entities" or singularly, a "Sponsor" or "Sponsoring Public Entity"); and

**WHEREAS**, the Agency and the Sponsors have entered into a "Regional Water Supply Contract" dated as of January 9, 2008, as amended by Amendment No. 1 effective as of October 31, 2009, and Amendment No. 2 (as defined herein) being authorized by this Resolution and as may be further amended (collectively, the "Contract"), pursuant to which the Agency has agreed to design, finance, construct, own, acquire, maintain and operate a water supply project in a manner that will allow the Agency to deliver water to the Sponsors on a regional basis and under which each of the Sponsors agree to pay their share of the project costs and to make payments to or on behalf of the Agency in amounts sufficient to meet all of the Agency's obligations under the Contract including those relating to a Sponsor's bonds issued to finance and refinance a Sponsor's share of the Project Costs and to own, operate and maintain the Project; and

**WHEREAS**, on June 15, 2017, by special act of the 85<sup>th</sup> Legislature, SB 1198, codified as Chapter 11010, Special District Local Laws Code (the "Act"), the Agency was converted to the Alliance Regional Water Authority, a conservation and reclamation district to accomplish the purposes set forth in the Act and of Article XVI, Section 59, Texas Constitution (for purposes of this Resolution and the exhibits attached hereto, the Alliance Regional Water Authority may also be referred to herein as the "Issuer" (in addition to its identification as the "Agency" described in the first recital clause herein above); and

**WHEREAS**, the Agency has previously sold, issued and delivered multiple series of Bonds to the Texas Water Development Board, with each such issuance structured as a separate series of Bonds for each requesting Sponsor, respectively, and such Bonds payable from and secured by an assignment of the Annual Payment Amounts made under the Contract by the designated Sponsor for which such series of Bonds was issued (by operation of the law pursuant to the Act, the Alliance Regional Water Authority assumed all assets, liabilities, bonds, notes and

other obligations of the Hays Caldwell Public Utility Agency including all obligations pursuant to the Contract); and

**WHEREAS**, the Agency and the Sponsors wish to revise the Contract to authorize the Agency to issue notes or other instruments or evidence of indebtedness in anticipation of, and payable in whole or in part from, proceeds from the sale of future Bonds or other such notes, instruments or evidence of indebtedness ("Bond Anticipation Note(s)"), which may be issued as a single series (with a single interest rate) or as separate series of each Sponsor requesting financing by the Agency (with a separate interest rate for each such Sponsor), in such form as now or hereafter authorized by law. pursuant to Amendment No. 2 to the Contract in substantially the form attached hereto as Exhibit "A" ("Amendment No. 2"); and

**WHEREAS**, the Board of Directors of the Agency (the "Board") wishes to approve and authorize the execution of Amendment No. 2 as set forth more fully therein and in this Resolution; and

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE ALLIANCE REGIONAL WATER AUTHORITY THAT:**

**Section 1. RECITALS AND DEFINITIONS.** The findings made in the recitals to this Resolution are incorporated herein and made a part of this Resolution for all purposes. All terms that are defined in the Contract (and not otherwise defined herein or in Amendment No. 2) shall have those same definitions in this Resolution and in Amendment No. 2.

**Section 2. CONTRACT AMENDMENT.** Amendment No. 2 in substantially the form attached hereto as Exhibit "A" is hereby approved and the execution thereof is hereby authorized, with such changes as approved by the Agency's President, Vice President, Executive Director or other authorized representatives. The Agency's President, Vice President, Executive Director and other authorized representatives are hereby authorized to do all things necessary or appropriate to effectuate the intent of this Resolution or Amendment No. 2. All other provisions of the Contract not amended by Amendment No. 2 remain in full force and effect.

**Section 4. IMMEDIATE EFFECT.** This Resolution shall take effect immediately from and after its adoption in accordance with the law.

**PASSED AND ADOPTED** this November 29, 2023.

**ALLIANCE REGIONAL WATER  
AUTHORITY**

---

Authority Representative

[Signature Page]

**EXHIBIT A**  
**FORM OF AMENDMENT NO. 2 TO REGIONAL WATER SUPPLY CONTRACT**

A-1

**AMENDMENT NO. 2 TO  
REGIONAL WATER SUPPLY CONTRACT**

This is Amendment No. 2 (this "Amendment") to the Regional Water Supply Contract, as amended (the "Contract") by and among the Alliance Regional Water Authority (formerly known as Hays Caldwell Public Utility Agency) (the "Agency" or the "Authority"), and the City of Buda, Texas, the City of City of San Marcos, Texas, and the Canyon Regional Water Authority. The Contract was dated effective as of January 1, 2008, and was subsequently amended by Amendment No. 1 effective as of October 31, 2009. This Amendment is dated effective as of [December 31, 2023]. The City of Buda, Texas, the City of Kyle, Texas, the City of San Marcos, Texas, and the Canyon Regional Water Authority may be referred to in this Amendment collectively as the "Sponsoring Public Entities" and singularly each as a "Sponsoring Public Entity."

**RECITALS:**

1. The Sponsoring Public Entities formed the Agency as a public utility agency pursuant to Chapter 572 of the Local Government Code, and executed the Contract in order to jointly plan, finance, construct, own, operate or maintain facilities necessary for the conservation, storage, transportation, treatment, or distribution of water and wastewater, including plant sites, rights-of-way, and property, equipment, or rights of any kind useful in connection with the conservation, storage, transportation, treatment or distribution of water and wastewater.

2. On June 15, 2017, by special act of the 85<sup>th</sup> Legislature, SB 1198, codified as Chapter 11010, Special District Local Laws Code (the "Act"), the Agency was converted from the Hays Caldwell Public Utility Agency to the Alliance Regional Water Authority, as a conservation and reclamation district to accomplish the purposes set forth in the Act and Article XVI, Section 59, Texas Constitution.

3. The Agency has previously sold, issued and delivered multiple series of Bonds to the Texas Water Development Board, with each such issuance structured as a separate series of Bonds for each requesting Sponsoring Public Entity, respectively, and such Bonds payable from and secured by an assignment of the Annual Payment Amounts made under the Contract by the designated Sponsoring Public Entity for which such series of Bonds was issued.

4. The Agency and the Sponsoring Public Entities wish to revise the Contract to authorize the Agency to issue notes or other instruments or evidence of indebtedness in anticipation of , and payable in whole or in part from, proceeds from the sale of future Bonds or other such notes, instruments or evidence of indebtedness.

**AMENDMENT:**

**NOW, THEREFORE**, in consideration of the mutual covenants and agreements herein contained, the sufficiency of which are hereby acknowledged, and upon and subject to the terms and conditions hereinafter set forth, the Sponsoring Public Entities and the Agency mutually undertake, promise, and agree that the Contract is amended as follows:

1. **Section 3.15 of the Contract is added as follows** (underlining indicated added text):

**Section 3.15 Bond Anticipation Notes.** Notwithstanding anything contained herein to the contrary:

- (a) The Agency may issue Bond Anticipation Notes (as such term is defined herein below) as a single series or as separate series of each Sponsoring Public Entity requesting financing by the Agency, in such form as now or hereafter authorized by law.
- (b) In the case of Bond Anticipation Notes issued by the Agency as a single series, (i) the Agency may issue such Bond Anticipation Notes pursuant to the terms of a single Bond Resolution adopted by the Agency; (ii) the term Bond Resolution shall refer to such single Bond Resolution of the Agency; and (iii) each Sponsoring Public Entity shall be responsible solely for the Bond Payments attributable to its pro rata portion of such Bond Anticipation Note, allocated pro rata as if the Bond Anticipation Note were comprised of separate series.
- (c) As used in the Contract (including this Amendment), the term "Bond Anticipation Note(s)" means a note or other instrument or evidence of indebtedness issued in anticipation of, and payable in whole or in part from, proceeds from the sale of future Bonds (or other Bond Anticipation Notes).

2. **Defined Terms.** All terms that are defined in the Contract (and not otherwise defined herein) shall have those same definitions in this Amendment.
3. **Remaining Provisions.** All other provisions of the Contract not amended hereby remain in full force and effect.

**IN WITNESS WHEREOF**, the parties hereto acting under authority of their respective Governing Bodies have caused this Amendment to be duly executed as of the day and year first above written.

**ALLIANCE REGIONAL WATER  
AUTHORITY**

\_\_\_\_\_  
Chris Betz, Chair

**ATTEST:**

\_\_\_\_\_  
Amber Lewis, Secretary

**CITY OF BUDA, TEXAS**

By: \_\_\_\_\_  
City Manager

**ATTEST:**

By: \_\_\_\_\_  
City Secretary



**CITY OF KYLE, TEXAS**

By: \_\_\_\_\_  
Mayor

**ATTEST:**

By: \_\_\_\_\_  
City Secretary

**CITY OF SAN MARCOS, TEXAS**

By: \_\_\_\_\_  
City Manager

**ATTEST:**

By: \_\_\_\_\_  
City Clerk

**CANYON REGIONAL WATER  
AUTHORITY**

By: \_\_\_\_\_  
President, Board of Trustees

**ATTEST:**

By: \_\_\_\_\_  
Secretary, Board of Trustees

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.5** Consider adoption of Resolution 2023-11-029-003 related to a Short-Term Financing Transaction. ~ *Graham Moore, P.E., Executive Director*
- 

Background/Information

The Authority continues to make progress towards the issuance of a Bond Anticipation Note in a principal amount of \$46 million – the issuance is anticipated to occur with Wells Fargo Bank, N.A.. As of November 29<sup>th</sup>, the Executive Director will have made presentations to all Sponsors/customers who requested an update on the BAN process and required steps.

Action from the Sponsors/Customers in December will require two action items:

1. Approval of a contract amendment to allow ARWA to issue a BAN
2. Approval of the specific BAN terms and conditions, including the interest rate

Below is the currently anticipated schedule for each of the entities:

- Buda – Tuesday, 12/5
- Kyle – Tuesday, 12/5 or possibly Tuesday, 12/19 (working to verify the date)
- San Marcos – Tuesday, 12/19
- CRWA – Monday, 12/11
- Crystal Clear SUD – Wednesday, 12/6 (AM) or possible Wednesday, 12/13 (AM)
- Green Valley SUD – Thursday, 12/14 (AM)
- County Line SUD – between 12/5 and 12/14, they are working to determine
- ARWA - afternoon of Thursday, 12/14 or day of Friday, 12/15

With these approvals we are seeking to close on the BAN by December 21<sup>st</sup>. Wells Fargo would like to wait as long as possible to provide the interest rate – so we anticipate that it will not be available until the morning of December 5<sup>th</sup>. The Authority's bond counsel, financial advisors and general counsel have worked closely with Wells Fargo to finalize the proposed terms and conditions. Attached is a resolution that will authorize the Executive Director, along with the Chair and Vice-Chair, to continue working with Wells Fargo to finalize the BAN.

**Board Decision(s) Needed:**

- Adoption of Resolution 2023-11-29-003 authorizing the Executive Director, Chair and Vice-Chair to negotiate a short term financing option with Wells Fargo Bank, N.A.



## ALLIANCE WATER

### RESOLUTION NO. 20231129-003

#### **A RESOLUTION BY THE BOARD OF DIRECTORS OF THE ALLIANCE REGIONAL WATER AUTHORITY AUTHORIZING NEGOTIATION OF A SHORT-TERM FINANCING TRANSACTION; AND RESOLVING OTHER MATTERS INCIDENT AND RELATING THERETO**

**WHEREAS**, pursuant to Chapter 572, as amended, Texas Local Government Code, the Hays Caldwell Public Utility Agency, now known as the Alliance Regional Water Authority as described more fully herein below (the "Agency"), as a constituted authority and instrumentality and political subdivision of the State of Texas, was created by the Cities of Buda, Kyle and San Marcos, Texas, each Texas home rule municipalities, and the Canyon Regional Water Authority, a conservation and reclamation district and political subdivision of the State created and existing pursuant to Article XVI, Section 59 of the Texas Constitution and Chapter 670, Acts of the 71<sup>st</sup> Legislature, Regular Session, 1989, as amended (collectively, the "Sponsors" or "Sponsoring Public Entities" or singularly, a "Sponsor" or "Sponsoring Public Entity"); and

**WHEREAS**, the Agency and the Sponsors have entered into a "Regional Water Supply Contract" dated as of January 9, 2008, as amended by Amendment No. 1 effective as of October 31, 2009, and Amendment No. 2 (as defined herein) being authorized by this Resolution and as may be further amended (collectively, the "Contract"), pursuant to which the Agency has agreed to design, finance, construct, own, acquire, maintain and operate a water supply project in a manner that will allow the Agency to deliver water to the Sponsors on a regional basis and under which each of the Sponsors agree to pay their share of the project costs and to make payments to or on behalf of the Agency in amounts sufficient to meet all of the Agency's obligations under the Contract including those relating to a Sponsor's bonds issued to finance and refinance a Sponsor's share of the Project Costs and to own, operate and maintain the Project; and

**WHEREAS**, on June 15, 2017, by special act of the 85<sup>th</sup> Legislature, SB 1198, codified as Chapter 11010, Special District Local Laws Code (the "Act"), the Agency was converted to the Alliance Regional Water Authority, a conservation and reclamation district to accomplish the purposes set forth in the Act and of Article XVI, Section 59, Texas Constitution (for purposes of this Resolution and the exhibits attached hereto, the Alliance Regional Water Authority may also be referred to herein as the "Issuer" (in addition to its identification as the "Agency" described in the first recital clause herein above); and

**WHEREAS**, the Agency has previously sold, issued and delivered multiple series of Bonds to the Texas Water Development Board, with each such issuance structured as a separate series of Bonds for each requesting Sponsor, respectively, and such Bonds payable from and secured by an assignment of the Annual Payment Amounts made under the Contract by the designated Sponsor for which such series of Bonds was issued (by operation of the law pursuant to the Act, the Alliance Regional Water Authority assumed all assets, liabilities, bonds, notes and

other obligations of the Hays Caldwell Public Utility Agency including all obligations pursuant to the Contract); and

**WHEREAS**, the Agency and the Sponsors have approved the form of an amendment to the Contract to authorize the Agency to issue notes or other instruments or evidence of indebtedness in anticipation of, and payable in whole or in part from, proceeds from the sale of future Bonds or other such notes, instruments or evidence of indebtedness ("Bond Anticipation Note(s)"), which may be issued as a single series (with a single interest rate) or as separate series of each Sponsor requesting financing by the Agency (with a separate interest rate for each such Sponsor), ("Amendment No. 2"); and

**WHEREAS**, Wells Fargo Bank, N.A. and certain of its subsidiaries (collectively, the "Bank") have presented the Agency with a nonbinding term sheet captioned "Summary of Preliminary Terms and Conditions" attached hereto as Exhibit "A" (the "Term Sheet"), setting forth certain terms and conditions upon which the Bank wishes to explore and pursue purchasing Bond Anticipation Note(s) from the Agency, including structuring such Bond Anticipation Note(s) as a single series with a single interest rate; and

**WHEREAS**, the Board wishes to explore, pursue and obtain short-term (or other appropriate) financing for the Project through the sale, issuance and delivery of Bond Anticipation Note(s) to the Bank along the terms and conditions generally provided in the Term Sheet (including structuring such Bond Anticipation Note(s) as a single series with a single interest rate, as authorized by Amendment No. 2) or, as market, legal or other conditions may warrant, a short-term or other appropriate financing transaction along other terms and conditions to be explored and pursued by the Agency's Executive Director and appropriate consultants (collectively, a "Short-Term Financing"); and

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE ALLIANCE REGIONAL WATER AUTHORITY THAT:**

**Section 1. RECITALS AND DEFINITIONS.** The findings made in the recitals to this Resolution are incorporated herein and made a part of this Resolution for all purposes. All terms that are defined in the Contract (and not otherwise defined herein or in Amendment No. 2) shall have those same definitions in this Resolution.

**Section 2. TERM SHEET AND SHORT-TERM FINANCING.** The Agency's Chair, Vice-Chair, Executive Director and appropriate consultants (including the Agency's General Counsel, Financial Advisor and Bond Counsel) are hereby authorized to take all action necessary or appropriate to explore, pursue, negotiate and obtain a Short-Term Financing (including through the sale, issuance and delivery of Bond Anticipation Note(s) to the Bank) along the terms and conditions generally provided in the Term Sheet (including structuring such Bond Anticipation Note(s) as a single series with a single interest rate, as authorized by Amendment No. 2), with such changes as to form, scope, structure, timing, terms, and conditions as approved by the Agency's Chair, Vice-Chair, Executive Director or other authorized representatives), in all cases subject to further approval by the Board. Notwithstanding the foregoing, the Board in no way intends to limit the ability of the Agency's Chair, Vice-Chair, Executive Director, other authorized

representatives, or consultants from exploring and pursuing other necessary or appropriate financings (including financings from the Texas Water Development Board).

**Section 3. IMMEDIATE EFFECT.** This Resolution shall take effect immediately from and after its adoption in accordance with the law.

**PASSED AND ADOPTED** this November 29, 2023.

**ALLIANCE REGIONAL WATER  
AUTHORITY**

---

Authority Representative

[Signature Page]



**EXHIBIT A**  
**TERM SHEET**

A-1

**Summary of Preliminary Terms and Conditions (“Term Sheet”)  
Direct Purchase of Fixed Rate Bond Anticipation Notes**

**ALLIANCE REGIONAL WATER AUTHORITY**

Date: November 9, 2023

**TRANSACTION SUMMARY:**

- Issuer:** Alliance Regional Water Authority (the “Issuer”).
- Sponsoring Public Entities:** Canyon Regional Water Authority; City of Kyle; City of San Marcos; and City of Buda
- Issue:** Bond Anticipation Note, Series 2024, in a principal amount not to exceed \$46,000,000 (the “Note” or the “Notes”).
- Use of Proceeds:** Short-term bridge funding to cover the remaining project costs associated with the final phase of the Regional Water Supply Contract Project, Phase 1B Improvements, prior to securing long term financing with the Texas Water Development Board (“TWDB”).
- Structure:** The Bank will purchase the Notes bearing a tax-exempt fixed rate as outlined below.
- Purchaser/Bank:** Wells Fargo Bank, N.A.; Wells Fargo Municipal Capital Strategies, LLC, a wholly owned subsidiary of Wells Fargo Bank, N.A.; or any other wholly owned subsidiary of Wells Fargo Bank, N.A. (“Wells Fargo” or the “Bank”).
- Financing Documents:** The Notes will be purchased by the Bank pursuant to a Bond Purchase Agreement (the “Purchase Agreement”). The Notes, the Regional Water Supply Contract, and four separate Resolutions executed by each Sponsoring Public Entity (collectively, the “Resolutions”), and the Purchase Agreement are herein collectively referred to (along with any amendment, supplement or restatement of any or all of the foregoing) as the “Financing Documents.”
- Security:** The principal of the Notes and the interest thereon shall be secured by a pledge of the proceeds of bonds issued by the Issuer.

**Interest Rate:** **Tax-Exempt Fixed Rate: 4.82%** (Prepayable any time after November 1, 2024)

Please note that the above are indicative rates only, as of October 24, 2023 market close. The actual Tax-Exempt Fixed Rate shall be determined 2-3 days prior to closing.

**Final Maturity Date:** TBD (the “Maturity Date”)

**Taxable Rate:** In the event a determination of taxability shall occur as a result of the Issuer’s acts and/or omissions, the interest rate on the Notes shall increase to the Taxable Rate. The Taxable Rate shall be the product of (i) the Fixed Rate and (ii) one divided by one minus the prevailing Maximum Federal Corporate Tax Rate in effect on the date of calculation. Additionally, the Issuer shall pay to the Bondholder any payments, including any taxes, interest, penalties or other charges, such Bondholder shall be obligated to make as a result of the determination of taxability.

**Payment of Principal and Interest:** Principal of and interest on the Notes shall be due on the Maturity Date.

**Default Rate:** Greatest of: Bank’s Prime Rate plus 4.00%, Federal Funds Rate plus 5.00%, or 10.00%.

#### **DOCUMENTATION AND COVENANTS:**

**General:** The Financing Documents (other than the Purchase Agreement) including all necessary or desirable amendments and supplements thereto will be prepared by Bond Counsel. Bank Counsel will prepare the Purchase Agreement.

**Conditions Precedent to Closing:** Usual and customary for this type of financing.

**Loan Treatment:** Usual and customary for this type of financing and to include, without limitation, (i) receipt of the necessary approvals by the Issuer, each Sponsoring Public Entity and each special utility district of the Canyon Regional Water Authority to the amendment of the Regional Water Supply Contract and (ii) evidence satisfactory to the Issuer and the Bank of compliance with all State Attorney General requirements for this financing.

#### **Representations**

**and Warranties:** Usual and customary for this type of financing and to include, without limitation, (i) receipt of the necessary approvals by the Issuer, each Sponsoring Public Entity and each special utility district of the Canyon Regional Water Authority to the amendment of the Regional Water Supply Contract and (ii) evidence satisfactory to the Issuer and the Bank of compliance with all State Attorney General requirements for this financing.

**Other Covenants:** Usual and customary for this type of financing, including, but not limited to: Issuer to comply with existing Texas Water Development Board Contract Revenue Bond requirements; Issuer covenants to make application to the Texas Water Development Board by the respective deadline; the Issuer shall not issue or incur additional debt while the Notes are outstanding without Bank consent; the Sponsoring Public Entities shall not issue or incur additional debt of their respective Systems while the Notes are outstanding without Bank consent; and the Issuer and Sponsoring Public Entities covenant maintain bonding capacity.

#### **EVENTS OF DEFAULT AND REMEDIES:**

**Events of Default:** Usual and customary events of default including, without limitation: nonpayment; inaccuracy of representations; breach of covenants; cross-default to debt issued by the Issuer on behalf of each SPE; bankruptcy, insolvency, debt moratorium; judgment defaults; and invalidity or contest of Issuer's obligations.

**Remedies Upon Event of Default:** Upon the occurrence and during the continuance of an Event of Default, the interest rate on the Notes and all other amounts outstanding shall increase to the Default Rate, and the Bank may exercise any other remedies available by law or under contract.

#### **OTHER FEES AND EXPENSES:**

**Bank Counsel Fee:** Estimated at \$25,000, plus fees and expenses, subject to increase if the transaction is not closed within 60 days, if the security or structure of the transaction changes materially, or if other complexities develop.

**Other Fees and Expenses:** Issuer shall be responsible for all out of pocket costs and expenses of the Bank (including, without limitation, counsel fees)

incurred in connection with the negotiation, execution, delivery, administration and enforcement of the Financing Documents, whether or not the financing closes.

**GOVERNING LAW/JURY TRIAL:**

**Governing Law:** This Term Sheet, the Financing Documents, and any other documents to which the Bank shall become a party will be governed by the laws of the State of Texas.

**Jury Trial:** To the extent permitted by law, the Issuer agrees to waive a jury trial in any proceeding involving the Bank.

**MISCELLANEOUS:**

**Bank Contacts:** Ryan Hazlett  
Senior Commercial Relationship Manager  
Government & Institutional Banking  
Wells Fargo Bank, N.A.  
2300 S IH 35, Second Floor | Round Rock, TX 78681  
Ryan.Hazlett@wellsfargo.com  
Cell: 512-704-5639

**Bank Counsel  
Contacts:** Andrew Borders  
Kutak Rock LLP  
2300 Main Street, Suite 800  
Kansas City, MO 64108  
816.502.4624  
[andrew.borders@kutakrock.com](mailto:andrew.borders@kutakrock.com)

**Indemnification:** Whether or not the financing is closed to the extent permitted by Texas law, the Issuer will indemnify the Bank and its respective directors, officers, employees, agents and affiliates against all claims asserted and losses, liabilities and expenses incurred in connection with the Financing Documents (excluding acts of negligence or willful misconduct of an indemnified party as determined by a court of competent jurisdiction).

**Transfers:** While the Bank is purchasing the Notes for its own account without a current intention to transfer them, the Bank reserves the right in its sole discretion to sell the Notes or assign, pledge or participate interests in the Notes without the consent of the Issuer but only to qualified institutional buyers or persons who have been provided sufficient information with which to make an informed decision to invest in the Notes.

**EMMA Filing:**

Any filings of Financing Documents with the MSRB's EMMA site following the closing of the transaction shall not include sensitive or confidential information regarding the Bank.

**AGREEMENT BY THE ISSUER:**

This expression of interest is not intended to be, and should not be construed as, a commitment to enter into a transaction, nor should it be construed as an attempt to establish all of the terms and conditions relating to such proposed transaction. It is intended only to be indicative of how the operative documents might be structured, and not to preclude negotiations over these or any other terms and conditions. The availability of the proposed transaction and the related indicative pricing and terms may be impacted due to changes or disruptions in the markets and/or governmental, regulatory or supervisory events or conditions. The execution versions of agreements containing final terms and conditions, if any, would be subject to approval by Issuer and Bank.

Unless this term sheet is earlier rescinded, it shall expire automatically without further action or notice by the Bank on 30 days from the date hereof.

**Disclaimer:**

Please be advised that the transaction described herein between Wells Fargo and you is a bank-purchased bond transaction (the "Direct Purchase") and a product offering of the Bank, as purchaser/investor. Wells Fargo Securities will not participate in any manner in the Direct Purchase between you and the Bank, and Wells Fargo employees involved with the Direct Purchase are not acting on behalf of or as representatives of Wells Fargo Securities. The Bank would be acting solely as a principal to purchase securities from you or a conduit issuer (in the case of a conduit transaction), and not as a municipal advisor, financial advisor or fiduciary to you or any other person or entity regardless of whether the Bank, or an affiliate has or is currently acting as such in a separate transaction. Any information relating to the Direct Purchase is being provided to you pursuant to and in reliance on the bank exemption and RFP exemption under the municipal advisor rules ("Muni Advisor Rules") of the Securities and Exchange Commission ("SEC") or the SEC's guidance in its Registration of Municipal Advisors Frequently Asked Questions dated May 19, 2014 and the general information exclusion to advice under the Muni Advisor Rules. The Bank will not have any duty or liability to any person or entity in connection with the information provided herein.

**Confidentiality:**

This Term Sheet is confidential and proprietary, and terms herein may not be disclosed without our prior written consent, except to your professional advisors in connection with this Financing who agree to be bound by such confidentiality requirements, or as may be required by law.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- I.6** Consider adoption of Resolution 2023-11-29-004 approving a Service Order with Charter Communications Operating, LLC for internet and communication services at the Maxwell Booster Pump Station site. ~ *Graham Moore, P.E., Executive Director*
- 

Background/Information

The Authority is in need of internet and communications services at the Maxwell Booster Pump Stations. With the installation of the fiber optic network that is ongoing with the Phase 1B construction, the internet services will extend to the Water Treatment Plant and Well Field.

The Authority has been in discussions with Charter Communications LLC / Spectrum Enterprise to provide internet services as they are the only provider in the area. The services include internet services, using fiber to fiber connections, with the option of adding Voice Over Internet (VoIP) services in the future if so desired.

**Key considerations of the proposed contract:**

<b>Term of Contract</b>	7 years
<b>Cost Per Month</b>	\$448
<b>Installation Service Fee</b>	\$250
<b>Termination Fee</b>	Remainder of contracted amount
<b>Total Contract Value</b>	\$37,632
<b>Speed</b>	30Mbps
<b>Infrastructure</b>	Fiber optic
<b>Service Rating</b>	99.9% Up

The installation of the fiber-to-fiber connections will provide the Authority with a complete fiber connection to the local area hub, which is located along Hwy 142 near the fire station. Utilization of fiber service from Charter provides a guaranteed response window for repairs of 4 hours. Utilization of coaxial connection systems does not provide a guarantee for service response time. The Authority can increase the service connection speed in the future without triggering a new contract or extension of contract terms. If approved, services would be installed and available on site within 90 days.

Attachment(s)

- Resolution 2023-11-29-004
- Spectrum Enterprise Service Order



## ALLIANCE WATER

### RESOLUTION NO. 20231129-004

**A RESOLUTION OF THE ALLIANCE REGIONAL WATER AUTHORITY BOARD OF DIRECTORS APPROVING A SERVICE ORDER BETWEEN THE AUTHORITY AND CHARTER COMMUNICATIONS OPERATING, LLC FOR INTERNET AND COMMUNICATION SERVICES AND RELATED MATTERS, AND DECLARING AN EFFECTIVE DATE**

#### **RECITALS:**

1. The Alliance Regional Water Authority (the "Authority") is in need of internet and communication services at its Maxwell Booster Pump Station property.
2. As part of the Authority's Phase 1B program, a fiber optic system connecting the Maxwell Booster Pump Station with the Water Treatment Plant is being constructed.
3. The Authority is in need of communication and internet services for the Maxwell Booster Pump Station and Water Treatment Plant facilities.
4. Authority Staff reached out to Charter Communications Operating, LLC as the local communications and internet provider in the Maxwell area to provide the necessary communication and internet services. Through the Authority's fiber optic system, the connection in Maxwell can also serve the Water Treatment Plant facility.

#### **BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE ALLIANCE REGIONAL WATER AUTHORITY:**

**SECTION 1.** The attached Service Order for communications and internet services with Charter Communications Operating, LLC is approved.

**SECTION 2.** The Authority's Executive Director, Graham Moore, is authorized to execute the Agreement on behalf of the Authority.

**SECTION 3.** This Resolution shall be in full force and effect immediately upon its passage.

**ADOPTED: November 29, 2023.**

#### **ATTEST:**

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Chris Betz  
Chair, Board of Directors

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Amber Schmeits  
Secretary, Board of Directors





## SERVICE ORDER

THIS SERVICE ORDER ("Service Order"), is executed and effective upon the date of the signature set forth in the signature block below ("Effective Date") and is by and between Charter Communications Operating, LLC on behalf of those operating subsidiaries providing the Service(s) hereunder ("Spectrum") and Customer (as shown below) and is governed by and subject to the Spectrum Enterprise Commercial Terms of Service posted to the Spectrum Enterprise website, <https://enterprise.spectrum.com/> (or successor url) or, if applicable, an existing services agreement mutually executed by the parties (each, as appropriate, a "Service Agreement"). Except as specifically modified herein, all other terms and conditions of the Service Agreement shall remain unamended and in full force and effect.

Spectrum Enterprise Contact Information	
Contact: Stephen Owen	
Telephone: 9728303983	
Email: stephen.owen@charter.com	

Customer Information		
Customer Name ALLIANCE REGIONAL WATER AUTHORITY	Order # 14053305	
Address 630 E. Hopkins Street San Marcos TX 78666		
Telephone (512) 426-8450	Email: gmoore@alliancewater.org	
Contact Name Graham Moore	Telephone (512) 426-8450	Email: gmoore@alliancewater.org
Billing Address 630 E. Hopkins Street San Marcos TX 78666		
Billing Contact Name	Telephone	Email:

NEW AND REVISED SERVICES AT 9901 Highway 142 , Maxwell TX 78656				
Service Description	Order Term	Quantity	Monthly Recurring Charge(s)	Total Monthly Recurring Charge(s)
1 Month Free - Data - Dedicated Internet Service	7 Yr	1	\$0.00	\$0.00
5 Static IP Addresses	7 Yr	1	\$0.00	\$0.00
Fiber Internet 30Mbps	7 Yr	1	\$448.00	\$448.00
<b>TOTAL*</b>				<b>\$448.00</b>

ONE TIME CHARGE(S) AT 9901 Highway 142 , Maxwell TX 78656			
Service Description	Quantity	One Time Charge(s)	Total One Time Charge(s)
FIA Installation	1	\$250.00	\$250.00
<b>TOTAL*</b>			<b>\$250.00</b>





1. **TOTAL CHARGE(S).** Total Monthly Recurring Charges and Total One-Time Charges are due in accordance with the monthly invoice.
2. **TAXES.** Plus applicable taxes, fees, and surcharges as presented on the respective invoice(s).
3. **SPECIAL TERMS.**

Some or all of your order is subject to a promotional offer, of which the full Terms & Conditions can be found by going to the following URL:

<https://enterprise.spectrum.com/legal/1-month-free.html>

By signing below, the signatory represents they are duly authorized to execute this Service Order.

**CUSTOMER SIGNATURE**

Signature: \_\_\_\_\_

Printed Name: Graham Moore

Title: \_\_\_\_\_

Date: \_\_\_\_\_

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# Spectrum Enterprise pre-service installation guide



Welcome, and thank you for choosing Spectrum Enterprise. After you sign your service order, our teams will keep you updated on the status of your order. In the meantime, this document will help you understand what happens as you progress toward the service installation process.

Feel free to reach out to your sales contact if you have questions or need additional information. When installation begins, however, you'll have a dedicated project manager who'll partner with you as your main point of contact for a successful installation.

## Client project milestones

- 1 Sign service order.
- 2 If necessary, work with our internal teams to provide any additional information or forms required to finalize your order.
- 3 Your Spectrum Enterprise project manager will contact you to introduce themselves and discuss next steps.

## Spectrum Enterprise project milestones

- 1 Sales team submits signed service order to Order Management team.
- 2 Internal teams gather any additional information that's required to finalize your order.
- 3 Dedicated project manager contacts you to discuss next steps.

## Spectrum Enterprise pre-service installation details

Let's look at more details about the milestones we'll reach before your service installation process begins.

### Milestones

- 1 **Sign service order**  
First, we'll finalize and sign your service order together. We are unable to proceed until the service order is signed, so if you have any concerns or questions about your order, please reach out to your sales contact right away.
- 2 **Finalize order**  
Our internal teams will make sure we have all of the information we need to begin the installation process. This stage can take one to two weeks to complete. During this time, we may be in touch to get additional information and required forms.  
If your order includes voice services, this would be a good time to engage your vendor. If you're transferring phone numbers from your current vendor to your Spectrum Enterprise account, we'll need a complete list of the numbers you're transferring. Your vendor can help you pull these from your phone server. We also request your vendor be available to participate in cutover activities on the day of activation. Your project manager will work closely with you and your vendor throughout the implementation process, and schedule the cutover once the service is ready.
- 3 **Connect with project manager**  
As we're finalizing your order, your dedicated project manager will be in touch about next steps. Your project manager will be your primary point of contact during service installation, however, you may hear from additional team members throughout the process.  
You will be invited to an introduction call where your project manager will review your order and the installation process in more detail. During this meeting, we will agree to a call and reporting schedule to ensure a smooth and efficient installation.

Additional disclaimer pending - does not apply for coax or upgrades.

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[enterprise.spectrum.com](http://enterprise.spectrum.com)

**Spectrum**  
ENTERPRISE

# Spectrum Enterprise

## Fiber Internet Access Service Level Agreement

This document outlines the Service Level Agreement (“SLA”) for Fiber Internet Access (“FIA”) fiber-based service (the “Service”).

This SLA is a part of, and hereby incorporated by reference into the Spectrum Enterprise Service Agreement (including the terms and conditions, attachments, and Service Orders described therein, the “Agreement”). To the extent any provision of this SLA conflicts with the Agreement, this SLA shall control. All SLA Targets in the table below are measured from Customer’s Service Location to the location where Spectrum Enterprise has local access to the Internet (the Spectrum Enterprise “Point of Presence” or “POP”) at the individual circuit or service level, and any applicable credits are issued only for the affected FIA circuit or service (the “Affected Service”). Capitalized words used, but not defined herein, shall have the meanings given to them in the Agreement.

### I. SLA Targets for FIA Services:

Service Availability	Mean Time To Restore (“MTTR”)	Latency / Frame Delay (Roundtrip)	Jitter / Frame Delay Variation	Packet Loss / Frame Loss
End to End: 99.99%	Priority 1 Outages within 4 hours	45ms	<2ms	<0.1%

### II. Priority Classification:

A “Service Disruption” is defined as an outage, disruption, or severe degradation, other than an Excluded Disruption, that interferes with the ability of a Spectrum Enterprise network hub to: (i) transmit and receive network traffic on Customer’s dedicated access port at the Spectrum Enterprise network hub; or (ii) exchange network traffic with another Spectrum Enterprise network hub. The Service Disruption period begins when Customer reports a Service Disruption using Spectrum Enterprise’s trouble ticketing system by contacting Customer Care, Spectrum Enterprise acknowledges receipt of such trouble ticket, Spectrum Enterprise validates that the Service is affected, and Customer releases the Service for testing. The Service Disruption ends when the affected Service has been restored.

“Service Degradation” means a degradation of the Service that is not a Service Disruption or a result of an Excluded Disruption, such as failure of the Service to achieve the SLA Targets for Latency / Frame Delay, Jitter / Frame Delay Variation, or Packet / Frame Loss.

“Excluded Disruptions” means (i) planned outages, (ii) routine or urgent maintenance, (iii) time when Spectrum Enterprise is unable to gain access to Customer’s Service Location, if necessary, (iv) service issues arising from acts of omissions of Customer or Customer’s representatives or agents, (v) Customer equipment failures, (vi) Customer is not prepared to release the Service for testing, and (vii) Force Majeure Events.

Spectrum Enterprise will classify Service problems as follows:

Priority	Criteria
Priority 1	Each a "Priority 1 Outage": <ul style="list-style-type: none"> <li>• Service Disruption resulting in a total loss of Service; or</li> <li>• Service Degradation to the point where Customer is unable to use the Service and is prepared to release it for immediate testing</li> </ul>
Priority 2	<ul style="list-style-type: none"> <li>• Service Degradation where Customer is able to use the Service and is not prepared to release it for immediate testing.</li> </ul>
Priority 3	<ul style="list-style-type: none"> <li>• A service problem that does not impact the Service; or</li> <li>• A single non-circuit specific quality of Service inquiry.</li> </ul>

### III. Service Availability

"Service Availability" is calculated as the total number of minutes in a calendar month less the number of minutes that the FIA Service is unavailable due to a Priority 1 Outage ("Downtime"), divided by the total number of minutes in a calendar month.

The following table contains examples of the percentage of Service Availability translated into minutes of Downtime for the 99.99% Service Availability Target:

Percentage by Days Per Month	Total Minutes / Month	Downtime Minutes
99.99% for 31 Days	44,640	4.5
99.99% for 30 Days	43,200	4.3
99.99% for 29 Days	41,760	4.2
99.99% for 28 Days	40,320	4

### IV. Mean Time to Restore ("MTTR")

The MTTR measurement for Priority 1 Outages is the average time to restore Priority 1 Outages during a calendar month calculated as the cumulative length of time it takes Spectrum Enterprise to restore an FIA Service following a Priority 1 Outage in a calendar month divided by the corresponding number of trouble tickets for Priority 1 Outages opened during the calendar month for the FIA Service.

MTTR per calendar month is calculated as follows:

Cumulative length of time to restore Priority 1 Outage(s) per FIA Service
Total number of Priority 1 Outage trouble tickets per FIA Service

### V. Latency / Frame Delay

Latency or Frame Delay is the average roundtrip network delay, measured every 5 minutes during a calendar month, unless measurement is not possible as a result of an Excluded Disruption, to adequately determine a consistent average monthly performance level for frame delay for each FIA Service. The roundtrip delay is expressed in milliseconds (ms).



Latency is calculated as follows:

$$\frac{\text{Latency/Frame Delay} = \text{Sum of the roundtrip delay measurements for an FIA Service}}{\text{Total \# of measurements for an FIA Service}}$$

#### VI. Packet Loss / Frame Loss Ratio

Packet Loss or Frame Loss Ratio is defined as the percentage of frames that are not successfully received compared to the total frames that are sent in a calendar month, except where any packet or frame loss is the result of an Excluded Disruption. The percentage calculation is based on frames that are transmitted from a network origination point and received at a network destination point.

Packet Loss / Frame Loss Ratio is calculated as follows:

$$\text{Packet Loss / Frame Loss (\%)} = 100 (\%) - \text{Frames Received (\%)}$$

#### VII. Jitter / Frame Delay Variation

Jitter or Frame Delay Variation is defined as the variation in delay for two consecutive frames that are transmitted (one-way) from a network origination point and received at a network destination point. Spectrum Enterprise measures a sample set of frames every 5 minutes during a calendar month, unless measurement is not possible as a result of an Excluded Disruption, and determines the average delay between consecutive frames within each sample set. The monthly Jitter / Frame Delay Variation is calculated as the average of all of the frame delay variation measurements during such calendar month and is expressed in milliseconds (ms).

Jitter / Frame Delay Variation is calculated as follows:

$$\text{Jitter / Frame Delay Variation} = \frac{\text{Sum of the Frame Delay Variation measurements for an FIA Service}}{\text{Total \# of measurements for an FIA Service}}$$

#### VIII. Network Maintenance

##### Maintenance Notice:

Customer understands that from time to time, Spectrum Enterprise will perform network maintenance for network improvements and preventive maintenance. In some cases, Spectrum Enterprise will need to perform urgent network maintenance, which will usually be conducted within the routine maintenance windows. Spectrum Enterprise will use reasonable efforts to provide advance notice of the approximate time, duration, and reason for any urgent maintenance outside of the routine maintenance windows.

##### Maintenance Windows:

Routine maintenance may be performed Monday – Friday 12 a.m. – 6 a.m. Local Time.

**IX. Remedies**

**Service Credits:**

If the actual performance of an FIA Service during any calendar month is less than the SLA Targets and Customer is in compliance with the terms of the Agreement and this SLA, then Customer may request credit equal to the corresponding percentage of monthly recurring charges for the Affected Service as set forth in the table below. Any credit to be applied will be off-set against amounts due from Customer to Spectrum Enterprise in the billing cycle following the date Spectrum Enterprise makes its credit determination. Credit requests must be submitted to Spectrum Enterprise within thirty (30) days of the calendar month in which the SLA Target was missed. Spectrum Enterprise will exercise commercially reasonable efforts to respond to such credit requests within thirty (30) days of receipt thereof.

Service Availability	Mean Time To Restore ("MTTR")	Latency / Frame Delay (Roundtrip)	Jitter / Frame Delay Variation	Packet Loss / Frame Loss
30%	> 4 hours ≤ 7:59:59 hours	4%	5%	5%
	> 8 hours	10%		

All SLA Targets are monthly measurements, and Customer may request only one credit per SLA Target per month for the Affected Service. Should one event impact more than one SLA hereunder, Customer shall receive the single highest of the qualifying credits only. Except as set forth below, the credits described in this SLA shall constitute Customer's sole and exclusive remedy, and Spectrum Enterprise's sole and exclusive liability, with respect to any missed SLA Targets. Service Credits hereunder shall not be cumulative per Service.

**Chronic Priority 1 Outages:**

If Customer experiences and reports three (3) separate Priority 1 Outages where the Downtime exceeds four (4) hours during each Priority 1 Outage within three (3) consecutive calendar months, then Customer may terminate the Affected Service without charge or liability by providing at least thirty (30) days written notice to Spectrum Enterprise; provided, however, that (i) Customer may only terminate the Affected Service; (ii) Customer must exercise its right to terminate the Affected Service by providing written notice to Spectrum Enterprise within thirty (30) days after the event giving rise to Customer's termination right; (iii) Customer shall have paid Spectrum Enterprise all amounts due at the time of such termination for all Services provided by Spectrum Enterprise pursuant to the Agreement, and (iv) the foregoing termination right provides the sole and exclusive remedy of Customer and the sole and exclusive liability of Spectrum Enterprise for chronic Priority 1 Outages and Customer shall not be eligible for any additional credits. Termination will be effective forty-five (45) days after Spectrum Enterprise's receipt of such written notice of termination.

**Certificate Of Completion**

Envelope Id: 4CFF8A74698E4DFD9BC93AD302B8A8A7	Status: Delivered
Subject: Stephen Owen has requested your signature on a document(s)	
Source Envelope:	
Document Pages: 10	Signatures: 0
Certificate Pages: 4	Initials: 0
AutoNav: Enabled	Envelope Originator: Stephen Owen stephen.owen@charter.com
Enveloped Stamping: Disabled	IP Address: 209.112.106.2
Time Zone: (UTC-08:00) Pacific Time (US & Canada)	

**Record Tracking**

Status: Original 11/9/2023 6:22:32 AM	Holder: Stephen Owen stephen.owen@charter.com	Location: DocuSign
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**Signer Events**

Graham Moore gmoore@alliancewater.org Security Level: Email, Account Authentication (None)	<b>Signature</b>	<b>Timestamp</b> Sent: 11/9/2023 6:22:51 AM Viewed: 11/9/2023 6:24:25 AM
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**Electronic Record and Signature Disclosure:**  
 Accepted: 11/9/2023 6:24:25 AM  
 ID: eab1d798-5ffd-4c30-8b4a-fb3579f20ded  
 Company Name: Spectrum Enterprise

**In Person Signer Events**

**Editor Delivery Events**

**Agent Delivery Events**

**Intermediary Delivery Events**

**Certified Delivery Events**

**Carbon Copy Events**

**Witness Events**

**Notary Events**

**Envelope Summary Events**

Envelope Sent	Hashed/Encrypted	11/9/2023 6:22:51 AM
Certified Delivered	Security Checked	11/9/2023 6:24:25 AM

**Payment Events**

**Electronic Record and Signature Disclosure**

## **ELECTRONIC RECORD AND SIGNATURE DISCLOSURE**

From time to time, Charter Communications Operating, LLC (“Spectrum”) may be required by law to provide to you certain written notices or disclosures. Described below are the terms and conditions for providing to you such notices and disclosures electronically through the DocuSign system. Please read the information below carefully and thoroughly, and if you can access this information electronically to your satisfaction and agree to this Electronic Record and Signature Disclosure (ERSD), please confirm your agreement by selecting the check-box next to ‘I agree to use electronic records and signatures’ before clicking ‘CONTINUE’ within the DocuSign system.

### **Getting paper copies**

At any time, you may request from us a paper copy of any record provided or made available electronically to you by us. You will have the ability to download and print documents we send to you through the DocuSign system during and immediately after the signing session and, if you elect to create a DocuSign account, you may access the documents for a limited period of time (usually 30 days) after such documents are first sent to you. If you wish to receive paper copies in lieu of electronic documents, you may close this browser and request paper copies from the “sending party” by following the procedures outlined below.

### **Withdrawing your consent**

If you decide to receive notices and disclosures from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices and disclosures only in paper format. How you must inform us of your decision to receive future notices and disclosure in paper format and withdraw your consent to receive notices and disclosures electronically is described below.

### **Consequences of changing your mind**

If you elect to receive required notices and disclosures only in paper format, it will slow the speed at which we can complete certain steps in transactions with you and delivering services to you because we will need first to send the required notices or disclosures to you in paper format, and then wait until we receive back from you your acknowledgment of your receipt of such paper notices or disclosures. Further, you will no longer be able to use the DocuSign system to receive required notices and consents electronically from us or to sign electronically documents from us.

### **Requesting paper copies, withdrawing consent, and updating contact information**

*Requesting Paper Copies.*

Please provide your name, title, email, telephone, postal address and document title.

*Withdraw Consent.*

Please provide your name, title, email, date, telephone number and postal address.

*Update Contact Information.*

Please provide your name, title, email, telephone and postal address.

Any fees associated with sending paper copies or withdrawing consent will be determined by the sending party.

**All notices and disclosures will be sent to you electronically**

Unless you tell us otherwise in accordance with the procedures described herein, we will provide electronically to you through the DocuSign system all required notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you during the course of our relationship with you. To reduce the chance of you inadvertently not receiving any notice or disclosure, we prefer to provide all of the required notices and disclosures to you by the same method and to the same address that you have given us. Thus, you can receive all the disclosures and notices electronically or in paper format through the paper mail delivery system. If you do not agree with this process, please let us know as described below. Please also see the paragraph immediately above that describes the consequences of your electing not to receive delivery of the notices and disclosures electronically from us.

**To withdraw your consent with DocuSign**

To inform us that you no longer wish to receive future notices and disclosures in electronic format you may:

- i. decline to sign a document from within your signing session, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;
- ii. notify the “sending party” by email and in the body of such request you must state your email, full name, title, mailing address, and telephone number. We do not need any other information from you to withdraw consent. The consequences of your withdrawing consent for online documents will be that transactions may take a longer time to process.

**Required hardware and software**

The minimum system requirements for using the DocuSign system may change over time. The current system requirements are found here: <https://support.docusign.com/guides/signer-guide-signing-system-requirements>.

### **Acknowledging your access and consent to receive and sign documents electronically**

To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please confirm that you have read this ERSD, and (i) that you are able to print on paper or electronically save this ERSD for your future reference and access; or (ii) that you are able to email this ERSD to an email address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format as described herein, then select the check-box next to ‘I agree to use electronic records and signatures’ before clicking ‘CONTINUE’ within the DocuSign system.

By selecting the check-box next to ‘I agree to use electronic records and signatures’, you confirm that:

- You can access and read this Electronic Record and Signature Disclosure; and
- You can print on paper this Electronic Record and Signature Disclosure, or save or send this Electronic Record and Disclosure to a location where you can print it, for future reference and access; and
- Until or unless you notify Charter Communications Operating, LLC (“Spectrum”) as described above, you consent to receive exclusively through electronic means all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you by DocuSign during the course of your relationship with Charter Communications Operating, LLC (“Spectrum”).

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- J. BOARD MEMBER ITEMS OR FUTURE AGENDA ITEMS – Possible acknowledgement by Board Members of future area events and/or requests for item(s) to be placed on a future agenda where no action is required.
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Background/Information

The Board Members have an opportunity to make announcements or to request that items be added to future Board or Committee agendas.

**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
County Line SUD Offices, 8870 Camino Real, Kyle, TX 78640

- K.1** *Executive Session pursuant to the Government Code, Section 551.071 (Consultation with Attorney) and/or Section 551.072 (Real Property Deliberations) regarding:*
- A. *Water supply partnership options*
  - B. *Groundwater leases*
  - C. *Acquisition of real property for water supply project purposes*
  - D. *Caldwell County Cause No. 6945 Alliance Regional Water Authority v. Nicholson Farms, Inc. and A.E. Nicholson, Parcel A008C*
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**REGULAR MEETING**  
**Alliance Regional Water Authority Board of Directors**

**BOARD MEMBER PACKETS**

Wednesday, November 29, 2023 at 3:00 P.M.  
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**K.2** Action from Executive Session on the following matters:

- A. *Water supply partnership options*
  - B. *Groundwater leases*
  - C. *Acquisition of real property for water supply project purposes*
  - D. *Caldwell County Cause No. 6945 Alliance Regional Water Authority v. Nicholson Farms, Inc. and A.E. Nicholson, Parcel A008C*
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**REGULAR MEETING**  
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**L. ADJOURNMENT**

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